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A review of the neuro- and systemic inflammatory responses in post concussion symptoms: Introduction of the "post-inflammatory brain syndrome" PIBS

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ABSTRACT

Post-concussion syndrome is an aggregate of symptoms that commonly present together after head injury. These symptoms, depending on definition, include headaches, dizziness, neuropsychiatric symptoms, and cognitive impairment. However, these symptoms are common, occurring frequently in non-head injured controls, leading some to question the existence of post-concussion syndrome as a unique syndrome. Therefore, some have attempted to explain post-concussion symptoms as post-traumatic stress disorder, as they share many similar symptoms and post-traumatic stress disorder does not require head injury. This explanation falls short as patients with post-concussion syndrome do not necessarily experience many key symptoms of post-traumatic stress disorder. Therefore, other explanations must be sought to explain the prevalence of post-concussion like symptoms in non-head injury patients. Many of the situations in which post-concussion syndrome like symptoms may be experienced such as infection and post-surgery are associated with systemic inflammatory responses, and even neuroinflammation. Post-concussion syndrome itself has a significant neuroinflammatory component. In this review we examine the evidence of neuroinflammation in post-concussion syndrome and the potential role systemic inflammation plays in post-concussion syndrome like symptoms. We conclude that given the overlap between these conditions and the role of inflammation in their etiologies, a new term, post-inflammatory brain syndromes (PIBS), is necessary to describe the common outcomes of many different inflammatory insults. The concept of post-concussion syndrome is in its evolution therefore, the new term post-inflammatory brain syndromes provides a better understanding of etiology of its wide-array of symptoms and the wide array of conditions they can be seen in.

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Abbreviations: PCS, post-concussion syndrome; mTBI, mild traumatic brain injury; TBI, traumatic brain injury; RA, rheumatoid arthritis; DSM-IV, Diagnostic and Statistical Manual of Mental Disorders IV; DSM-5, Diagnostic and Statistical Manual of Mental Disorders 5; ICD-10, International Classification of Diseases 10; CT, computed tomography; MRI, magnetic resonance imaging; PTSD, post-traumatic stress disorder; II-6, Interleukin 6; II-1β, Interleukin 1β; II-1α, Interleukin 1α; II-1ra, Interleukin 1 receptor antagonist; Il-2, Interleukin 2; Il-8, Interleukin 8; Il-10, Interleukin 10; Il-12, Interleukin 12; TNF-α, Tumor Necrosis Factor α; IFN-α, Interferon α; IFN-β, Interferon β; IFN-γ, Interferon γ; CRP, C-reactive protein; mRNA, messenger ribonucleic acid; SIRS, systemic inflammatory response syndrome; MODS, multi-organ dysfunction syndrome; CSF, cerebrospinal fluid; LPS, lipopolysaccharide; COX-2, cyclooxygenase 2; REM, rapid eye movement; HIV, human immunodeficiency virus; HPA, hypothalamic-pituitary-adrenal; CFS, chronic fatigue syndrome; TLR-4, Toll-like receptor 4; ACTH, adrenocorticotropic hormone; MCP-1, monocyte chemoattractant protein 1; POCD, post-operative cognitive dysfunction; PIBS, post-inflammatory brain syndromes; DAI, diffuse axonal injury; PCD, postconcussional disorder.

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1 Introduction 56

1.1. Introduction 57

58 Post-concussion syndrome (PCS) revolves primarily around an 59 aggregate of symptoms presenting after head injury. These symp-60 toms include headaches, dizziness, neuropsychiatric symptoms, 61 and cognitive impairment (Bazarian et al., 1999). Although the cur-62 rent definitions of PCS focus on head injury (American Psychiatric Association. Task Force on DSM-IV, 2000; World Health 63 Organization (WHO), 2009), similar symptoms also appear after 64 65 whiplash injuries (Haas, 1996). Perhaps more startling, a number 66 of studies have compared patients with mild traumatic brain injury 67 (mTBI) to controls without a history of head injury and found a 68 high prevalence of the same symptoms in both groups (Gouvier et al., 1988; Gunstad and Suhr, 2004). In a landmark paper, 69 70 Meares et al. (2008) studied patients with systemic poly-trauma 71 (multiple traumatic injuries to areas of the body other than the 72 head) as well as patients with mTBI and reported that patients 73 need not sustain a brain injury to present with the same symptoms 74 as those seen in PCS. These findings held up in their further study 75 in 2011 (Meares et al., 2011). After reviewing all available high 76 quality evidence, The International Collaboration on Mild 77 Traumatic Brain Injury Prognosis concluded that that PCS was 78 not specific to mTBI and recommended "that the term postconcus-79 sion syndrome be replaced with posttraumatic symptoms because 80 they are common to all injuries" (Cassidy et al., 2014). This has led 81 us to question the possible mechanisms linking systemic injury 82 and PCS like symptoms. Traditionally the mechanism of 83 post-concussion symptoms was attributed to diffuse mechanical 84 injury to nerve cells such as diffuse axonal injury (DAI), caused by the shear stress and tissue deformation in head trauma 85 (Maruta et al., 2010). In this paper, we will review the evidence 86 87 supporting the possibility that mechanisms other than direct 88 mechanical disruption of neurons are responsible for PCS. More 89 particularly, we will consider evidence linking PCS symptoms with 90 systemic inflammatory and immune responses. We consider both 91 experimental evidence and evidence of correlation.

1.2. Definition of PCS 92

There are currently two definitions used to describe PCS: one is 93 94 given by the Diagnostic and Statistical Manual of Mental Disorders 95 (DSM-IV) and the other by the International Classification of 96 Diseases (ICD-10). The application of the criteria in each of these 97 definitions yields widely different results within the same patient 98 population (McCauley et al., 2005). The DSM-IV definition of post-99 concussional disorder (PCD) requires a history of head trauma 100 leading to significant cerebral concussion, abnormalities on neu-101 ropsychiatric testing or quantified cognitive assessment of attention or memory deficits and three or more of the following 102 103 symptoms lasting at least 3 months: (1) becoming fatigued easily, 104 (2) disordered sleep, (3) headache, (4) vertigo or dizziness, (5) irritability or aggression on little or no provocation, (6) anxiety, 105 depression, or affective lability, (7) changes in personality (e.g., 106 107 social or sexual inappropriateness), (8) apathy or lack of spontane-108 ity. These symptoms cannot be explained by any other mental disorder and must result in a decline in functioning for a diagnosis to 109 110 be made (American Psychiatric Association, Task Force on DSM-IV, 111 2000). The ICD-10 definition, meanwhile, requires three of the fol-112 lowing symptoms: headache, dizziness, fatigue, irritability, insom-113 nia, concentration and performing mental tasks, impairment of 114 memory and intolerance to stress, emotional excitement or alcohol 115 after head trauma (World Health Organization (WHO), 2009). The 116 DSM-5 lacks a definition of PCS. However, it does contain the

diagnosis major or minor neurocognitive disorder due to traumatic 117 brain injury (TBI) (American Psychiatric Association. Task Force on 118 DSM-IV, 2013). Similar to the DSM-IV definition of PCD, the DSM-5 119 focuses on neurocognitive deficits. It requires evidence of both a 120 traumatic brain injury and neurocognitive deficits, as well as the 121 temporal correlation of these two factors. Other post-concussion 122 symptoms are mentioned as being possibly associated but are no 123 longer diagnostic criteria. For the purposes of this paper, we exam-124 ine the symptoms from both the ICD-10 and DSM-IV definitions in 125 order to be complete. 126

1.3. Etiologies of post-concussion syndrome

Research into the cause of PCS has focused primarily on two 128 mechanisms: physical injury to the brain and the psychosocial 129 impact of the mTBI. mTBI is frequently associated with an absence 130 of intracranial abnormality on conventional computed tomography 131 (CT) and magnetic resonance imaging (MRI) – even in patients 132 reporting PCS symptoms such as poor memory and concentration, 133 headache, dizziness, depression and irritability (Carroll et al., 2004; 134 NA, 1993). This suggests that the cause of PCS must either be 135 non-organic, or occur at a level too small to be visualized on con-136 ventional anatomic imaging. 137

1.4. The role of psychological changes

As the symptoms of PCS are similar to those of the somatization 139 seen in psychiatric disorders such as post-traumatic stress disorder 140 (PTSD), it has been suggested that psychological changes could be 141 linked to the occurrence of PCS symptoms. PTSD has been 142 described as "the complex somatic, cognitive, affective and behav-143 ioral effects of psychological trauma" (van der Kolk et al., 1996), 144 and patients with PTSD often experience intense fear or helpless-145 ness resulting in re-experiencing, avoidance, arousal (often includ-146 ing sleep disturbances, irritability and outbursts of anger), and 147 social and functional impairments (American Psychiatric 148 Association, Task Force on DSM-IV, 2000), TBI and PTSD have high 149 rates of co-occurrence among civilians and even more so among 150 soldiers who suffer combat-related TBI. Eleven percent of 151 American soldiers returning from combat in Iraq and Afghanistan 152 were reported to screen positive for PTSD in 2008 (Halbauer 153 et al., 2009). Among returning soldiers with mTBI, 62% screened 154 positive for PTSD (Schneiderman et al., 2008). This would appear 155 to provide evidence that PTSD may explain symptoms seen after 156 mTBI. However, it must be noted that most studies on this asso-157 ciation are in soldiers, and it is unknown if this has validity for 158 all populations that experience mTBI (see Table 1). 159

A recent study by Lagarde et al. (2014) examined PTSD in the 160 context of mTBI and found that mTBI was a predictor of PTSD 161 but not of PCS. In addition, the symptoms of PCS grouped similarly 162 to hyperarousal symptoms of PTSD (Lagarde et al., 2014). However, 163 the authors used the DSM-IV PCS definition for the prediction mod-164 el, rather than a more mTBI specific composite model developed in 165 the same patient sample. Further the clustering of symptoms only 166 aligned with the hyperarousal symptoms of PTSD and not other 167 PTSD symptoms, which clustered differently. While some symp-168 toms of PCS such as headache, dizziness, and sleep impairment 169 are also seen in PTSD (American Psychiatric Association, Task 170 Force on DSM-IV, 2000), patients with PCS lack several key symp-171 toms seen in PTSD such as flashbacks and avoidance of triggers. In 172 addition imaging has revealed other differences between indi-173 viduals with PCS and those with PTSD. In the early stages 174 (1-3 weeks) after mTBI, individuals who develop PCS have alter-175 ations in resting state functional MRI and have alterations in the 176 temporal and thalamic regions, and in the frontal region 6 months 177

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