



# Robust residual-based a posteriori Arnold–Winther mixed finite element analysis in elasticity<sup>☆</sup>

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## Abstract

This paper presents a residual-based a posteriori error estimator for the Arnold–Winther mixed finite element that utilises a post-processing for the skew-symmetric part of the strain. Numerical experiments verify the proven reliability and efficiency for suitable approximation of the skew-symmetric deformation gradient. Numerical evidence supports that the  $L^2$ -stress error estimator is robust in the Poisson ratio and allows stable error control even in the incompressible limit.

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## 1. Introduction

The problem in linear elasticity considers the connected reference configuration of the elastic body  $\Omega \subset \mathbb{R}^2$  with polygonal boundary  $\partial\Omega = \Gamma_D \cup \Gamma_N$  with closed and connected  $\Gamma_D$  of positive surface measure and  $\Gamma_N = \partial\Omega \setminus \Gamma_D$  for applied tractions. Given a volume force  $f : \Omega \rightarrow \mathbb{R}^2$ , a displacement  $u_D : \Gamma_D \rightarrow \mathbb{R}^2$ , and a traction  $g : \Gamma_N \rightarrow \mathbb{R}^2$ , find a displacement  $u : \Omega \rightarrow \mathbb{R}^2$  and a stress tensor  $\sigma : \Omega \rightarrow \mathbb{S} := \{\tau \in \mathbb{R}^{2 \times 2} : \tau = \tau^T\}$  such that

$$\begin{aligned} -\operatorname{div} \sigma &= f, & \sigma &= \mathbb{C}\varepsilon(u) & \text{in } \Omega, \\ u &= u_D & \text{on } \Gamma_D, & \sigma \nu &= g & \text{on } \Gamma_N. \end{aligned} \tag{1.1}$$

Throughout this paper,  $\mathbb{C}$  denotes the bounded and positive definite fourth-order elasticity tensor for isotropic linear elasticity. The symmetric mixed finite element method is a very popular choice for a robust stress approximation; cf. [1–6] for details and related references.

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The a posteriori error analysis for the Arnold–Winther finite element method may follow the ideas of [7–9] to derive a stress error control

$$\|\sigma - \sigma_{AW}\|_{\mathbb{C}^{-1}}^2 \leq \min_{v \in V} \|\mathbb{C}^{-1}\sigma_{AW} - \varepsilon(u_D + v)\|_{\mathbb{C}}^2 + C_1 \text{osc}^2(f, T) + C_2 \text{osc}^2(g, \mathcal{E}(\Gamma_N))$$

for the stress error  $\sigma - \sigma_{AW}$  even with a rather explicit estimate of the constant in front of the oscillations and the (unwritten) multiplicative constant 1 in front of the first term that measures the quality of the approximation  $\mathbb{C}^{-1}\sigma_{AW}$  of symmetric gradients  $\varepsilon(v) := (Dv + D^T v)/2$  for  $v \in V$ . The space  $V$  consists of all square-integrable displacements with homogeneous boundary conditions along  $\Gamma_D$  and with a square-integrable functional matrix  $Dv$ .

A severe additional difficulty of this approximation is that only the symmetric part is approximated and not the full gradient  $Dv$  so that [9] cannot be applied for a residual-based a posteriori error estimation of the aforementioned first term. Other mixed finite element schemes like PEERS in [7] involve some additional variable to approximate the asymmetric part of the gradient. This paper presents an explicit error estimate which involves an arbitrary asymmetric approximation  $\gamma_h$  and provides an abstract a posteriori error control of the residual type, which is useful for adaptive mesh-refining algorithms,

$$\begin{aligned} \eta_\ell^2 = & \text{osc}^2(f, T) + \text{osc}^2(g, \mathcal{E}(\Gamma_N)) + \sum_{T \in \mathcal{T}} h_T^2 \|\text{curl}(\mathbb{C}^{-1}\sigma_{AW} + \gamma_h)\|_{L^2(T)}^2 \\ & + \sum_{E \in \mathcal{E}(\Omega)} h_E \|\llbracket \mathbb{C}^{-1}\sigma_{AW} + \gamma_h \rrbracket_E \tau_E\|_{L^2(E)}^2 + \sum_{E \in \mathcal{E}(\Gamma_D)} h_E \|\llbracket \mathbb{C}^{-1}\sigma_{AW} + \gamma_h - Du_D \rrbracket \tau\|_{L^2(E)}^2. \end{aligned}$$

(The details on the standard notation can be found below for computable volume contributions on a triangle  $T$  of diameter  $h_T$  and various jumps across an edge  $E$  of length  $h_E$ .) For any (piecewise smooth) choice of  $\gamma_h$ , this a posteriori error estimator is reliable in the sense that

$$\|\sigma - \sigma_{AW}\|_{\mathbb{C}^{-1}} \leq C_{\text{rel}} \eta_\ell \quad (1.2)$$

with some  $\lambda$ -independent constant  $C_{\text{rel}} \approx 1$ . One opportunity to ensure efficiency is a global minimisation over all piecewise polynomial  $\gamma_h$  of the error estimator  $\eta_\ell$ . The bubble function technique shows that the particular choice of  $\gamma_h$  enters the efficiency estimates with some  $\lambda$ -independent constant  $C_{\text{eff}} \approx 1$ ,

$$\eta_\ell \leq C_{\text{eff}} (\|\sigma - \sigma_{AW}\|_{\mathbb{C}^{-1}} + \|\text{skew}(Du) - \gamma_h\|_{L^2(\Omega)}). \quad (1.3)$$

Hence, one efficient choice for  $\gamma_h$  is to choose it as a sufficiently accurate polynomial approximation of the asymmetric gradient  $\text{skew}(Du) := (Du - D^T u)/2$ . Since a global approximation or even minimisation may be too costly, this paper proposes to apply a post-processing step to compute such a sufficiently accurate approximation  $\gamma_h = \text{skew}(Du_{AW}^*)$  for the post-processed displacement  $u_{AW}^*$  in the spirit of Stenberg [10]. The approximation  $\gamma_h = \text{skew}(Du_{AW}^*)$  is proven to be robust in the Poisson ratio  $\nu \rightarrow 1/2$  for sufficiently smooth functions. For domains with re-entrant corners or incompatible boundary conditions, numerical experiments confirm that the proposed computation of  $\gamma_h$  leads empirically to reliable and efficient a posteriori error control independent of the Poisson ratio  $\nu \rightarrow 1/2$ .

The remaining parts of this paper are organised as follows. In Section 2 the notation, the weak formulation of (1.1) and the Arnold–Winther finite element space [1] are defined. Section 3 derives the a posteriori error analysis for the residual-based a posteriori error estimator and proves reliability and efficiency. Section 4 outlines a post-processing of the displacement that leads to an approximation  $\gamma_h$  of the asymmetric gradient. Section 5 presents numerical results of four benchmark problems that verify reliability and efficiency of the residual-based a posteriori error estimator in combination with the post-processing and illustrates its robustness for Poisson ratio  $\nu \rightarrow 1/2$ .

The main parts of this research are restricted to 2D because the Argyris finite element method is employed to allow for a quasi-interpolation in the Arnold–Winther finite element functions.

## 2. Preliminaries

For  $v = (v_1, v_2) \in \mathbb{R}^2$  and  $\tau = (\tau_{jk})_{j,k=1,2} \in \mathbb{R}^{2 \times 2}$ , set

$$\text{Curl}(v) := \begin{pmatrix} \partial v_1 / \partial y & -\partial v_1 / \partial x \\ \partial v_2 / \partial y & -\partial v_2 / \partial x \end{pmatrix}, \quad \text{curl } \tau := \begin{pmatrix} \partial \tau_{12} / \partial x - \partial \tau_{11} / \partial y \\ \partial \tau_{22} / \partial x - \partial \tau_{21} / \partial y \end{pmatrix}, \quad \text{div } \tau := \begin{pmatrix} \partial \tau_{11} / \partial x + \partial \tau_{12} / \partial y \\ \partial \tau_{21} / \partial x + \partial \tau_{22} / \partial y \end{pmatrix}.$$

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