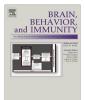
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- Acute phase protein and cytokine levels in serum and saliva:
- <sup>4</sup> A comparison of detectable levels and correlations
- <sup>5</sup> in a depressed and healthy adolescent sample

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ABSTRACT

Recent research has examined associations between inflammation and mental health, and has increasingly focused on utilising younger samples to characterise the temporal relationship between inflammatory responses and the emergence of other symptoms. These studies have typically used blood to measure inflammation, although rates of detection for many inflammatory markers appear to be low. Saliva is a safe and low-cost alternative, and adult research has shown that levels of some salivary markers correlate well with those in serum. However, no research has examined this association in young people. This study examined 16 inflammatory markers in serum and saliva in 17 depressed adolescents and 18 healthy controls, aged 13-18 years. In general, detection rates were higher in saliva compared to in serum. When non-detectable levels were excluded, serum levels of C-reactive protein (CRP) correlated with salivary CRP (r = 0.424, p = 0.015), and this correlation appeared to only exist for those individuals with high levels of serum CRP (r = 0.599, p = 0.014). However, when non-detectable levels were included as zero, salivary levels of CRP, interleukin (IL)-2, IL-12p70, and interferon (IFN)- $\gamma$  correlated with their serum counterparts. No significant clinical group differences in any acute phase proteins or cytokines were present. This study suggests that saliva can be used to measure inflammation in studies with adolescent participants, especially CRP, as it appears to correlate with systemic inflammation for those individuals who are expected to have high levels of inflammation. Implications for future directions in research on salivary inflammatory markers are discussed.

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#### 51 1. Introduction

Adolescence

Depression

52 There is a growing number of research studies measuring se-53 creted markers of inflammation, such as cytokines, from peripheral sites such as blood and saliva, in order to more fully understand the 54 role of inflammation in physical and mental health (e.g., Arsenault 55 et al., 2009; Danesh et al., 2004; Dean, 2011; Howren et al., 2009; 56 57 Ridker et al., 2000; Visser et al., 1999). Furthermore, lifespan research in this area has been increasingly interested in utilising 58 younger samples in order to characterise the temporal relationship 59 60 between inflammatory responses and the emergence of symptoms 61 of other disorders, such as depression (Copeland et al., 2012). The 62 need for comprehensive symptomatic evaluations and longitudinal 63 studies in order to address contemporary questions in this area can 64 often mean that it is necessary to test a large number of variables

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and research participants, which entails greater challenges in terms of cost and feasibility. Accordingly there are compelling reasons to explore whether less intrusive methods, such as collection of saliva, can be validly used to measure systemic inflammation. This study aimed to first, examine detection rates and correlations of several serum and salivary acute phase proteins and cytokines in an adolescent sample, and second, investigate differences in inflammation between depressed adolescents and healthy controls.

## 1.1. Advantages of examining acute phase proteins and cytokines in saliva

Compared with blood, saliva is safer and easier to collect in research studies and with the correct protocol, can ease burden for both participants and researchers (Granger et al., 2007; Pfaffe et al., 2011). Although saliva can carry a large amount of bacteria (Sugawara et al., 2002), and must be handled carefully, infectious 80

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agents and diseases must also be safeguarded against when han-82 dling blood - especially HIV, Hepatitis B and Hepatitis C - as 83 concentrations of these blood-borne pathogens are higher in blood 84 than in saliva (Shine et al., 1997; Suzuki et al., 2005). Researchers 85 collecting and handling saliva require only minimal personal 86 protective equipment and less training than a certified phleboto-87 mist requires when collecting blood. Furthermore, asking study participants to give saliva will result in easier recruitment as it is 88 89 less invasive and may also avoid excluding any participants with 90 needle or blood phobias, which is especially a concern in psychiatric and behavioural research. 91

92 Second, acute phase proteins (such as CRP) and cytokines (such 93 as IL-6) can be easier to detect in saliva than in other biological samples such as serum or plasma. Studies measuring inflammation 94 95 in blood are often not able to obtain detectable levels of acute 96 phase proteins and cytokines from all participants (e.g., Brailo 97 et al., 2012; Gillum, 2003; Lambert et al., 2004; Simon et al., 98 2008; Wu et al., 2003), although this issue has not typically been 99 explicitly identified as a limitation of this type of analysis in blood. 100 Bioactive cytokines found in saliva can build up over time, allowing 101 levels of salivary proteins and cytokines to be more detectable by 102 assays than those circulating in the blood; however, few studies of acute phase proteins and cytokines in saliva exist (Gilbertson-103 White et al., 2011). For example, Brailo and colleagues (2012) were 104 105 able to detect salivary levels of interleukin (IL)-1 $\beta$  in oral cancer 106 patients, leukoplakia patients, and controls, and report on differ-107 ences in salivary IL-1 $\beta$  between these groups. However, only two 108 out of 88 participants (one oral cancer patient and one control) had detectable levels of IL-1β in sera. Therefore, saliva may actually 109 110 be a superior medium to measure inflammation compared with blood. In this case, it may not be expected to find a strong correla-111 112 tion between the two, and, consistent with this, not all studies do. 113 For example, Fernandez-Botran et al. (2011) reported a modest correlation of r = 0.29 (p = 0.02) between IL-6 in saliva and plasma 114 115 in one adult sample, and in another sample, found no significant 116 correlation. Other recent research in healthy adults (Williamson 117 et al., 2012) has also found only modest correlations between 118 saliva and plasma for IL-6 (r = 0.31: 0.01 < p < 0.05) and interferon 119 (IFN)- $\gamma$  (r = 0.34; 0.01 < p < 0.05), and no correlation for a range of 120 other cytokines, although it should be noted that the acute phase protein C-reactive protein (CRP) was not measured in the latter 121 122 study.

CRP in particular may be representative of inflammation in the 123 124 entire body (Mirzaii-Dizgah et al., 2012; Ouellet-Morin et al., 2011; Out et al., 2012). One potential concern regarding salivary inflam-125 126 mation could be that the measurement of proteins and cytokines in 127 this fluid may not be as indicative of systemic inflammation as it is 128 in blood. First, there is the possibility that salivary inflammation 129 may be more representative of local inflammation in the oral 130 cavity. For example, in periodontitis, a chronic infection of the con-131 nective tissues that undermines the supporting tissues of the teeth, 132 gingival cells in the mouth have been shown to produce cytokines (Huang et al., 1998; Sugiyama et al., 2002). Adolescents, however, 133 are less likely than adults to have advanced stages of an oral dis-134 ease such as periodontitis, which would contribute to substantial 135 amounts of local oral inflammation. The current study draws on 136 137 the benefit of utilising an adolescent sample for this reason.

The second concern is that, while results in blood samples rep-138 resents circulating levels of these proteins (i.e., systemic levels of 139 140 inflammation), there is the possibility that saliva, which comes 141 from salivary glands, does not contain the same proportions of 142 acute phase proteins and cytokines as in blood. Saliva also contains 143 gingival crevicular fluid (GCF), a fluid of systemic origin containing 144 a number of biochemical markers, and CRP levels in the GCF of 145 periodontal patients have been shown to be indicative of systemic 146 inflammation rather than simply the result of local production of

CRP by gingival cells (Megson et al., 2010). CRP is a liver protein 147 that activates the complement system, which assists the immune 148 system in killing and clearing pathogens from the body. It has been 149 recognised as an inflammatory marker that increases rapidly after 150 infection or tissue damage, and is part of the body's systemic 151 inflammatory response (Black et al., 2004). CRP and other proteins 152 can also pass through blood to saliva in other ways (Pfaffe et al., 153 2011), including diffusion through the porous capillaries around 154 the salivary glands, or through a process called ultrafiltration, 155 which is filtration through the spaces between salivary gland cells. 156 Most importantly, recent research with adult samples has shown 157 that salivary CRP correlates well with serum CRP in adults. A study 158 of 61 men and women showed a strong correlation of CRP in saliva 159 and serum (r = .72, p < .001), and high levels of salivary CRP were 160 associated with serum IL-6, BMI and smoking (Ouellet-Morin 161 et al., 2011). Another more recent study showed similar results: 162 salivary CRP in 107 adult women correlated with levels of CRP in 163 plasma both cross-sectionally at three time points (r = .53, r = .38, 164 r = .49, all p < .01) and longitudinally across time points over two 165 years (correlation coefficients ranging from r = .20, p = .04 and 166 r = .39, p < .01 (Out et al., 2012). Finally, acute phase proteins in sal-167 iva can indicate non-oral diseases. Elevated salivary CRP in patients 168 directly after acute myocardial infarction (MI) has been shown to 169 correlate with elevated serum levels of CRP after acute MI, for both 170 unstimulated and stimulated (i.e., after chewing gum) saliva 171 (Mirzaii-Dizgah et al., 2012), thus, salivary CRP may be a feasible 172 screening tool for acute MI in adults. Furthermore, research has 173 also shown that other cytokines, such as IL-6, correlate well be-174 tween saliva and blood in adult patients with ulcerative colitis 175 (Nielsen et al., 2005). 176

However, as noted above, some studies find only a modest correlation between salivary and blood cytokines. If detection rates are also higher in saliva compared to blood, then it is still possible that oral inflammation, produced locally, is contributing significantly to levels of acute phase proteins and cytokines in saliva. Therefore, levels of salivary inflammation should not always be treated as equal to the rest of the periphery without controlling for known levels of oral inflammation. Nevertheless, it should be noted that levels of local oral inflammation may be particularly salient in behavioural research as there are neural pathways connecting the inflammatory environment in the mouth directly to the brain (Navarro et al., 2006), and inflammatory markers measured in the mouth have been shown to be associated with both acute and chronic stress (Deinzer et al., 2005; Waschul et al., 2003).

#### 1.2. Serum/saliva correlations in younger samples

The comparison of salivary and serum proteins and cytokines 192 amongst young people has not yet been examined. Only one study 193 has measured salivary CRP in young people, and none have mea-194 sured both salivary and serum CRP. Azar and colleagues examined 195 salivary CRP in 45 healthy young people in the first year of univer-196 sity (mean age = 18.89 years; therefore, slightly older than adoles-197 cence) and found an association between the amount of tobacco 198 smoking and salivary CRP levels (Azar and Richard, 2011). The cur-199 rent study contributes to this new area of research by examining 200 the correlation of serum and salivary acute phase proteins and 201 cytokines, which has not yet been reported in child or adolescent 202 samples. If these markers in saliva can be shown to correlate with 203 circulating blood levels in adolescents, this would have important 204 implications for inflammatory research in youth due to the rela-205 tively non-invasive nature of saliva collection, improving sample 206 size, and variety of diseases examinable. 207

The current study aims to first, describe the detectable levels of 208 16 separate acute phase proteins and cytokines in both serum and 209 saliva to ascertain if either is a more useful medium to measure

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