Brain, Behavior, and Immunity xxx (2014) xxx-xxx

Contents lists available at ScienceDirect

# Brain, Behavior, and Immunity

journal homepage: www.elsevier.com/locate/ybrbi

### 2 Invited Review

# <sup>6</sup> The blood-brain barrier in neuroimmunology: Tales of separation and assimilation

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#### ARTICLE INFO

12 8 \_\_\_\_\_

15 Received 7 August 2014

16 Received in revised form 20 August 2014

- 17 Accepted 20 August 2014
- 18 Available online xxxx
- 19 Keywords:

11

- 20 Blood-brain barrier
- 21 Cytokine 22 Neuroimr
- 22 Neuroimmunology23 Brain endothelial ce
- Brain endothelial cellPericyte
- 25 Immune cells
- 26 Central nervous system 27

#### ABSTRACT

Neuroimmunology is concerned with the relations between the central nervous and immune systems and with the mechanisms that drive those relations. The blood-brain barrier (BBB) employs mechanisms that both separate and connect these two systems. In fact, the relative immune privilege of the central nervous system (CNS) is largely attributable to the BBB's ability to prevent the unregulated exchange of immune cells and their secretions between the CNS and blood. Having separated the two systems, the BBB then participates in mechanisms that allow them to influence, communicate, and interact with one another. Likewise, the BBB itself is influenced by immune events that are occurring in the periphery and in the CNS so that these three components (the BBB, the immune system, and the CNS) form neuroimmune axes that adapt to physiological and pathological conditions. To date, four major themes have emerged by which the BBB participates in these neuroimmune axes. The first of these four, the formation of the barrier, acts to separate the immune and central nervous systems. The other three themes provide mechanisms for re-establishing communication: response of the BBB to immunomodulatory molecules (e.g., prostaglandins, cytokines, chemokines, nitric oxide) secreted by immune and CNS cells; the controlled, regulated exchange of chemokines, cytokines, and immune cells between the CNS and the blood (i.e., transport across the BBB); the secretion of immunomodulatory molecules by the BBB, often in a polarized fashion. Taken together, these mechanisms reveal the BBB to be a dynamic, interactive, and adaptable interface between the immune system and the CNS, separating them on the one hand and fostering their interaction on the other hand, adjusting to physiological changes, while being a target for disease processes. This review examines specific examples by which the BBB plays an interactive, defining role in neuroimmunology.

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53 Q3 1. Introduction

54 The concept of a blood-brain barrier (BBB) arose from experiments done in Germany in the late half of the 19th and early part 55 of the 20th century. This included behavioral experiments, such as 56 those of Biedl and Kraus (1898) who found that bile acids had 57 58 effects after central but not after peripheral administration, and 59 anatomical experiments, most notably those of Paul Ehrlich who found that most dyes injected peripherally were unable to stain 60 the brain. Ehrlich maintained that this was because brain tissue 61 was unable to bind these dyes (Ehrlich, 1906), but later workers 62 63 found that the dyes did strain brain when injected centrally (Goldmann, 1913). One hypothesis to explain these phenomena 64

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http://dx.doi.org/10.1016/j.bbi.2014.08.007 0889-1591/© 2014 Published by Elsevier Inc. was that a physical barrier existed between the brain and the blood and the major contender for this site in adult mammals was the cerebrovasculature. However, both grossly and by light microscopy, the capillaries of the brain look no different than other capillary beds. It was not until the late 1960s that the ultrastructural studies of Reese and colleagues (Brightman and Reese, 1969; Reese and Karnovsky, 1967) showed that the endothelial cells of the brain differed from peripheral endothelial cells in three fundamental ways: (i) the presence of tight junctions fusing together the membranes of endothelial cells in apposition; (ii) a greatly reduced number of macropinocytotic vesicles; (iii) a greatly reduced number of canaliculi and fenestrae. Thus, both the intercellular and transcellular routes of leakage are greatly reduced at the capillary bed of the brain.

The lack of unregulated leakage at the BBB means that there is no free passage of immunoactive substances from blood to brain, including immunoglobulins. The lack of production of an

Please cite this article in press as: Banks, W.A. The blood-brain barrier in neuroimmunology: Tales of separation and assimilation. Brain Behav. Immun. (2014), http://dx.doi.org/10.1016/j.bbi.2014.08.007

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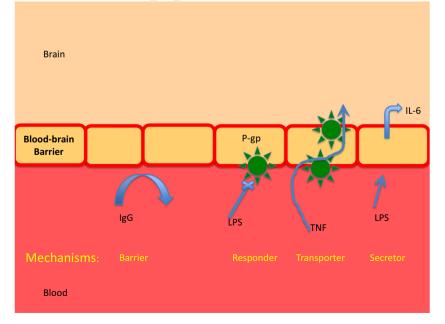
82 ultrafiltrate by the brain's capillary bed means that the CNS does 83 not have a well-developed lymphatic system, a system that has 84 critical roles in immune functioning elsewhere in the body. The 85 presence of a BBB also restricts the trafficking of immune cells into 86 the CNS. For example, immediately after the intravenous injection 87 of lymphocytes, about 100 times more lymphocytes are taken up 88 by the axillary lymph nodes and about 800 times more by the 89 spleen than by the brain (Banks et al., 2012). These and other find-90 ings led to the concept of the brain as an immune-privileged region, with this concept being applied early on in rather absolute 91 92 terms. Exceptions seemed to prove the rule as illustrated, for 93 example, by multiple sclerosis, where enhanced immune cell trafficking was associated with dire consequences for the CNS. 94

95 The BBB is best thought of as several barriers in parallel, includ-96 ing the choroid plexus, which form the blood-cerebrospinal fluid 97 barrier and the tanycytes, which form a barrier around the circum-98 ventricular organs. All these barriers, as well as the blood-spinal 99 cord barrier and the blood-retinal barrier, share common themes of restricting to varying degrees the unregulated leakage of sub-100 stances between the blood and their tissue beds. Some of the 101 102 mechanisms discussed below for the vascular BBB are known to 103 be operational at the choroid plexus as well, so that it is likely that much of what is reviewed here for the vascular BBB reflects activ-104 105 ities at the other barriers as well. However, each of these barriers 106 has unique adaptations that serve the special needs of their tissues 107 and these adaptations likely extend to their neuroimmune func-108 tions as well. Discovering how these barriers integrate with each other and with the other great neuroimmune axis, the afferent 109 and efferent limbs of the nervous system, is a major challenge 110 111 for the field of neuroimmunology.

#### 112 2. Barrier functions

Given that the BBB is key to the separation of the central nertransportation of the central nertransportation of the central nervous and immune systems, it may not be surprising that early studies of their interactions involved BBB disruption (Fig. 1). For 115 over 80 years, BBB disruption in immune phenomena has been a 116 question of great interest, but the reasons for that interest, and 117 even how the question was posed, has shifted through the genera-118 tions. Some of the major questions have been: Does the ability of 119 bacterial pyrogen to induce fever depend on BBB disruption? What 120 role does BBB disruption play in the entry into the CNS of bacteria, 121 drugs, or immune cells (conversely, how does the trafficking into 122 brain of bacteria, viruses, or immune cells affect measures of BBB 123 integrity)? Does the vasogenic edema associated with meningitis 124 depend on BBB disruption and is that disruption caused by bacte-125 rial pyrogen, endogenous pyrogen, cytokines, or other immunoac-126 tive substances? What role(s) do neuroimmune processes play in 127 the disruption of the BBB seen in conditions associated with 128 immune/neuroimmune activation, such as stroke, vascular demen-129 tia, and diabetes mellitus? Do physiological neuroimmune 130 processes modulate a normative variance in BBB integrity? 131

Many early studies investigated the appearance of pathogens in 132 the CNS and later studies were concerned with the effect that 133 pathogens had on the appearance in the CSF of antibodies, drugs, 134 or other circulating substances that were otherwise largely 135 excluded from the CNS. Sepsis, infections, encephalitis, meningitis, 136 and the experimental injection of bacteria or their toxins had all 137 been associated with alterations in the BBB or changes in CSF com-138 position by the early half of the 20th century. For example, Skoog 139 reported in 1937 that allergic reactions could alter the BBB 140 (Skoog, 1937) and that the pontobulbar region of the guinea pig 141 was more susceptible in his model than other brain regions. 142 Eckman, in 1958, showed that 3-8 h after receiving an injection 143 into the carotid artery of 50 microg of E. coli endotoxin, rabbits 144 had increased brain staining of various substances (trypan blue, 145 Evans blue, fluorescein, and colloidal iron) that are normally 146 excluded by the BBB (Eckman et al., 1958). Allen showed that intra-147 venous doses of bacterial pyrogen produced the onset of fever just 148 as rapidly as intracarotid doses and that whereas low doses of bac-149 terial pyrogen produced fever without disrupting the BBB, high 150



**Fig. 1.** Illustrates with examples four mechanisms by which the BBB is central to neuroimmune phenomena. The barrier mechanism prevents the unregulated exchange of substances between the brain and the blood; IgG is given as an example. The responder mechanism means that BBB functions are altered by neuroimmune events; the downregulation of the brain-to-blood transporter p-glycoprotein is illustrated. The transporter mechanism allows certain molecules, most notably some cytokines/ chemokines, to cross the BBB because of the presence of specific saturable transporter; the transport of TNF is given as an example. The secretor mechanism endows barrier cells with the ability to release immunoactive and immunomodulatory substances in response to neuroimmune events. The release of IL-6 in response to LPS is illustrated.

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