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# 1. Introduction

The importance of spray/droplet drying in various engineering and pharmaceutical applications is well known [1–4]. Spraydrying technology can be utilised to incorporate different excipients as well as active pharmaceutical ingredients into a dry powder formulations. A few advantages include modification of the aerosolisation characteristics of the spray-dried powder [5,6], and sustained release of the active drug using appropriate modifiers such as hydroxypropyl cellulose [7] and polylactic acid [8]. Reviews of particle engineering via spray drying were presented by Vehring [9] and Yan Wei [10]. Various aspects of, and recent advances in spray drying are presented in [11]. Mezhericher et al. [12] reviewed theoretical studies of slurry droplet evaporation kinetics.

Mathematical models of the evaporation of slurry droplets of various complexity were developed by a number of authors, including [1,4,13-18]. A simplified model for evaporation of slurry droplets was developed by Minoshima et al. [19].

These models have been based on a number of simplifying assumptions, including the assumption that the temperature gradient inside droplets can be ignored. The applicability of these assumptions has not been investigated in most cases. The main aim of this paper is to present the results of the development of

ABSTRACT

A new model for droplet drying is suggested. This model is based on the analytical solutions to the heat transfer and species diffusion equations inside spherical droplets. Small solid particles dispersed in an ambient evaporating liquid, or a non-evaporating substance dissolved in this liquid, are treated as non-evaporating components. Three key sub-processes are involved in the process of droplet drying within the new model: droplet heating/cooling, diffusion of the components inside the droplets, and evaporation of the volatile component. The model is used to analyse the drying of a spray consisting of chitosan dissolved in water. After completion of the evaporation process, the size of the residual solid ball predicted by the model is consistent with those observed experimentally.

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a new model for spray drying which relaxes many of the previously used assumptions and takes into account the gradients of small solid particle or dissolved non-evaporating substance concentrations and temperature inside droplets in a self-consistent way.

The new model is based on the model for automotive fuel droplet heating and evaporation, previously developed by one of the authors (SSS) and his colleagues (see [20,21]). In this model, small solid particles dispersed in an ambient evaporating liquid, or a non-evaporating substance dissolved in this liquid, are treated as non-evaporating components. Analytical solutions to the equations for species diffusion and heat transfer inside droplets are used for the analysis of the process at each time step. The model is applied to the process of spray drying to produce chitosan particles.

Basic equations and assumptions of the model are described in Section 2. The technology involved in the preparation of chitosan particles and input parameters to the model are described in Section 3. The solution algorithm is briefly described in Section 4. Results of calculations, based on the new model and using input parameters described in Section 3, are presented and discussed in Section 5. The main results of the paper are summarised in Section 6.

#### 2. Model

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https://doi.org/10.1016/j.ijheatmasstransfer.2018.01.094 0017-9310/© 2018 Elsevier Ltd. All rights reserved. The model is focused on the analysis of heating and evaporation of droplets with small solid particles dispersed in an ambient



Nomenclature

$B_{M(T)}$	Spalding mass (heat) transfer number	$\mathcal{E}_{\eta(q)}$	characteristic Lennard-Iones energy for vapour (air)	
C	specific heat capacity	$\mathcal{K}_R$	parameter introduced in Eq. (2)	
D	diffusion coefficient	λη	eigenvalues	
F	function introduced in Eq. $(7)$	u"	dynamic viscosity	
h	convection heat transfer coefficient	II.o	parameter introduced in Eq. $(2)$	
ho	parameter introduced in $Fa$ (2)	v	kinematic viscosity	
hov	parameter introduced in Eq. (10)	0	density	
k	thermal conductivity	ρ σ	Lennard-Jones length	
k.	Boltzmann constant	v	coefficient defined in Fa (5)	
I	specific heat of evaporation	λ γ	coefficient defined in Eq. $(3)$	
L I p	Lewes number	λγ Ο-	parameter introduced in Eq. (24)	
M	molar mass	22D	parameter introduced in Eq. (24)	
m.	evaporation mass rate	сı .		
ni Nu	Nusselt number	Subscrip	cripts .	
nu		air	air	
р Do	Deelet number	av	average	
Pe Du	Peciet number	С	centre	
Pr	Pranou number	d	droplet	
$q_n$	parameter introduced in Eq. (2)	eff	effective	
$q_{Yin}$	parameter defined by Eq. (12)	е	evaporation	
$Q_L$	power spent on droplet heating	g	gas	
$Q_{Yn}$	parameter defined in Eq. (11)	i	species	
R	distance from the droplet centre	iso	isolated	
$R_d$	droplet radius	1	liquid phase	
Re	Reynolds number	Μ	mass transfer	
Sc	Schmidt number	р	constant pressure	
t	time	r	reference	
$T^*$	normalised temperature	S	surface, swelling or non-evaporating liquid	
Т	temperature	total	total	
v	velocity	Т	heat transfer	
$v_n, v_{Yn}$	eigenfunctions	v	vapour phase	
$V_{v}$	parameter defined in Eq. (22)	0	value at the beginning of a time step or initial value	
Χ	molar fraction	1	value at the end of a time step	
Y	mass fraction	$\infty$	ambient	
Greek symbols Superscripts				
α	film parameter defined in Eq. (9)	sat	saturated	
$\epsilon_i$	evaporation rate of species <i>i</i>	_	average	
•	· ·			

evaporating liquid, or a non-evaporating substance dissolved in this liquid. This process eventually leads to the formation of a solid (dry) residue, and the process itself is called drying. As mentioned in the previous section, small solid particles dispersed in an ambient evaporating liquid, or a non-evaporating substance dissolved in this liquid, can be treated as non-evaporating components. This allows us to treat drying droplets as at least bi-component. The droplets are assumed to be perfectly spherical. Three key sub-processes involved in the process of droplet drying will be considered separately in the following sub-sections: droplet heating/cooling, diffusion of the components inside droplets, and evaporation of the volatile component.

### 2.1. Droplet heating/cooling

The process of droplet heating/cooling is described by the transient heat conduction equation for the temperature  $T \equiv T(t, R)$  in the liquid phase, assuming that all processes are spherically symmetric [22,23]. An analytical solution to this equation, subject to the initial,  $T(t = 0) = T_{d0}(R)$ , and boundary condition (assuming that the effects of evaporation can be ignored),

$$h(T_g - T_s) = k_{\text{eff}} \frac{\partial T}{\partial R}\Big|_{R=R_d - 0},\tag{1}$$

and assuming that the convection heat transfer coefficient h = const, can be presented as [24]:

$$T(R,t) = \frac{1}{R} \sum_{n=1}^{\infty} \left\{ q_n \exp\left[-\kappa_R \lambda_n^2 t\right] - \frac{R_d^2 \sin \lambda_n}{||\upsilon_n||^2 \lambda_n^2} \mu_0(0) \exp\left[-\kappa_R \lambda_n^2 t\right] - \frac{R_d^2 \sin \lambda_n}{||\upsilon_n||^2 \lambda_n^2} \int_0^t \frac{d\mu_0(\tau)}{d\tau} \exp\left[-\kappa_R \lambda_n^2 (t-\tau)\right] d\tau \right\} \\ \times \sin\left[\lambda_n \left(\frac{R}{R_d}\right)\right] + T_g(t),$$
(2)

where  $\lambda_n$  are solutions to the equation:

$$\lambda \cos \lambda + h_0 \sin \lambda = 0, \tag{3}$$

$$\begin{split} ||v_{n}||^{2} &= \frac{R_{d}}{2} \left( 1 - \frac{\sin 2\lambda_{n}}{2\lambda_{n}} \right) = \frac{R_{d}}{2} \left( 1 + \frac{h_{0}}{h_{0}^{2} + \lambda_{n}^{2}} \right), \\ q_{n} &= \frac{1}{||v_{n}||^{2}} \int_{0}^{R_{d}} \widetilde{T}_{0}(R) \sin \left[ \lambda_{n} \left( \frac{R}{R_{d}} \right) \right] \mathrm{d}R, \ \kappa_{R} &= \frac{k_{\mathrm{eff}}}{c_{l}\rho_{l}R_{d}^{2}}, \\ \mu_{0}(t) &= \frac{hT_{g}(t)R_{d}}{k_{\mathrm{eff}}}, \end{split}$$

 $h_0 = (hR_d/k_{\text{eff}}) - 1$ ,  $\tilde{T}_0(R) = RT_{d0}(R)$ . The solution to Eq. (3) gives a set of positive eigenvalues  $\lambda_n$  numbered in ascending order

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