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In-situ modification of PEDOT:PSS work function using alkyl alcohols as ⁵ secondary processing solvents and their impact on merocyanine based bulk heterojunction solar cells

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43 1. Introduction

 The extensive research on organic solar cells (OSCs) in the past decade has developed multiple concepts to improve device effi- ciency comprising synthesis of new photoactive materials, elec- trode materials, processing conditions and device setups. Power conversion efficiencies (PCEs) of about 9% have been achieved for small molecule [\[1\]](#page--1-0) as well as for polymer [\[2\]](#page--1-0) based single-junction solar cells.

 For bulk heterojunction (BHJ) solar cells the morphology of the active layer is crucial for efficient generation and transport of charges. Several solvent additives, such as 1,8-diiodooctane [\[3\],](#page--1-0) 54 1,8-octanedithiol $[4]$ or nitrobenzene [\[5\],](#page--1-0) have been identified to improve the morphology and/or crystallinity of the active layer. A common feature of such additives is their higher boiling point compared to the main solvent for active layer deposition combined with different solubilities of the dissolved materials, causing a change in morphology during film formation [\[3–6\].](#page--1-0) Furthermore, 60 wide band gap materials such as n-type ZnO $\left[1\right]$ or TiO₂ $\left[7\right]$ have been implemented at the electron collecting side in the device stack to improve OSC performance. These additional layers often

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The influence of a series of alkyl alcohols on the work function of PEDOT:PSS thin films is systematically 30 investigated by Kelvin probe measurements. We show that the PEDOT:PSS work function can be
increased stepwise from 5.2 eV for pristine PEDOT:PSS to 5.61 eV using either alcohols with different 32 increased stepwise from 5.2 eV for pristine PEDOT:PSS to 5.61 eV using either alcohols with different alkyl chain length or varying the amount of alcohol in mixtures with chlorobenzene. Moreover, we 33 demonstrate the effect of work function modification on merocyanine based bulk heterojunction solar 34 cells, resulting in improved values for the open-circuit voltage comparable to those obtained with high 35 work function Mo_{3} . Thus, the processing method presented herein can potentially serve as a simple, 36
alternative route to adjustable and high work function electrodes while maintaining processability from 37 alternative route to adjustable and high work function electrodes while maintaining processability from 37 solution. 38

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act as optical spacers, which can increase the fraction of absorbed 63 light within the active layer. Additionally, wide band gap materials 64 can have charge blocking properties preventing the ''wrong'' 65 charge carriers from reaching the electrode $[7]$. Recently, conjugat- 66 ed polyelectrolytes (CPEs) emerged as facile method to modify the 67 organic/electrode interface. In most reported cases, thin layers 68 (<5 nm) of CPEs induce a shift in vacuum level that lowers the 69 effective work function of the electrode material and can, there-

70 fore, replace low work function metals as top electrodes that are 71 sensitive to air $[8]$. In terms of OSC characteristics, the reported $\qquad 72$ additives and stack modifications primarily affected the short-cir- 73

cuit current density J_{SC} and the fill factor *FF*.
The open-circuit voltage V_{OC} of a BHI organic solar cell is limited 75 The open-circuit voltage V_{OC} of a BHJ organic solar cell is limited 75
the effective photovoltaic gap, i.e. the difference between the 76 by the effective photovoltaic gap, i.e. the difference between the highest occupied molecular orbital (HOMO) of the donor and the 77 lowest unoccupied molecular orbital (LUMO) of the acceptor. The 78 synthesis of new donors, therefore, tends toward materials with 79 deep HOMO energy levels to reach high values for V_{OC} . To obtain 80 high voltages, however, the work functions of the hole and electron 81 collecting contacts need to be adjusted to the BHJ active layer ener- 82 gy levels as well $[9]$. Typically, V_{OC} is barely affected by modifica- 83 tion of the electron collecting contact most likely due to easily 84 achieved ohmic contact formation of the electrode with the com- 85 monly used electron acceptor phenyl- C_{61} -butyric acid methyl ester 86 $(PC_{61}BM)$ [\[10,11\]](#page--1-0). 87

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 On the hole collecting side of OSCs, metal oxides such as high 89 work function n-type $MoO₃$ [\[12\]](#page--1-0) and p-type NiO_x [\[13,14\]](#page--1-0) as well as self-assembled monolayers [\[15\]](#page--1-0) (SAM) of phosphonic acids on ITO have been employed. However, the conductive polymer poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate) PEDOT: PSS still remains the most commonly used material due to its sim- ple processability from aqueous suspension. Although easily pro- cessed, PEDOT:PSS often lacks the demand of the active layer for high work function. It is therefore desirable to find a way to adjust the properties of PEDOT:PSS to those needed by the active layer. Plenty of parameters that influence the work function (and most often conductivity) of PEDOT:PSS have been reported including 100 UV light exposure $[16]$ and the processing conditions, e.g. by addi- tion of secondary solvents [\[17–20\]](#page--1-0). Moet et al. showed that the 102 work function of PEDOT:PSS, and, thus, the V_{OC} of the correspond- ing solar cells is reduced upon increasing the pH of the casting 104 solution [\[21\]](#page--1-0). Graham et al. reported on V_{OC} enhancement in small molecule based solar cells when adding triethylene glycol to the casting solution of the active layer and suggested an increase of PEDOT:PSS work function due to H-bonding of triethylene glycol 108 to the PEDOT:PSS surface $[6]$. The Friend group recently showed that polymer OSC performance can be improved by exposing the active layer on top PEDOT:PSS to methanol prior to evaporation 111 of the top electrode [\[22\]](#page--1-0). This treatment led to an *in-situ* increase of the PEDOT:PSS work function and resulted in higher values for V_{OC} and hole extraction rates [\[23\].](#page--1-0)

114 In this publication, we systematically investigate the impact of various alkyl alcohols on the work function of PEDOT:PSS thin films. Furthermore, we show that our findings for bare PEDOT:PSS films can be transferred to merocyanine based OSCs, which are highly sensitive to changes in the work function of the hole collecting contact.

120 2. Experimental section

121 2.1. Materials and device fabrication

 The syntheses and characterization data of all MC dyes were 123 reported previously [12,24-27]. PC $_{61}$ BM (\geq 99.5%) was obtained 124 from Nano-C. Solvents (purity \geqslant 98%) were obtained from commer- cial sources and used as received. All devices were fabricated on commercial indium–tin oxide (ITO) coated glass (TFD) coated with poly(3,4-ethylene dioxythiophene):poly(styrene sulfonate) (PEDOT:PSS) (Clevios P Al 4083, Heraeus; ca. 40 nm). PEDOT:PSS 129 films were heat treated for 2 min at 110 \degree C to remove residual water 130 and transferred into a N_2 glove box for subsequent treatment with alcohols or device fabrication. The active layers of BHJ solar cells were spin-coated from chlorobenzene (CB) containing the mixture 133 of MC and PC_{61} BM (20 mg mL⁻¹ each in CB). The secondary solvent was added to the blend solution to yield the desired vol%. After evaporation of an aluminum top electrode (120 nm; Alfa Aesar 99.9%) through a shadow mask, seven solar cells with an active area 137 of 0.0785 cm² were obtained on each substrate.

138 2.2. Measurements and device characterization

 KP measurements were performed using a system by Besocke Delta phi GmbH in an inert argon atmosphere. The contact potential difference between the sample and a vibrating gold grid (refer- ence) was measured. The measurement was calibrated with work functions determined by ultraviolet photoelectron spectroscopy (UPS) of various metals as well as pristine PEDOT:PSS films. The *JV*-characteristics of the solar cells were measured using a Keithley 2425 source measurement unit under simulated AM1.5 illumination provided by a filtered Xe lamp. The light intensity of 100 mW cm^{-2} was determined by using a calibrated inorganic 148 solar cell from the Fraunhofer Institute for solar research (ISE) and 149 a reference P3HT: PC_{61} BM cell measured by the same institute. 150 No spectral mismatch factor was included in the calculation of 151 the efficiency. Transmission electron microscopy (TEM) of blend 152 layers was performed with a Leo 912 Omega by Zeiss. UV/visible 153 absorption spectra were taken with a Varian Cary 50 spectrometer 154 in transmission mode. Layer thicknesses were measured by surface 155 profilometry (*Veeco*). 156

3. Results and discussion 157 and 168 and 169

3.1. Effect of alcohols on the work function of PEDOT:PSS thin films 158

The effect of alcohols on the work function Φ of PEDOT:PSS thin 159 films was systematically studied by Kelvin probe (KP) measure- 160 ments. In a first approach, thin films of PEDOT:PSS on ITO were 161 treated with pure alcohols of increasing alky chain length from 162 methanol (MeOH), to *n*-pentanol (PeOH). The resulting work func-
163 tions are displayed in [Fig. 1](#page--1-0)a. The work function of PEDOT:PSS 164 increases steadily with increasing chain length of the alcohol from 165 5.2 eV for the untreated reference sample (REF) up to 5.61 eV using 166 PeOH, respectively. The only exception from the steady increase is 167 *n*-propanol, yielding a Φ -value, which is less than for the two 168 neighboring chain lengths (5.35 eV compared to 5.45 and 169 5.50 eV, respectively); the reason for this finding is currently 170 unknown. Unfortunately, the effect of work function increase by 171 the alkyl alcohols was found to be reversible since washing the 172 alcohol treated substrate with chlorobenzene (CB) – a common sol- 173 vent for the subsequent deposition of active layers – restores the 174 work function to 5.15 eV. This finding is in agreement with the 175 Φ -value of \sim 5.15 eV for pristine PEDOT:PSS treated with pure CB, 176 which will serve as reference value in the following (see Fig. $S1$ 177 in the Supporting Information). 178

To make this finding useful for OSC fabrication, but since most 179 organic materials used in organic photovoltaics are insoluble in 180 alcohols, we tested whether the addition of alcohols to the main 181 casting solvent (here CB) would yield similar results. Indeed, in 182 doing so we were able to increase the work function of 183 PEDOT:PSS even when it is used in mixtures with CB. 184 Expemplarily, [Fig. 1b](#page--1-0) shows the dependence of the PEDOT:PSS 185 work function on the volume fraction of BuOH in mixtures with 186 CB. Φ is stepwise increased using small amounts of BuOH until a 187 saturated value of 5.57 eV is reached at 4 vol% BuOH. Thus, BuOH 188 can potentially be added to the casting solution of the subsequent 189 layer for in-situ enhancement of the substrate work function dur-
190 ing layer deposition. Similar results were obtained with the other 191 alcohols [\(Fig. 2\)](#page--1-0). 192

To further investigate the properties of alcohol-treated 193 PEDOT:PSS, substrates treated with 12.5 vol% BuOH/CB, i.e. in the 194 saturation regime, were exposed (i) to elevated temperatures 195 (150 °C, 10 min, N_2 atmosphere), (ii) to air (90 min, room tem- 196 perature) and (iii) to vacuum $(10^{-6}$ mbar for 1 h), respectively 197 (see Fig. S1 in SI). During heating of the alcohol-treated substrate 198 the work function was restored to 5.15 eV, i.e. the same value as 199 for CB-treated PEDOT:PSS, while a smaller decrease of Φ_{PEDOT} (to 200 5.35 eV) was found when only exposed to air; it can be assumed, 201 however, that extended times would also restore the work func-
202 tion to that of CB-treated PEDOT:PSS. Finally, after exposing the 203 BuOH/CB treated film to vacuum the initial work function of 204 PEDOT:PSS of about 5.2 eV was measured. Therefore, due to the 205 reversibility under ultra-high vacuum (UHV) conditions the alco- 206 hol modified PEDOT:PSS cannot be studied by ultraviolet photo-
207 electron spectroscopy (UPS). This finding opposes the report by 208 Friend et al. for MeOH treated PEDOT:PSS films, where a change 209

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