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Ozone oxidation methods for aluminum oxide formation: Application to low-voltage organic transistors



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ABSTRACT

Four atmospheric pressure ozone oxidation methods were used to produce ultra-thin layers of aluminum oxide for organic thin-film transistors. They are UV/ozone oxidation in ambient (UV-AA) and dry (UV-DA) air, UV/ozone oxidation combined with high-voltage discharge-generated ozone in dry air (UV+O₃-DA), and discharge-generated ozone in dry air (O₃-DA). The lack of the high-energy UV photons during the O₃-DA oxidation led to low relative permittivity and high leakage current density of the AlO_x layer that rendered this method unsuitable for transistor dielectrics. Although this oxidation method led to the incorporation of oxygen into the film, the FTIR confirmed an increased concentration of the subsurface oxygen while the XPS showed the highest portion of the unoxidized Al among all four methods. The remaining three oxidation methods produced AlO_x films with thicknesses in excess of 7 nm (2-h oxidation time), relative permittivity between 6.61 and 7.25, and leakage current density of $(1-7) \times 10^{-7}$ A/cm² at 2 MV/cm, and were successfully implemented into organic thin-film transistors based on pentacene and DNTT. The presence of –OH groups in all oxides is below the detection limit, while some carbon impurities appear to be incorporated.

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1. Introduction

The ultra-thin and high-*k* dielectrics lead to field-effect transistors with low operating voltages. However, to achieve good transistor operation such dielectrics must also exhibit very low leakage current. HfLaO ($k \sim 15.3$) [1,2], Ba_{1.2}Ti_{0.8}O₃ ($k \sim 15.57$) [3], SrTiO₃ ($k \sim 12.1$) [4], and high-*k* polymers dielectrics ($k \sim 12.6$) [5] have been explored for low-voltage organic thin-film transistors (OTFTs). OTFTs with medium-*k* gate dielectrics such as aluminum oxide ($k \sim 6.2$) [6] have achieved low operating voltages through reduction in their thickness [7]. The aluminum oxide is often further functionalized by organic monolayers to provide reduction in the leakage current and the surface energy [8].

Standard deposition methods for aluminum oxide include sputtering and atomic layer deposition. However, ultra-thin layers of aluminum oxide can also be prepared by oxidation of aluminum deposited in high-vacuum by thermal evaporation [9,10], sputtering, or e-beam. Oxidation of aluminum has been performed by low-frequency pulsed discharge plasma [11], oxygen plasma [10], or anodic oxidation [12]. For the growth of thicker oxide layers the oxygen plasma method is preferred, but such process leads to an increase in substrate temperature (\sim 300 °C) that is not compatible with many plastic substrates.

The reactive atomic oxygen required for the oxidation of aluminum can also be produced by dissociation of ozone [13] and the oxidation can be performed at atmospheric pressure. UV/ ozone oxidation was previously used in the preparation of high-k gate dielectrics for CMOS. Some examples include hafnium silicate [14], zirconia [15] and hafnium-aluminum oxynitride [13].

Prompted by our previous results achieved with UV/ozone oxidation of aluminum in the ambient air [16], this paper studies four different ozone oxidation methods that were applied to thermally evaporated aluminum layers. The produced oxide layers were implemented as gate dielectrics in OTFTs. Material characterization of the aluminum oxide (AIO_x) was correlated with the performance of organic transistors aiming to identify the best procedure for the AIO_x preparation.

The oxidation of aluminum was performed in UV/ozone cleaner system in ambient air, in which a high-pressure mercury lamp



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emits UV light at 184.9 and 253.7 nm [16]. The higher energy photons are absorbed by the molecular oxygen, leading to ozone formation. The lower energy photons do not generate ozone. They illuminate the oxidizing surface, dissociate ozone, and assist in the removal of organic contaminants [17,18]. High-voltage discharge can also generate ozone [13]. Common commercial applications include water treatment and sanitation. Contrary to mercury lamps, the discharge produces ozone without the coexistence of high energy photons and the efficiency of the ozone generation depends on the relative humidity of the air. The results of this paper show for the first time that the ozone production method can have a profound effect on the properties of the produced AlO_x.

2. Experimental procedures

Four different methods of ozone generation have been implemented. The first one used UV/ozone cleaner (UVOCS) enclosed under a Hepa filter under ambient environmental conditions (21 °C, relative air humidity of ~45%). In the second case a flow of dry air (21 °C, relative air humidity $\leq 0.1\%$) was supplied into the UV/ozone cleaner, while the remaining parameters were kept the same. In the third case, dry air passed through high-voltage ozone generator (A2Z Ozone) and the generated ozone was supplied into the running UV/ozone cleaner (mercury lamp was on). Finally, the fourth method was similar to the third one, except the mercury lamp was turned off. This condition aimed to investigate the role of UV photons illuminating the aluminum layers during their oxidation. These four methods are summarized in Table 1.

All samples were prepared on Eagle 2000 glass substrates. Samples for spectroscopic ellipsometry, X-ray photoelectron spectroscopy (XPS) and Fourier Transform Infrared Spectroscopy (FTIR) started with ~30-nm-thick thermally evaporated Al layers. Samples were oxidized according to the conditions shown in Table 1 and the oxidation time was 2 h. The fifth sample was left to oxidize in dark ambient conditions to form a native oxide (Native AA). Finally, the UV-AA oxidation ranging from 5 min to 6 h was used to produce 6 samples. This allowed studying the thickness of the UV-AA AlO_x as a function of oxidation time.

Metal-insulator-metal (MIM) structures and organic thin-film transistors (OTFTs) were fabricated to study the effect of AlO_x oxidation process. $Al/AlO_x/DNTT/Au$ and $Al/AlO_x/C_8PA/pentacene/Au$ transistors were fabricated for four AlO_x oxidation procedures listed in Table 1 (DNTT stands for dinaphtho[2,3-b:2',3'-f]thieno[3,2-b]thiophene and C_8PA for n-octylphosphonic acid monolayer). The fabricated transistors have channel lengths of 30, 50, 70, and 90 µm and a channel width of 1000 µm. The corresponding MIM structures were fabricated alongside. Each sample contained 12 transistors and 4 MIM structures.

MIM structures were fabricated as follows. 30-nm-thick aluminum lines were deposited on glass substrate. One end of the lines was capped by gold layer to prevent their oxidation. AlO_x was prepared by using the four methods described above. In some samples, the AlO_x was functionalized with vapor-assembled monolayer of n-octylphosphonic acid (C₈PA) using the procedure

Conditions of investigated aluminum oxidations. AA stands for ambient air and DA for dry air.

Table 1

Procedure	Mercury lamp	Ozone flow	Air conditions	Relative humidity (%)
UV-AA	On	No	Ambient air	~45
UV-DA	On	No	Dry air	≼0.1
UV+O3-DA	On	Yes	Dry air	≼0.1
O ₃ -DA	Off	Yes	Dry air	≼0.1

described in [19]. The presence of monolayer on top of aluminum oxide reduces the leakage current and changes the hydrophilic surface into hydrophobic [8]. The vacuum vapor growth of C_8PA monolayer was optimized with respect to the post-deposition annealing time [19,20], deposition temperature [21], and deposition rate [22]. All capacitors were completed by evaporating a 50-nm-thick gold layer [19]. The area of the capacitors was about 0.2 mm².

Bottom-gate, top-contact organic thin-film transistors (OTFTs) based on thermally evaporated DNTT (Sigma–Aldrich) or pentacene (Tokyo Chemical Industry) followed the same fabrication procedure. A 15-nm-thick DNTT was deposited directly on AlO_x , while a 50-nm-thick pentacene layer was deposited on AlO_x functionalized with C₈PA. Both transistor sets were completed by evaporating gold source and drain contacts. The cross-sections of pentacene and DNTT OTFTs are shown in Fig. 1. All fabrication steps were performed by using shadow masks. All thermal evaporation steps were conducted in Minispectros (Kurt J. Lesker) high vacuum system (~ 10^{-7} mBar) enclosed in a N₂-filled glove box.

The capacitor and transistor measurements were performed with Agilent B1500A semiconductor device analyzer under ambient environmental conditions. All fabricated devices were kept in oxygen and moisture-free environment until their measurement and they shared the same history. The gate dielectric capacitance of MIM structures was measured between 1 kHz and 1 MHz. The MIM current density was measured as a function of applied electric field. The transfer and output characteristics of the OTFTs were measured in a sweep mode. All fabricated MIM structures and transistors were measured for each AlO_x oxidation process. Mean values and standard deviations were calculated for all parameters.

The spectroscopic ellipsometry was performed with a J.A. Woollam M2000V in ambient air. Glass/Al/AlO_x samples were measured and fitted with a three-layer model using a B-spline fit. FTIR was measured with Ge detector in reflection mode with Nicolet 380 spectrometer in ambient air. The beam diameter was ~1.5 mm. PeakFit analysis provided the location of the peaks, their intensity, full width at half maximum (FWHM), and integral area. Polycrystalline white corundum was also measured for comparison. X-ray photoelectron spectroscopy was carried out in a SAGE 100 system (Specs GmbH) using a non-monochromatic MgKalfa source, with a beam diameter of 7 mm, operated at 10 kV (150 W). The base pressure during the analysis was around 2×10^{-7} mBar. Spectra were recorded at a take-off angle of 90 degrees with a pass energy of the hemispheric analyser of 50 eV for survey scans and 15 eV



Fig. 1. OTFT cross-sections.

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