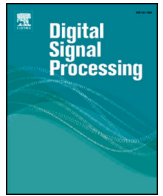




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# Acoustic direction finding using single acoustic vector sensor under high reverberation

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## ABSTRACT

We propose a novel and robust method for acoustic direction finding, which is solely based on acoustic pressure and pressure gradient measurements from single Acoustic Vector Sensor (AVS). We do not make any stochastic and sparseness assumptions regarding the signal source and the environmental characteristics. Hence, our method can be applied to a wide range of wideband acoustic signals including the speech and noise-like signals in various environments. Our method identifies the “clean” time frequency bins that are not distorted by multipath signals and noise, and estimates the 2D-DOA angles at only those identified bins. Moreover, the identification of the clean bins and the corresponding DOA estimation are performed jointly in one framework in a computationally highly efficient manner. We mathematically and experimentally show that the false detection rate of the proposed method is zero, i.e., none of the time-frequency bins with multiple sources are wrongly labeled as single-source, when the source directions do not coincide. Therefore, our method is significantly more reliable and robust compared to the competing state-of-the-art methods that perform the time-frequency bin selection and the DOA estimation separately. The proposed method, for performed simulations, estimates the source direction with high accuracy (less than 1 degree error) even under significantly high reverberation conditions.

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## 1. Introduction

Direction of arrival (DOA) estimation [1,2] for an acoustic source is an important research topic in digital signal processing applications such as source separation [3,4], audio surveillance [5,6] and video conferencing [7]. The major challenge in these applications is the acoustic signal distortions due to the reflection, diffraction, and scattering by the objects in the transmission medium [8]. As a result of such distortions, the transmitted signal is often received via multiple paths. The total number of these paths and the signal power at each path are directly related to the positions and the material properties of the objects in the medium, which determine the reverberation strength, i.e., the persistence of the sound after it is produced [9]. Hypothetically, each path is considered to generate a virtual source that is highly correlated with the actual source [10]. Each virtual source conceals the actual source and hence makes the DOA estimation complicated. Moreover, as the rever-

beration strength increases, the total number of signals including the real and virtual sources is more likely to exceed the number of sensors. Thus, the source direction estimation becomes an under-determined problem due to the degenerate undesired solutions and therefore, it is generally addressed with strong assumptions about the signal source and the environmental conditions [11–18]. However, in this paper, we study the DOA estimation problem under high reverberation, i.e., in the presence of many degenerate solutions, without any assumptions on the stochastic properties of the source signal and the environmental conditions. Our technique performs a time-frequency decomposition such that the signal portions that are not distorted with multipath signals and noise are identified. In this process, we exploit the characteristics (that is independent with the frequency content of the signals) of the directional amplitude response of an Acoustic Vector Sensor (AVS) to guarantee that the multipath and noise distortions are completely eliminated. Then, the solution corresponding to the actual source is successfully separated. Therefore, our technique produces reliable solutions for the DOA estimation even under high reverberation. In this sense, our approach is highly novel and robust to environmental conditions.

When the total number of sources (including the virtual ones) exceeds the number of acoustic sensors, i.e., when the DOA es-

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1 timation is an under-determined problem, the DOA estimation  
2 performance of the approaches [11–13], which only consider over-  
3 determined systems, seriously degrades [14,15]. On the other hand,  
4 several methods [16–18] are proposed to specifically handle the  
5 under-determined DOA estimation with certain assumptions about  
6 the signal and environmental characteristics such as uncorrelated  
7 and limited number of source signals [16], [5] and known or ac-  
8 curately estimated room impulse response [17,18]. We note that  
9 these methods [16–18] have restricted conditions that hardly hold  
10 in challenging real communication environments, cf. [19].

11 To mitigate these restricted conditions, other techniques [20–22]  
12 exploit the sparsity of the non-stationary signals in the time-  
13 frequency domain. However, these studies heavily depend on  
14 W-disjoint orthogonality of the source signals [20]. Since the  
15 assumption of the W-disjoint orthogonality of the received sig-  
16 nals corresponds to assuming only one active dominant source  
17 at each time-frequency bin, these methods estimate the DOA  
18 angles at each time-frequency bin separately. In this case, the  
19 under-determined DOA estimation problem is handled as multi-  
20 ple overdetermined DOA estimation problems and hence, more  
21 sources can be localized with less number of sensors. Nevertheless,  
22 the DOA estimation performance of the methods using W-disjoint  
23 orthogonality are significantly sensitive to the several factors such  
24 as noise and reverberation [14,23]. As the noise power and re-  
25 verberation increases, the source sparseness in the time-frequency  
26 domain decreases [23]. In that case, at some time-frequency bins,  
27 multiple sources (which is undesired) become dominant and the  
28 accuracy of DOA estimates at those bins decreases due to the in-  
29 validity of W-disjoint orthogonality. The work in [14] shows with  
30 the simulation that increasing reverberation strength increases the  
31 variance of the DOA estimate spread around the real DOA angles.

32 Instead of estimating the DOA at each time-frequency bin by  
33 relying on W-disjoint orthogonality, it is proposed [24–28] to se-  
34 lect the time-frequency bins with only one single active source  
35 and estimate the DOAs at only those bins, which turns the under-  
36 determined problem into a bin-specific over-determined problem.  
37 The most critical part common to these methods is then to cor-  
38 rectly determine those specific bins. To this end, in [24] and [25],  
39 only the most powerful source is assumed to be active at each  
40 time-frequency bin and thus the DOA estimations is performed at  
41 the bins bearing high local power. In [26], subspace based time-  
42 frequency selection procedure is proposed with the assumption  
43 that signals and noise are statistically independent, which is—  
44 on the contrary—clearly violated in most of the realistic scenar-  
45 ios, where the high degree of reverberation creates coherent, i.e.,  
46 highly correlated, sources. A three-step time-frequency bin se-  
47 lection method is proposed in [27] by jointly using the methods in  
48 [24] and [26]. The time-frequency bins with sufficiently high lo-  
49 cal power are selected and then, “onset detection” is performed  
50 by marking a sudden rise in energy in the frequency bands. In  
51 the last step, the coherence test of [26] is applied to the time-  
52 frequency bins selected in the onset detection step. Although se-  
53 lecting the time-frequency bins with higher local power [24] is  
54 an effective method for eliminating the noise, it leads to incor-  
55 rect decisions under high reverberation. The reason is that the  
56 time-frequency bins with power above a certain threshold may be  
57 resulted from not only a single source but from simultaneously ac-  
58 tive sources at those bins, for example, a typical failure scenario  
59 can be realized due to the overlapped portions of the direct path  
60 and multipath signals. Similarly, detecting a sudden rise in energy  
61 in time-frequency bins [27] is not a sufficient condition to con-  
62 clude that only the new sound source is active at those bins. When  
63 the energy of the direct path signal does not degrade so rapidly as  
64 expected, the signals from other paths may overlap with the di-  
65 rect path signal. In another study [28], a smoothing operation over  
66 frequency bins is proposed to overcome the false detections for

coherent sources (to enhance the method in [26]) by using spher- 67  
ical array with 32 sensors. The basic assumption for this method 68  
is that the array steering matrix is frequency independent and the 69  
coherent source signals are not linearly dependent over the fre- 70  
quency. However, this assumption becomes invalid when the same 71  
signal from different reflection paths arrive approximately at the 72  
same time. 73

74 On the contrary, we propose a novel time-frequency selection 75  
method for a single source without any assumption about the sig- 76  
nal source statistics, power distribution and environmental char- 77  
acteristics. Our approach performs the DOA estimation and the 78  
time-frequency bin selection jointly in one framework, which is 79  
first introduced in our previous paper [37] for perfect collocated 80  
sensors [33–37]. This framework consists of single source detection 81  
and clustering the detected sources for direct path identification. 82  
Based on this approach, we first consider that there is only one 83  
single active source in the medium and estimate the DOA at each 84  
time-frequency bin. Then, we model the amplitude array response 85  
of the AVS from the estimated DOA and select the time-frequency 86  
bins, where the observed signal is consistent with the modeled ar- 87  
ray response. We emphasize that this current paper describes a 88  
more thorough analysis of our approach and introduces an addi- 89  
tional new methods for addressing the realistic scenarios in which 90  
the perfect collocation of sensors is not possible. 91

92 Due to the physical constraints, the microphones on an AVS 93  
cannot be placed at the same point in space in real scenario. More- 94  
over, placing microphones very close to each other may generate 95  
reflected waves distorting the received signals. Based on these 96  
practical considerations, in this work we handle the realistic scenar- 97  
io of microphones placed on the AVS with offset in 3D space, 98  
in contrast to the studies that assume perfect collocation of micro- 99  
phones [33–37]. Note that, the DOA estimation algorithms derived 100  
for perfect collocated microphone systems can not be used effec- 101  
tively in practical cases, since the offset between microphones in- 102  
validates the assumption that there are only amplitude differences 103  
between microphone signals. To handle this situation, in this paper, 104  
we propose novel DOA estimation and single source identification 105  
methods to able to use the framework introduced in our previ- 106  
ous work [37] in realistic AVS structures. In our proposed method, 107  
we do not need to know the exact offset between microphones 108  
to find the direction of arrival (DOA) estimation; instead we use 109  
the resulting time shifts which we mathematically as well as ex- 110  
perimentally analyze. These time shifts introduce the spatial phase 111  
factors in the array response of the AVS and give rise to ambiguity 112  
in the DOA estimation. To this end, the proposed method handles 113  
this ambiguity in direction of arrival estimation problem under 114  
high reverberation and practical AVS constraints by exploiting the 115  
directional amplitude structure of the AVS array response [29,30]. 116  
Through this approach, we guarantee that there is only one active 117  
source at the selected time-frequency bins unlike other approaches 118  
such as [24]. We mathematically prove and experimentally show 119  
that the proposed approach does not label any time-frequency bins 120  
with multiple sources wrongly as a single-source bin in any con- 121  
dition. Therefore, our method is more reliable compared to the 122  
existing methods that perform the time-frequency bin selection 123  
and DOA estimation separately [24–28], i.e., not jointly as proposed 124  
in this paper. Since the identified time-frequency bins are multi- 125  
path free (source signal is received through the direct path only, 126  
and not from reflecting surfaces), we also do not need to know 127  
the environmental characteristics such as room impulse response 128  
to find the DOA estimates. Our proposed method does not rely 129  
on W-disjoint orthogonality assumption and can be applied to any 130  
wideband acoustic signal, not limited to speech signals. 2-D DOA 131  
angle estimation at each time-frequency bin is performed directly 132  
from data without an exhaustive search as in the MUSIC algorithm

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