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# Audio-visual feature fusion via deep neural networks for automatic speech recognition

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ABSTRACT

The brain-like functionality of the artificial neural networks besides their great performance in various areas of scientific applications, make them a reliable tool to be employed in Audio-Visual Speech Recognition (AVSR) systems. The applications of such networks in the AVSR systems extend from the preliminary stage of feature extraction to the higher levels of information combination and speech modeling. In this paper, some carefully designed deep autoencoders are proposed to produce efficient bimodal features from the audio and visual stream inputs. The basic proposed structure is modified in three proceeding steps to make better usage of the prosence of the visual information from the speakers' lips Region of Interest (ROI). The performance of the proposed structures is compared to both the unimodal and bimodal baselines in a professional phoneme recognition task, under different noisy audio conditions. This is done by employing a state-of-the-art DNN-HMM hybrid as the speech classifier. In comparison to the MFCC audio-only features, the finally proposed bimodal features cause an average relative reduction of 36.9% for a range of different noisy conditions, and also, a relative reduction of 19.2% for the clean condition in terms of the Phoneme Error Rates (PER).

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#### 1. Introduction

The method of utilizing multiple sources of information for better perception of the surroundings is a fundamental question in multimodal information processing which highly affects the performance of such systems [28]. The Audio Visual Speech Recognition (AVSR) task is an instance of the multimodal information processing that exploits two separate information modalities with different characteristics to generate its output. The Automatic Speech Recognition (ASR) process that is basically introduced and developed on only auditory information, shows significant improvements when gets the extra benefits of the associated visual sensory information [12,13,15,23], inspired by the human brain functionality [9].

Employing two various data streams with completely different physical appearances that carry related information, adds to the significance of the AVSR task. To come over the difficulties of implementing such a task, choosing a high-performance model which can truly address the nonlinear correlations between these two different information sources, gets even more important. More-

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over, considering varieties in language, accent, race, skin color, face theme, environmental light condition, camera position relative to the face, etc., the AVSR task becomes a more complicated problem needing huge audio-visual databases and consequently powerful computational hardware.

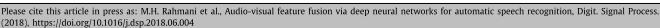
Various methods are used to setup AVSR systems. Many of them are based on the probabilistic models such as the ones derived from the Hidden Markov Model (HMM); many others are constructed via different Neural Network based architectures. There are also lots of ideas connecting the mentioned approaches to implement more powerful AVSR systems.

Neural Networks (NNs) offer many efficient frameworks in all stages of the AVSR systems, including the feature extraction, information fusion, and speech modeling. Different kinds of neural networks are utilized for this purpose [24] especially after the introduction of the novel deep learning methods that have made many utilities for more rapid and successful implementation of the Deep NNs (DNNs) [5,22]. The introduced networks range from the autoencoders (e.g. [14]) and the convolutional neural networks (e.g. [15] and [21]) to the Elman and probabilistic neural networks (e.g. [2]). Moreover, recently, the Long Short-Term Memory (LSTM) networks are successfully employed for the AVSR purposes [17,25].

To model temporal processes, including the human speech, the HMM has shown great performance and is widely used in the ASR

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systems along with either the conventional Gaussian Mixture Models (GMMs) [3] or the state-of-the-art DNNs [1,10] as the posterior probabilities estimators needed for the HMM states.

4 In this paper, the main contribution is to achieve a high-5 performance audio-visual feature combination structure with re-6 spect to its applications in the AVSR task. It is focused on com-7 bining the video and audio information through the deep autoen-8 coders applied to both the auditory and visual features. The wellq known Mel Frequency Cepstral Coefficients (MFCC) are exploited 10 as the auditory features. As the basic visual features, similar to our 11 previous work [19], the Deep Bottleneck Features (DBNF) [4,6] are 12 extracted via an independent 6-layer deep autoencoder on top of 13 the raw gray-scale lips Region of Interest (ROI). Similar to what 14 Ngiam et al. [14] proposed, the information combination process 15 yields to a shared representation of the two streams and the prod-16 uct is used as the input features to the audio-visual model for 17 further temporal processing. As stated in the previous studies and of course, confirmed in this work, the recognition performance of 18 19 the ASR systems with video-only inputs (say lip-reading) is inferior 20 to the ones with audio-only inputs [7,14]. Moreover, it is shown 21 that many of the recently developed ASR systems work well when 22 fed by high Signal-to-Noise Ratio (SNR) speech signals (near to 23 clean condition). So, the speakers' videos could be beneficial for 24 noisy environments in which the performance of the audio based 25 ASR systems is dramatically degraded. Consequently, the desired 26 mutual features are produced in a way that the underlying envi-27 ronmental noise in the audio information gets descended in pres-28 ence of the visual modality. In this regard, the proposed structure 29 in this work benefits from a semi-supervised deep NN by utilizing 30 phoneme labels in the bottle-neck of a bimodal deep autoencoder 31 architecture. The mentioned semi-supervised structure for extract-32 ing high-performance bimodal features has never been employed 33 in the previous studies.

34 In this research, firstly, two unimodal audio and video speech 35 recognition systems are considered to be the baseline systems as 36 well as a simple AVSR system that uses the concatenated audio-37 visual features as its classifier input. Then, a bimodal deep au-38 toencoder architecture is proposed by which, the nonlinear com-39 bination of the features from the audio and video modalities is 40 performed. Next, the proposed architecture and its training pro-41 cedure are modified in three steps to promote the performance 42 of the extracted bimodal features. All the implemented schemas 43 (including the baselines and the proposed ones) are tested sub-44 sequently in noisy conditions; for this purpose, various kinds of 45 auditory noises with different powers are added to the speech sig-46 nals so that the benefits of the proposed multimodal features in 47 some difficult conditions could be investigated. The experiments 48 are conducted under a professional phoneme recognition scheme 49 which employs the CUAVE database of audio-visual spoken digits 50 [16] and the Kaldi speech recognition toolkit [18].

51 The rest of the paper is organized as follows: a brief overview 52 of the previous works is presented in section 2. Section 3 intro-53 duces the overall implemented system including the blocks used 54 in the experimental setup and the database from which the train-55 ing and testing sets are selected. Moreover, the feature extraction 56 methods, including the baseline and the proposed ones are dis-57 cussed in this section. Although the obtained results are presented 58 right after each of the proposed feature combination methods, the 59 complete results and the detailed discussion of them are provided 60 in section 4. Finally, the conclusions are presented in section 5. 61

#### 62 2. Related works

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Several studies have been done to develop the AVSR systems.
They typically propose structures, each improving different parts of
the comprehensive AVSR problem. On the other hand, over the last

few years, many studies have used the neural networks as a fun-<br/>damental material in their problem solving. The applications are<br/>found in extracting acoustic or visual features, information fusion,<br/>denoising distorted information, modeling, classification and final<br/>decoding, inside the AVSR scheme. In the following, some of the<br/>recently developed related works especially the applications of the<br/>DNNs for the AVSR task are reviewed.67

74 Ngiam et al. [14] developed and compared some methods 75 based on the Restricted Boltzmann Machines (RBMs). "Audio RBM", 76 "video-only deep autoencoder" and "bimodal deep autoencoder" 77 were three of the schemes that were tested over CUAVE database. 78 As stated in the paper, the proposed multilayer bimodal network enables the mid-layer features to perceive the nonlinear correla-79 80 tions between the two information branches. In addition to the above schemes, the combinational feature representations of "bi-81 modal + audio RBM" and "video-only deep autoencoder + audio 82 83 RBM" have been proposed. To evaluate the performance of the 84 five mentioned feature representations, the additional white Gaussian noise was added to the original audio signal at 0 dB SNR. At 85 this SNR, the paper reports its best recognition accuracy from the 86 "bimodal + audio RBM" feature representation (82.2%) while this 87 bimodal representation could not overcome the unimodal "audio 88 RBM" representation in the clean condition (94.4% against 95.8%). 89

Huang and Kingsbury [7] suggested a fusion on top of mid-level 90 features produced by a bimodal Deep Belief Network (DBN) struc-91 ture. They also developed decision-fused single-modality DBNs and 92 showed that their feature fusion strategy on top of the mid-level 93 features outperforms their other fusion strategies, including the 94 "DBN decision fusion" and a "baseline fusion implemented using 95 the Multi-Stream HMM (MSHMM)/GMM". The evaluations were 96 performed on a continuously spoken digit recognition task. They 97 98 reported a relative reduction of 21% in word error rate against the 99 mentioned baseline.

Noda et al. [15] used two applications of the DNNs in their AVSR work. For the acoustic data, they developed a deep denoising autoencoder applied to the input MFCC feature vectors. The autoencoder was trained to filter out the distorted inputs and to predict the clean MFCC features. On the other hand, a Convolutional Neural Network (CNN) was trained to produce phoneme labels from the motion of the speakers' lips images. The output of the CNN was used as the extracted visual features. Finally, the MSHMM was utilized to perform the information fusion and classification. They reported that in an isolated word recognition task, the attained denoised audio features give significant noise robustness and the produced visual features act better than the conventional image-based features such as the Principle Component Analysis (PCA).

Tian et al. [25] proposed an end-to-end deep model called Auxiliary Multimodal LSTM (am-LSTM) network to overcome the weaknesses of the other DNN systems. The am-LSTM consists of two LSTMs (one for audio and the other one for video) and some other components. In the fusion level, the data enters to a projection layer after the two LSTMs and finally, a multi-layer perceptron is used for classification. They reported better results against the ones obtained via the "multimodal deep autoencoder", "multimodal deep belief network" and "recurrent temporal multimodal RBM" approaches.

#### 3. Materials and methods

#### 3.1. Overall framework

The feature extraction techniques, the feature preprocessing 129 stage, the acoustic model, the language model and the lattice 130 generating decoder, are the main blocks of the employed ASR 131 framework. This work has focused on the feature combination 132

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