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#### 1. Introduction

In this paper, we study *robust stabilization by a stable controller* for a single-input single-output infinite dimensional system. The advantage of stable controllers is well appreciated in that such controllers are robust against a sensor or actuator failure [1] and the saturation of the control input [2]. Typical examples are flexible structures [3] and traffic networks [2]. Additionally, stable controllers are preferred for control of electromechanical positioning devices [4]. We also recall that two plants are simultaneously stabilizable if and only if an associated plant derived from these two plants is stabilizable by a stable controller [5].

For finite dimensional systems, several design methods of stable  $\mathcal{H}^{\infty}$  controllers have been developed: linear matrix inequalities or algebraic Riccati equations [6,7] and non-smooth, non-convex optimization [8]. On the other hand, for infinite dimensional systems, while sensitivity reduction by a stable controller has been studied in [9–11], robust stabilization by a stable controller still remains to be an open problem.

Let us briefly summarize the difference between these two problems. Sensitivity reduction by a stable controller can be transformed to the modified Nevanlinna–Pick interpolation [9,12–14], and the associated  $\mathcal{H}^{\infty}$ -norm condition is  $\|F\|_{\infty} < \rho$ , where *F* is

## ABSTRACT

This paper studies the problem of robust stabilization by a stable controller for a linear time-invariant single-input single-output infinite dimensional system. We consider a class of plants having finitely many simple unstable zeros but possibly infinitely many unstable poles. First we show that the problem can be reduced to an interpolation-minimization by a unit element. Next, by the modified Nevanlinna-Pick interpolation, we obtain both lower and upper bounds on the multiplicative perturbation under which the plant can be stabilized by a stable controller. In addition, we find stable controllers to provide robust stability. We also present a numerical example to illustrate the results and apply the proposed method to a repetitive control system.

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a solution of the unit interpolation problem. On the other hand, in robust stabilization by a stable controller, the counterpart is  $||W - mF||_{\infty} < \rho$ , where W,  $1/W \in \mathcal{H}^{\infty}$  and  $m \in \mathcal{H}^{\infty}$  is inner. Since *F* needs to be a unit element, we cannot change this norm condition to a simpler one, although we can in the usual robust stabilization problem. We overcome this difficulty by extending the technique of [14]. We will discuss this technique in Section 3.

This paper studies a class of plants having *finitely many simple unstable zeros* but possibly *infinitely many unstable poles*. An example of such plants is a system with delayed feedback such as repetitive control systems [15,16]. The objective of the present paper is to obtain lower and upper bounds on the multiplicative perturbation under which the plant can be stabilized by a stable controller. We also develop a design method of stable controllers achieving robust stability by the method of [9,10].

The paper is organized as follows: Section 2 gives the statement of the robust stabilization problem with stable controllers. In Section 3, we obtain a sufficient condition for the problem and find stable controllers for robust stabilization. A necessary condition follows along similar lines. We present a numerical example and apply the proposed method to a repetitive control system in Section 4.

### Notation and definitions

Let  $\mathbb{C}_+$  denote the open right half-plane { $s \in \mathbb{C} | \operatorname{Re} s > 0$ }. For  $s \in \mathbb{C} \setminus \{0\}$ , the principal value Log *s* is the complex logarithm whose imaginary part lies in the interval  $(-\pi, \pi]$ .





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Fig. 1. Closed-loop system.

The space  $\mathcal{H}^{\infty}$  denotes the Hardy space of functions that are bounded and analytic in  $\mathbb{C}_+$ , and  $\mathcal{RH}^{\infty}$  denotes the subset of  $\mathcal{H}^{\infty}$ consisting of real-rational functions.  $U \in \mathcal{H}^{\infty}$  is called a *unit element* in  $\mathcal{H}^{\infty}$  if U,  $1/U \in \mathcal{H}^{\infty}$ . For  $G \in \mathcal{H}^{\infty}$ , the  $\mathcal{H}^{\infty}$  norm is defined as  $||G||_{\infty} := \sup_{s \in \mathbb{C}_+} |G(s)|$ . The field of fractions of  $\mathcal{H}^{\infty}$  is denoted by  $\mathcal{F}^{\infty}$ .

Two functions  $N, D \in \mathcal{H}^{\infty}$  are strongly coprime in the sense of [17] if NX + DY = 1 for some  $X, Y \in \mathcal{H}^{\infty}$ . By the corona theorem [5], N and D are strongly coprime if and only if there exists  $\delta > 0$  such that  $|N(s)| + |D(s)| \ge \delta$  for all  $s \in \mathbb{C}_+$ .

To denote the interpolation data  $G(s_i) = \alpha_i$  (i = 1, ..., n) for  $G \in \mathcal{H}^{\infty}$ , we use the notation  $(s_i; \alpha_i)_{i=1}^n$ .

#### 2. Problem statement

Consider the linear, continuous-time, time-invariant, singleinput single-output closed-loop system given in Fig. 1. Let the plant P and the controller C belong to  $\mathcal{F}^{\infty}$ . P is said to be *stabilizable* if there exists C such that S := 1/(1 + PC), CS, and PS belong to  $\mathcal{H}^{\infty}$ . For a given P, the set of all C leading to S, CS,  $PS \in \mathcal{H}^{\infty}$  is denoted by  $\mathscr{C}(P)$ . P is *strongly stabilizable* if  $\mathcal{H}^{\infty} \cap \mathscr{C}(P) \neq \emptyset$ . We say that C stabilizes P if  $C \in \mathscr{C}(P)$ , and that C strongly stabilizes P if  $C \in \mathcal{H}^{\infty} \cap \mathscr{C}(P)$ .

Let *P* be a real-rational proper function. Then *P* is stabilizable by  $C \in \mathcal{RH}^{\infty}$  if and only if *P* has the parity interlacing property [18]. On the other hand, if we do not require  $C \in \mathcal{RH}^{\infty}$  but  $C \in \mathcal{H}^{\infty}$  allowing complex coefficients, every stabilizable  $P \in \mathcal{F}^{\infty}$  is strongly stabilizable [19], via a complex-valued controller in general.

We make the following assumption on the plant throughout this paper:

**Assumption 2.1.**  $P \in \mathcal{F}^{\infty}$  can be factorized into the following form:

$$P = \frac{M_n}{M_d} N_o, \tag{1}$$

where  $M_d \in \mathcal{H}^{\infty}$ ,  $M_n \in \mathcal{RH}^{\infty}$  are inner functions and  $N_o$ ,  $1/N_o \in \mathcal{H}^{\infty}$ . We assume that  $M_n$  possesses simple zeros  $z_1, \ldots, z_n$  only and that  $M_d$ ,  $M_n$  are strongly coprime.

Under Assumption 2.1, *P* has only finitely many unstable zeros arising from  $M_n$ , but *P* is allowed to possess infinitely many unstable poles arising from  $M_d$ . In [20], it is shown how to factorize retarded or neutral time delay systems into the form (1) under some mild conditions.

Let *P* be the *nominal* model of the plant. In this paper, we assume that the transfer function of the *actual* plant belongs to the following model set with multiplicative perturbations:

$$\mathscr{P}_{\rho} \coloneqq \left\{ P_{\Delta} = (1 + W\Delta)P : \Delta \in \mathcal{H}^{\infty}, \|\Delta\|_{\infty} < 1/\rho \right\}$$
for some  $\rho > 0$ .

Recall that the controller *C* stabilizes all  $P_{\Delta} \in \mathscr{P}_{\rho}$  if and only if *C* stabilizes the nominal model *P* and satisfies

$$||WT||_{\infty} \le \rho$$
, where  $T := \frac{PC}{1 + PC}$ . (2)

See, e.g., [1,5,21] for details.

We impose the following assumption on the weighting function:

**Assumption 2.2.** Both *W* and 1/W belong to  $\mathcal{H}^{\infty}$ .

Then robust stabilization by a stable controller can be formulated as follows:

**Problem 2.3.** Let Assumptions 2.1 and 2.2 hold. Suppose  $\rho > 0$ . Determine whether there exists a controller  $C \in \mathcal{H}^{\infty} \cap \mathscr{C}(P)$  satisfying (2). Also, if one exists, find such a controller *C*.

We call Problem 2.3 *strong and robust stabilization*. Our aim is to provide both a sufficient and a necessary condition for strong and robust stabilization. These conditions give lower and upper bounds on the multiplicative perturbation.

#### 3. Strong and robust stabilization

In this section, we first transform Problem 2.3 to the problem of an interpolation–minimization by a unit element in  $\mathcal{H}^{\infty}$ . Next we obtain a sufficient condition as well as a necessary condition for the interpolation–minimization problem using the modified Nevanlinna–Pick interpolation [22].

Lemma 3.1 below is a scalar version of Lemma III.1 of [11]. This result provides a necessary and sufficient condition that a controller strongly stabilizes the plant. The next statement is different from that of Lemma III.1 in [11], but the modification is easy. So we omit the proof.

**Lemma 3.1** ([11]). Suppose P = N/D, where  $N, D \in \mathcal{H}^{\infty}$  are strongly coprime. Then C strongly stabilizes P if and only if C,  $1/(D + NC) \in \mathcal{H}^{\infty}$ .

The following result shows that Problem 2.3 can be reduced to an interpolation–minimization by a unit element.

**Theorem 3.2.** Consider Problem 2.3 under Assumptions 2.1 and 2.2. Problem 2.3 is solvable if and only if there exists a function F such that

$$F, 1/F \in \mathcal{H}^{\infty}, \tag{3}$$

$$\|W - M_d F\|_{\infty} \le \rho,\tag{4}$$

$$F(z_i) = \frac{W(z_i)}{M_d(z_i)}, \quad i = 1, \dots, n.$$
(5)

Furthermore, once such a function F is constructed, the solution of Problem 2.3 is given by

$$C = \frac{W - M_d F}{M_n N_o F}.$$
(6)

**Proof** (*Necessity*). Let *C* be a solution of Problem 2.3. Define  $F := W/(M_d + M_n N_o C)$ . Then *F* satisfies (3) by Lemma 3.1. Since

$$WT = W\left(1 - \frac{M_d F}{W}\right) = W - M_d F,\tag{7}$$

F also achieves the norm constraint (4). In addition,

$$F(z_i) = \frac{W(z_i)}{M_d(z_i) + M_n(z_i)N_0(z_i)C(z_i)} = \frac{W(z_i)}{M_d(z_i)}, \quad i = 1, ..., n.$$

Thus F satisfies (3)–(5).

(*Sufficiency*). Suppose *F* satisfies (3)–(5), and define *C* by (6).

We show  $C \in \mathcal{H}^{\infty}$  as follows. Since  $1/N_o$ ,  $1/F \in \mathcal{H}^{\infty}$ , it follows from (6) that

$$M_n C = \frac{W - M_d F}{N_o F} \in \mathcal{H}^{\infty}.$$
(8)

Suppose  $C \notin \mathcal{H}^{\infty}$ . Then the unstable poles of *C* must be the zeros of  $M_n$  by (8). Let  $z_i$  be such a pole. Since the zeros of  $M_n$  are simple,

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