



Two-step annealing effects on ultrathin EOT higher- k ($k = 40$) ALD-HfO₂ gate stacks

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ABSTRACT

Ultrathin HfO₂ gate stacks with very high permittivity were fabricated by atomic layer deposition (ALD) and a novel two-step post-deposition annealing (PDA) technique. First, a no-cap pre-crystallization anneal degasses residual contaminations in the ALD layer, and second, a Ti-cap anneal enhances the permittivity of HfO₂ by generating a cubic crystal phase. The Ti-cap layer simultaneously suppresses growth of interfacial SiO₂ during annealing by absorbing residual oxygen released from HfO₂. Using these techniques, the dielectric constant of the ALD-HfO₂ could be enhanced to 40 for 2.4–4.0 nm HfO₂ thickness.

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1. Introduction

For equivalent oxide thickness (EOT) scaling in the latest device technology, increasing the dielectric constant (k) of a gate insulator material has been a promising solution ever since “high- k ” dielectrics were adopted in a gate stack structure. These high- k dielectrics have greater permittivity than that of SiO₂ and can increase the physical thickness of the gate insulator to suppress direct-tunneling leakage current. In the sub-0.5-nm EOT regime, however, the physical thickness of a high- k HfO₂ ($k = 13$ –20) gate insulator needs to be as small as 2 nm even when using a direct-contact architecture with no interfacial layer (IL) [1–4]. This physical thickness is close to the direct-tunneling limit of the gate leakage current. This implies that for further EOT scaling, further enhancement of the permittivity of high- k dielectrics (higher- k) is needed [5–10].

To implement the higher- k gate stack formation, we have developed an oxygen-controlled cap post-deposition annealing (cap PDA) technique in a previous study [10,11]. A high-permittivity “cubic” phase HfO₂ is used as the dielectric layer. This technique is accomplished by the deposition of HfO₂ followed by abrupt and short-time PDA performed with a Ti-cap layer; the abrupt and short-time PDA generates a metastable cubic phase in the HfO₂. The Ti-cap layer stabilizes the metastable cubic phase and absorbs excess oxygen in the HfO₂ layer during annealing, thereby suppressing SiO₂ IL growth. By using these techniques, IL-less higher- k HfO₂ gate stacks with a dielectric constant of 40 and total gate stack EOT of 0.2 nm could be realized [11].

However, there is a residual problem related to the crystallization of the HfO₂ films deposited by the atomic layer deposition (ALD) technique. The possible thickness for realizing crystallization into the cubic phase of the ALD-HfO₂ layer was thinner than 2.6 nm. In the case of HfO₂ thicker than 2.6 nm, a low permittivity monoclinic phase domain was co-generated with the cubic phase. This severely degraded the dielectric constant of the total gate stacks, suggesting that special attention needs to be paid for crystallization of the ALD films. In the present study, we adopted a novel two-step PDA technique consisting of a no-cap pre-crystallization anneal followed by a Ti-cap crystallization anneal of the ALD-HfO₂ layer, as an improved method to realize higher- k ALD-HfO₂ gate stacks [12].

2. Experimental

Fig. 1 shows the concept of permittivity enhancement by the cap PDA on TiN/HfO₂/Si gate stacks [7,10,11]. The HfO₂ layers were deposited by HfO₂ target sputtering. By abrupt and short-time annealing with a TiN-cap layer, amorphous HfO₂ crystallizes into a cubic phase. X-ray diffraction (XRD) spectra obtained before and after the TiN-cap PDA clearly show that the amorphous phase transforms into the cubic phase, as shown in Fig. 1c and d. However, cross-sectional transmission electron microscopy (X-TEM) observations show that a thick SiO₂ IL grows simultaneously. This is caused by oxygen released from HfO₂ during crystallization. To suppress IL growth, we chose a Ti-cap layer instead of the TiN. Ti can absorb up to 30 at.% oxygen without forming Ti oxide. By controlling the Ti and HfO₂ thicknesses and the PDA temperature, SiO₂ IL growth can be suppressed.

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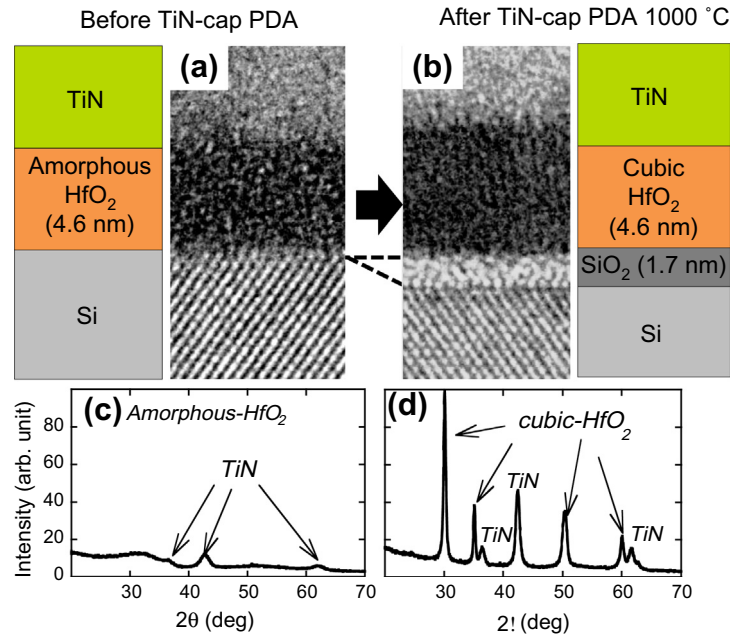


Fig. 1. Concept of k -enhancement by the cap PDA method. (a and b) show the X-TEM images of HfO₂ gate stacks obtained before and after the TiN-cap PDA, respectively. The gate stack structures are also shown. (c and d) show in-plane XRD spectra for TiN-cap HfO₂ before and after cap PDA, respectively. By abrupt and short-time annealing with a cap layer, amorphous HfO₂ crystallizes into the cubic phase. The dielectric constant of cubic HfO₂ is increased to 50. However, a SiO₂ IL grew simultaneously because of the oxygen released during crystallization.

Generally, the PDA is an important and critical process not only for crystallization of the film, but also for improvement of the dielectric performance of ALD films: The films deposited by ALD contain considerable amount of fragments from the ALD precursors [13,14]. These ALD-related contaminants severely degrade

dielectric performance, and thus, an appropriate annealing process for degassing is needed.

In this study, we use a novel “two-step PDA” technique, a combination of PDAs consisting of no-cap and Ti-cap PDAs. Fig. 2 shows a comparison of three types of PDAs: (a) TiN-cap PDA (see Fig. 1),

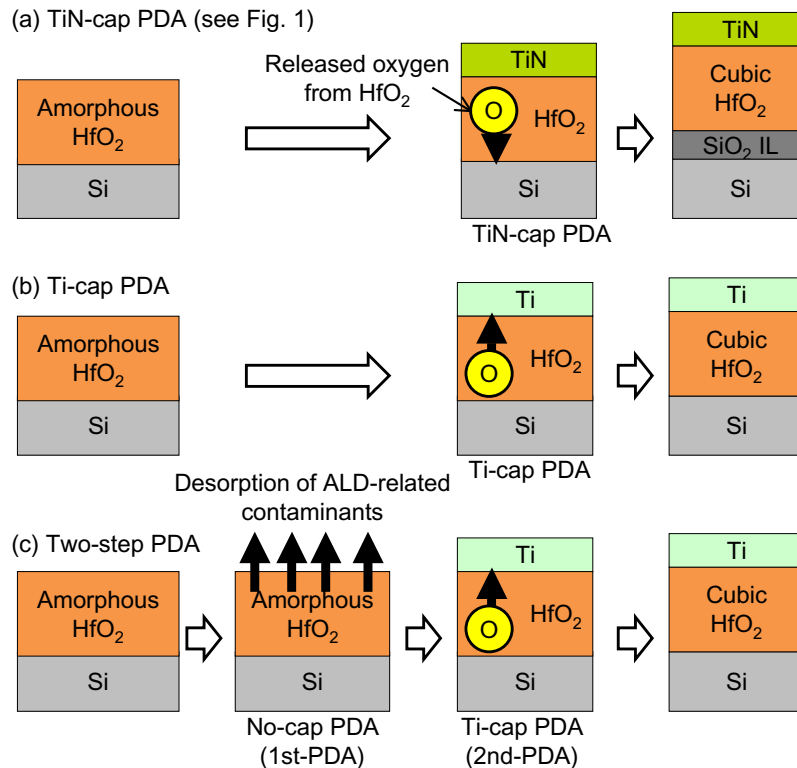


Fig. 2. Schematic illustrations of the concepts for (a) TiN-cap, (b) Ti-cap, and (c) two-step PDAs. (a) TiN barely absorbs oxygen, and then, oxygen released from HfO₂ oxidizes the Si substrate. (b) Ti-cap layer effectively absorbs released oxygen from HfO₂ during PDA, suppressing SiO₂ IL formation. (c) Novel two-step PDA process, wherein the first no-cap PDA removes contaminants in the ALD-HfO₂ layer, and the second Ti-cap PDA crystallizes HfO₂ into the cubic phase without IL formation.

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