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Chinese Society of Aeronautics and Astronautics & Beihang University

Chinese Journal of Aeronautics

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JOURNAL OF
AERONAUTICS

³ Identifying vital edges in Chinese air route network via memetic algorithm

5 Du Wenbo ^{a,b}, Liang Boyuan ^{a,b}, Yan Gang ^c, Oriol Lordan ^d, Cao Xianbin ^{a,b,*}

⁶ ^a School of Electronic and Information Engineering, Beihang University, Beijing 100083, China

^b Beijing Key Laboratory for Network-based Cooperative Air Traffic Management, Beijing 100083, China

⁸ ^c School of Physics Science and Engineering, Tongji University, Shanghai 200092, China

9 d'Universitat Politècnica de Catalunya-BarcelonaTech, C/Colom no.11, Terrassa 08222, Spain

10 Received 28 July 2016; revised 12 September 2016; accepted 8 October 2016

¹³ KEYWORDS ¹⁴

15 Air route network; 16 Air transport network; 17 Memetic algorithm;

- 18 Robustness; 19 Vital edges
- 20

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Abstract Due to rapid development in the past decade, air transportation system has attracted considerable research attention from diverse communities. While most of the previous studies focused on airline networks, here we systematically explore the robustness of the Chinese air route network, and identify the vital edges which form the backbone of Chinese air transportation system. Specifically, we employ a memetic algorithm to minimize the network robustness after removing certain edges, and hence the solution of this model is the set of vital edges. Counterintuitively, our results show that the most vital edges are not necessarily the edges of the highest topological importance, for which we provide an extensive explanation from the microscope view. Our findings also offer new insights to understanding and optimizing other real-world network systems.

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21 1. Introduction

22 With the increasing people and goods transport demand dur-23 ing the accelerating globalization process, the air transporta-

24 tion system plays a more important role than ever before 25 due to its high-speed and high-security advantages. For exam-

Peer review under responsibility of Editorial Committee of CJA.

ple, the air transport volume of China grows at an average 26 annual speed of over 10% in the past decades, and now it pos- 27 sesses over one seventh of the total comprehensive transport 28 volume (including roadways, railways, shipping and air trans- 29 port), which was only 7.9% in 2000. Hence the air transporta- 30 tion system has been drawing much attention from different 31 research communities. One of the most interesting directions 32 is to analyze the structure and function of air transportation 33 systems within the framework of complex network theory. 34

The air transportation system can be represented as a net-
35 work, in which nodes denote airport and an edge will be cre- 36 ated if there is a direct flight between two airports. In the 37 vast majority of previous literature, the air transport network 38 (ATN) was primarily classified into two scales: worldwide and 39 national. 40

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Please cite this article in press as: Du W et al. Identifying vital edges in Chinese air route network via memetic algorithm, *Chin J Aeronaut* (2016), [http://dx.doi.org/](http://dx.doi.org/10.1016/j.cja.2016.12.001) [10.1016/j.cja.2016.12.001](http://dx.doi.org/10.1016/j.cja.2016.12.001)

Corresponding author at: School of Electronic and Information Engineering, Beihang University, Beijing 100083, China. E-mail address: xbcao@buaa.edu.cn (X. Cao).

 For the worldwide scale, Amaral et al. firstly found that worldwide ATN is a small-world network with a power-law degree distribution, and the highest-degree airport is not neces- sarily the most central node, prompting them to propose a net- work model where both geographical and political factors are 46 taken into account.^{[1,2](#page--1-0)} Barrat et al. investigated the worldwide ATN from a perspective of complex weighted networks and found the nonlinear positive correlation between flight flow 49 and topology properties.^{[3,4](#page--1-0)} They proposed a weighted network model, enlightening the understanding of weighted feature of complex systems. Verma et al. decomposed the worldwide ATN into three distinct layers via k-core decomposition and found that this network is robust to the removal of long dis- tance edges, but fragile to the disconnectivity of short and apparently insignificant edges. $5,6$

 For the national scale, ATNs of several major nations, such 57 as US, Brazil, India and China, are extensively studied^{[3,7–11](#page--1-0)}, and the national ATNs usually exhibit different features from the worldwide ATN. Gautreau et al. studied US ATN during 1990–2000.[3](#page--1-0) A remarkable result they presented is that although most statistical properties are stationary, an intense activity takes place at the local level. Fleurquin et al. proposed a delay propagation model via quantifying the network con- gestion for US ATN, revealing that even under normal operat-65 ing condition the systemic instability risk is non-negligible.^{[11](#page--1-0)} Rocha investigated the Brazilian ATN during 1995–2006, and found that it shrank in topology but grew in traffic vol- ume[.7](#page--1-0) Bagler et al. studied the Indian ATN, and found its sig-69 nature of hierarchy feature.^{[12](#page--1-0)} As the most active economy, the Chinese aviation industry ranks second to US in the past dec- ade and keeps a high increase rate. Consequently, Chinese ATN attracts continuous attention in different aspects from 73 topology to dynamics and evolution, $8-10,13,14$ one of which is to investigate the backbone of ATN, the air route network 75 (ARN).

 ATN is actually a logic network with origin-destination (OD) relationships. In real air traffic operation, a flight does not straightly fly from departure airport to landing airport, but along some air route waypoints. ARN consists of air route waypoints and connections between them. In 2012, Cai et al. 81 firstly investigated the Chinese $ARN¹⁵$ $ARN¹⁵$ $ARN¹⁵$ and found that the degree distribution of Chinese ARN is homogeneous but the traffic flow is rather heterogeneous. Vitali et al. then investi-84 gated the horizontal deviation and delays in Italian ARN.¹⁶ The analysis of ARN is quite a novelty in the literature. How- ever, the network robustness, which is an important issue for 87 infrastructure systems^{[17](#page--1-0)} and has been extensively studied in 88 ATN, 18,19 18,19 18,19 is still rare in ARN. In the typical network robust- ness model, edges are removed by different targeted attack strategies and the size of giant component estimates the 91 robustness of the network.^{[20](#page--1-0)} When a small amount of edges are removed, the size of giant component is of a very small change. In this paper, we focus on identifying the vital edges in Chinese ARN by examining the robustness of the new net- work after removing an edge set via memetic optimization. Remarkably, we find that the most vital edges are not necessar-ily the edges of the highest topological importance.

 The rest of this paper is organized as follows. In the next section, we demonstrate Chinese air route network and its basic properties. Section 3 describes the optimization model and the memetic algorithm. Section [4](#page--1-0) presents the simulation

results and corresponding analysis. Finally, the paper is con- 102 cluded in Section [5.](#page--1-0) 103

2. Chinese air route network 104

The latest data of the Chinese air route network are provided 105 by the Air Traffic Management Bureau (ATMB) of China. In 106 the Chinese ARN, airports or air route waypoints are nodes 107 and edges are represented by the air route segments. An air 108 route waypoint is a navigation marker which keeps the pilots 109 informed about the desired track. In the air transportation sys-
110 tem, the flights will fly along the air route waypoints, but not 111 directly fly from one airport to another. Fig. 1 is an illustration 112 of ARN, where airlines are depicted by the dotted line and air 113 route segments are denoted by the solid line. [Fig. 2](#page--1-0) shows the 114 structure of the Chinese ARN, which contains $N = 1499$ nodes 115
and $M = 2242$ edges.

and $M = 2242$ edges. 116
In Ref. [15](#page--1-0), the authors found that the topology structure of 117 the Chinese ARN is homogeneous, yet its distribution of flight 118 flow is quite heterogeneous. If we compare the Chinese ATN 119 with the Chinese ARN, we found significant differences. On 120 one hand, the Chinese ATN is a typical small-world with 121 low average shortest path length and large clustering coeffi- 122 cient. On the other hand, the Chinese ARN is not a small- 123 world network due to its low clustering coefficient, large aver-
124 age shortest path length and exponential spatial distance 125 distribution. 126

3. Model 127

3.1. Optimization model 128

The static robustness of complex networks has been exten- 129 sively studied in the past decades. In Ref. 21 , it is quantified 130 by the relative size of the largest connected component 131 $G = N/N$ where N is the total number of nodes in initial net-
work and N' is the number of nodes in the largest component work and N' is the number of nodes in the largest component 133 after attack. The larger value of G represents a more robust 134 network. Based on the largest connected component, Schnei- 135 der et al. proposed a measure R to evaluate the robustness 136 against targeted attack on nodes.^{[17](#page--1-0)} 138

Figure 1 Illustration of ARN.

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