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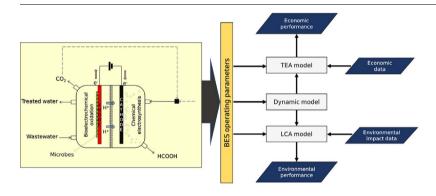
## Life cycle, techno-economic and dynamic simulation assessment of bioelectrochemical systems: A case of formic acid synthesis



Mobolaji Shemfe<sup>a</sup>, Siddharth Gadkari<sup>b</sup>, Eileen Yu<sup>c</sup>, Shahid Rasul<sup>c</sup>, Keith Scott<sup>c</sup>, Ian M. Head<sup>d</sup>, Sai Gu<sup>b</sup>, Jhuma Sadhukhan<sup>a,b,\*</sup>

- <sup>a</sup> Centre for Environment and Sustainability, University of Surrey, Guildford, Surrey GU2 7XH, UK
- <sup>b</sup> Department of Chemical and Process Engineering, University of Surrey, Guildford, Surrey GU2 7XH, UK
- <sup>c</sup> School of Engineering, Newcastle University, Newcastle Upon Tyne, Tyne and Wear NE1 7RU, UK
- <sup>d</sup> School of Natural and Environmental Sciences, Newcastle University, Newcastle upon Tyne, Tyne and Wear NE1 7RU, UK

#### GRAPHICAL ABSTRACT



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### ABSTRACT

A novel framework, integrating dynamic simulation (DS), life cycle assessment (LCA) and techno-economic assessment (TEA) of a bioelectrochemical system (BES), has been developed to study for the first time wastewater treatment by removal of chemical oxygen demand (COD) by oxidation in anode and thereby harvesting electron and proton for carbon dioxide reduction reaction or reuse to produce products in cathode. Increases in initial COD and applied potential increase COD removal and production (in this case formic acid) rates. DS correlations are used in LCA and TEA for holistic performance analyses. The cost of production of HCOOH is  $€0.015-0.005\,g^{-1}$  for its production rate of  $0.094-0.26\,kg\,yr^{-1}$  and a COD removal rate of  $0.038-0.106\,kg\,yr^{-1}$ . The life cycle (LC) benefits by avoiding fossil-based formic acid production (93%) and electricity for wastewater treatment (12%) outweigh LC costs of operation and assemblage of BES (-5%), giving a net  $61MJkg^{-1}$  HCOOH saving.

### 1. Introduction

Research interest in renewable fuels has intensified over the last two decades due to the finiteness of fossil fuels and concern about their environmental issues (IPCC, 2014). Lately, there has been a particular focus on the production of renewable fuels and chemicals from waste, as it does not only enable the reuse of an otherwise insignificant stream to maximise utility but also capacitates the realisation of a circular

<sup>\*</sup> Corresponding author at: Centre for Environment and Sustainability, University of Surrey, Guildford, Surrey GU2 7XH, UK. E-mail address: j.sadhukhan@surrey.ac.uk (J. Sadhukhan).

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		$O_n$ $T_n$	annual operating cost annual income tax
q	COD Consumption rate in the biofilm (kgCOD kgVS <sup>-1</sup> day <sup>-1</sup> ), VS = Volatile solids as a measure of biomass	$q_{ m max}$	maximum consumption rate in the biofilm (kgCOD $kgVS^{-1} day^{-1}$ )
$S_D$	COD (substrate) concentration (kgCOD cm <sup>-3</sup> )	$K_{SD}$	half maximum rate concentration (KgCOD cm <sup>-3</sup> )
$\phi_a$ , $\phi_i$	volumetric fraction of active and inactive biomass (di-	η	local electric potential of the biofilm (V)
	mensionless)	T	temperature (298.15 K)
R,F	universal gas constant and Faraday constant	$E_{KA}$	half maximum rate potential (V)
ν	advective velocity (cm <sup>-2</sup> day <sup>-1</sup> )	$b_{res}$	endogenous decay coefficient of active biomass (day <sup>-1</sup> )
$r_{res}$	specific rate of endogenous respiration (day <sup>-1</sup> )	$D_{ED,l}$	diffusion coefficient of substrate in the bulk liquid (cm <sup>2</sup>
$D_{ED,f}$	diffusion coefficient of substrate in the biofilm (cm <sup>2</sup>		$day^{-1}$ )
	$day^{-1}$ )	$L$ , $L_f$	thickness of diffusion layer and biofilm (cm)
$ ho_a, ho_i$	density of active and inactive biomass (kgVS cm <sup>-3</sup> )	$Q_s$	general source term (mA cm <sup>-3</sup> )
j	current density (mA cm <sup>-2</sup> )	$f_0^e$	fraction of energy generating electrons (dimensionless)
$k_{bio}$	biofilm conductivity (Sm <sup>-1</sup> )	$\gamma_2$	electron equivalence of biomass
$\gamma_1$	electron equivalence of substrate	Y	true yield (kgVS kgCOD <sup>-1</sup> )
τ	time conversion factor (s day <sup>-1</sup> )	$V_{app}$	applied cell potential (V)
$V_1, V_2$	constants of the linear applied potential-related function	$b_{dec}$	first-order inactivation rate coefficient (day <sup>-1</sup> )
$r_{dec}$	rate of active biomass inactivation $(day^{-1})$	$A_{an},A_{cat}$	Anode and Cathode surface area (cm <sup>2</sup> )
$R_{HCOOH}$	rate of formic acid production (mg $L^{-1} hr^{-1}$ )	$V_{an}, V_{cat}$	Anode and Cathode compartment volume (cm <sup>3</sup> )
$FE_{HCOOH}$	Faradic efficiency for formic acid production (%)	$M_w$	molecular weight of acetate (g mol <sup>-1</sup> )
n	number of electrons transferred per mole of formic acid	Z	Spatial longitudinal coordinate from the anode surface
$J_{SD}$	molar flux of substrate passing through the biofilm		(cm)
	$(kgCOD cm^{-2} day^{-1})$	$\theta$	HCOOH selling price
$C_i$	total initial capital investment	t	number of operational years
β	cost avoided from an activated sludge plant for equivalent	r	required rate of return
	COD removal	$(\hat{\theta})$	HCOOH selling minimum price

economy. At the same time, environmental pollution and waste generation in the industrial, municipal and agricultural sectors continue to grow (Pant et al., 2011). For example, the pollution of aquatic ecosystems by industrial and municipal wastewaters, containing metallic and organic contaminants, is a growing environmental concern. The accumulation of these contaminants in aquatic ecosystems poses a toxicological hazard to public health and communities of dependent living organisms. Moreover, traditional wastewater treatment methods significantly contribute to greenhouse gas (GHG) emissions globally (IPCG, 2014). Global GHG emissions from conventional wastewater treatment plants could, in fact, reach about 12.1 ktd<sup>-1</sup> by 2025 (Rosso and Stenstrom, 2008). Thus, innovative wastewater treatment technologies with synergetic resource recovery and transformation capabilities are currently being developed (Puyol et al., 2017).

One of such technologies, bioelectrochemical systems (BESs), present a promising opportunity for wastewater treatment and simultaneous in-situ electricity, fuel and chemical production (Sadhukhan et al., 2016) as well as metal recovery (Ng et al., 2016). BESs are electrochemical devices that are aided by pure cultures, bacteria communities or isolated enzymes for catalysing redox reactions (Rabaey et al., 2009). In a typical BES setup, wastewater is fed to the anodic chamber, where its organic contaminants are oxidised to produce CO<sub>2</sub>, protons and electrons. Depending on the mode of operation, the electrons travel through a circuit to either solely generate electricity or combine with CO2 or other substrates and protons (which diffuse through a membrane) to produce fuels or chemicals in the cathodic chamber. Various operational modes of BESs, including microbial fuel cells (MFCs), microbial electrolysis cells (MECs), microbial electrosynthesis systems and other variants have been trialled with promising results (Rozendal et al., 2008). These BESs are targeted towards various applications, notably remote electricity generation and wastewater treatment, resource recovery, sensor applications, desalination and electrochemical reduction of CO<sub>2</sub> into high-value chemical compounds (Bajracharya et al., 2016). Among these applications, the synthesis of chemical compounds via CO $_2$  reduction offers multifunctional benefits towards the implementation of several the United Nations' Sustainable

Development Goals (SDGs), such as SDG 6: clean water and sanitation; SDG 7: affordable and clean energy, and SDG 13: climate action (Sadhukhan et al., 2018). These benefits include wastewater treatment through the removal of chemical oxygen demand (COD), renewable energy production from electrons liberated from the wastewater and temporary storage and utilisation of  $CO_2$  (a potent GHG) to synthesise chemical products otherwise produced from fossil fuels (Shemfe et al., 2018).

Several valuable chemical compounds, including methane, formic acid, acetic acid, propanol, butanol and ethanol, can be produced from BESs via CO2 reduction at different reduction potentials (Sadhukhan et al., 2016). Formic acid (HCOOH) stands out from other feasible chemical products due to its versatility. It is used as a chemical feedstock in the textile, pharmaceutical and food preservative industries, a liquid carrier for safe hydrogen storage and transportation, and fuel for fuel cells (IHS Markit, 2017; Kim et al., 2009; Rice et al., 2002). The global production of HCOOH has reached 620 kt in 2012, and it is projected to exceed 760 kt by 2019 at an average annual growth rate of 3.8% (Pérez-Fortes et al., 2016). Despite the versatility of HCOOH, it is primarily produced industrially from resource-intensive and environmentally damaging fossil-based methods, decarboxlative cyclization of adipic acid, oxidation of butane and hydrolysis of methyl formate (Ecoinvent, 2013). Thus, a BES-based production route, considering its plausible relative environmental benignity, could potentially replace current fossil-based production methods (Sadhukhan, 2017). To this end, only one technical and sustainability analysis study exists. Sadhukhan (2017) has investigated sustainable development of BES, encompassing technical modelling by analytically solving partial differential equations and triple-bottom-line life cycle sustainability assessment, applied to wastewater treatment in anode and formic acid synthesis in cathhode.

In a typical BES setup, at pH = 7 Normal Hydrogen Electrode, the open circuit potential for COD oxidation (acetate) at bioanode is  $\sim -0.3 \text{ V}$  (Call and Logan, 2008). However, CO<sub>2</sub> reduction to HCOOH requires a potential of at least -0.41 V (Rabaey and Rozendal, 2010). As the resulting cell potential (-0.41 - (-0.3) = -0.11 V) is

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