



# On the finite time singularities for a class of Degasperis–Procesi equations



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## ABSTRACT

As we all know, the conservation laws take an important part in deriving wave breaking for Degasperis–Procesi (DP) type equation. In the article, we give a new method to study singularities in finite time for a class of DP equations with high nonlinear terms. The paper tells us that the result of wave breaking can be established without the conservation law.

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## 1. Introduction

In this article, we consider wave breaking of the initial value problem for the following nonlinear partial differential equation

$$\begin{cases} \partial_t u + u \partial_x u + (1 - \partial_x^2)^{-1} [F(u) + \partial_x G(u)] = 0, & t > 0, x \in \mathbb{R}, \\ u(0, x) = u_0(x), & x \in \mathbb{R}, \end{cases} \quad (1.1)$$

which can be regarded as a model for describing waves breaking. Here  $u = u(t, x)$  stands for the fluid velocity at time  $t$  in the spatial  $x$  direction,  $F, G$  is a polynomial and the subscript denotes the partial derivative.

Eq. (1.1) can be considered as a type of Whitham equation [1,2]. The KdV equation cannot describe wave breaking, which is observed by many physicists in experiment. As Whitham [3] noted “it is intriguing to

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know what kind of simpler mathematical equation could include breaking and peaking”, more details can be found in [3].

Let  $F(u) = 0$ , and  $G(u) = ku$ . Then Eq. (1.1) becomes the Fornberg–Whitham equation

$$\partial_t u + u \partial_x u + (1 - \partial_x^2)^{-1} \partial_x [ku] = 0, \quad t > 0, x \in \mathbb{R},$$

i.e.

$$\partial_t u - \partial_t u_{xx} + ku_x + uu_x = 3u_x u_{xx} + uu_{xxx}, \quad t > 0, x \in \mathbb{R},$$

which was introduced by Fornberg and Whitham in 1967 as a model for describing waves breaking (i.e., the solution remains bounded but its slope becomes infinite) [1,2].

If  $F(u) = 0$  and  $G(u) = \frac{3}{2}u^2$ , Eq. (1.1) becomes the Degasperis–Procesi (DP) equation, which was derived by Degasperis and Procesi [4] in 1999 as a model for nonlinear shallow water dynamics [5]. It has a bi-Hamiltonian structure [6], a Lax pair based on a linear spectral problem of second order and is completely integrable [6]. One of the important features of the DP equation is that it has not only peakon solitons [6], which are given by

$$u(t, x) = ce^{-|x-ct|}$$

and periodic peakon solutions [7]

$$u(t, x) = \frac{\cosh(x - t - [x - t] - \frac{1}{2})}{\sinh \frac{1}{2}},$$

but also has shock peakons [8,9]

$$u(t, x) = -\frac{1}{t+k} \operatorname{sgn}(x) e^{-|x|}, \quad k > 0,$$

and periodic shock peakons [10]

$$u_c(t, x) = \begin{cases} \left( \frac{\cosh \frac{1}{2}t + c}{\sinh \frac{1}{2}} \right)^{-1} \frac{\sinh(x - [x] - \frac{1}{2})}{\sinh \frac{1}{2}}, & x \in \mathbb{R}/\mathbb{Z}, c > 0, \\ 0, & x \in \mathbb{Z}. \end{cases}$$

After the DP equation was derived, many results were obtained: Dullin, Gottwald and Holm [11] showed that the DP equation can be derived from the shallow water elevation equation by an appropriate Kodama transformation. Holm and Staley [5] studied the stability of solitons and peakons numerically. Lundmark and Szmigielski [12] also presented an inverse scattering approach for computing  $n$ -peakon solutions to the DP equation. Recently, Yin established local well-posedness of DP equation with initial datum  $u_0 \in H^s$ ,  $s > \frac{3}{2}$  on the line [13] and on the circle [14] by Kato’s semigroup theory. The global existence of strong solutions and global weak solutions to DP equation were also investigated in [7,10,15–17]. It should be emphasized that by the conservation

$$H(t) =: \int y v dx = \int y_0 v_0 dx =: H_0 \quad (1.2)$$

with  $y = (1 - \partial_x^2)u$  and  $v = (4 - \partial_x^2)^{-1}u$ , Liu and Yin etc. show that the DP equation not only has global existence of strong solution, but also has many kinds of blow-up phenomena, if the initial datum satisfies one of the following conditions:

- (i). If the initial datum  $u_0(x)$  is odd and  $u'(0) < 0$  [13].

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