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1 Spoofing and countermeasures for speaker verification: A survey

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13 Abstract

14 While biometric authentication has advanced significantly in recent years, evidence shows the technology can be susceptible to mali-
15 cious spoofing attacks. The research community has responded with dedicated countermeasures which aim to detect and deflect such
16 attacks. Even if the literature shows that they can be effective, the problem is far from being solved; biometric systems remain vulnerable
17 to spoofing. Despite a growing momentum to develop spoofing countermeasures for automatic speaker verification, now that the tech-
18 nology has matured sufficiently to support mass deployment in an array of diverse applications, greater effort will be needed in the future
19 to ensure adequate protection against spoofing. This article provides a survey of past work and identifies priority research directions for
20 the future. We summarise previous studies involving impersonation, replay, speech synthesis and voice conversion spoofing attacks and
21 more recent efforts to develop dedicated countermeasures. The survey shows that future research should address the lack of standard
22 datasets and the over-fitting of existing countermeasures to specific, known spoofing attacks.

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24
25 *Keywords:* Automatic speaker verification; Spoofing attack; Countermeasure; Security

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67 **1. Introduction**

68 Various distinctive and measurable physiological and
69 behavioural traits have been investigated for biometric rec-
70 ognition (Jain et al., 2006). As our primary method of com-
71 munication, speech is a particularly appealing modality.
72 Individual differences in both physiological and behav-
73 ioural characteristics, e.g. the vocal tract shape and intona-
74 tion, can be captured and utilised for automatic speaker
75 verification (ASV) (Kinnunen and Li, 2010).

76 Recent advances in channel and noise compensation
77 techniques have significantly improved ASV performance
78 to levels required for mass-market adoption. Reliable and
79 efficient authentication is now possible in smartphone log-
80 ical access scenarios (Lee et al., 2013) and in e-commerce
81 (Nuance, 2013) for example. Even though ASV provides
82 a low-cost and convenient approach to authentication,
83 however, reliability in the face of spoofing remains a con-
84 cern (Evans et al., 2013; Evans et al., 2014b).

85 A generic biometric system may be manipulated or
86 attacked at various stages between sample acquisition
87 and the delivery of an authentication result (Ratha et al.,
88 2001; Faundez-Zanuy, 2004; Galbally et al., 2010). In the
89 specific case of ASV as illustrated in Fig. 1, attacks at both
90 the microphone and transmission levels are generally

considered to pose the greatest threat (Faundez-Zanuy et al., 2006). Here, an adversary, typically referred to as an impostor, might seek to deceive the system by impersonating another enrolled user at the microphone in order to manipulate the ASV result. Alternatively, captured speech signals can be intercepted and replaced at the transmission level by another specially crafted voice signal. Since speaker recognition is commonly used in telephony, or other unattended, distributed scenarios without human supervision or face-to-face contact, speech is arguably more prone to malicious interference or manipulation than other biometric signals; the potential for ASV systems to be spoofed is now well-recognised (Evans et al., 2013; Evans et al., 2014b; Wu and Li, 2013).

Prior to the consideration of spoofing, ASV systems were designed to distinguish between target speakers and zero-effort impostors. This research focuses on improving fundamental recognition performance, as opposed to security or robustness to spoofing and drove the community to investigate different approaches to speaker characterisation at the feature level including: (i) short-term spectral and voice source features, such as Mel-frequency cepstral coefficients (MFCCs) and glottal pulse features; (ii) prosodic and spectro-temporal features such as rhythm, pitch and other segmental information; (iii) high-level features such

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