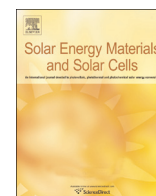




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# Passivation of c-Si surfaces by ALD tantalum oxide capped with PECVD silicon nitride



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## ABSTRACT

We demonstrate effective passivation of a variety of crystalline silicon (c-Si) surfaces by thermal atomic layer deposited (ALD) tantalum oxide ( $\text{Ta}_2\text{O}_5$ ) underneath a capping silicon nitride ( $\text{SiN}_x$ ) layer by plasma enhanced chemical vapor deposited (PECVD). Surface recombination is investigated as a function of  $\text{Ta}_2\text{O}_5$  thickness for *p*- and *n*-type Si substrates, both with and without boron ( $p^+$ ) or phosphorus ( $n^+$ ) diffusions. It is found that the recombination decreases markedly with increasing  $\text{Ta}_2\text{O}_5$  thickness on *p*, *n* and  $p^+$  c-Si surfaces, but it follows an opposite trend on  $n^+$  c-Si surfaces. In all four cases, the surface recombination velocity plateaus at a  $\text{Ta}_2\text{O}_5$  thickness of 12 nm. The thermal stability of surface passivation by  $\text{Ta}_2\text{O}_5/\text{SiN}_x$  is examined by subjecting  $p^+$  and  $n^+$  diffused wafers to a typical solar cell metallization firing process, finding that it is essentially stable on  $p^+$  diffusions, but not on  $n^+$  ones, regardless of  $\text{Ta}_2\text{O}_5$  thickness. We also evaluate the passivating properties of the  $\text{Ta}_2\text{O}_5/\text{SiN}_x$  stack on planar {100}, planar {111}, and textured *n*-type undiffused silicon surfaces, finding that (i) planar {111} Si exhibits a 4.6-fold higher recombination than planar {100} Si, and (ii) recombination at a textured surface is approximately equivalent to that at a planar {111} after surface area correction. Furthermore, the area-corrected recombination ratio of textured to planar {100} boron diffused  $p^+$  regions is shown to be 2.2 for three different diffusions with sheet resistances at 56, 122, and 214  $\Omega/\text{sq}$ . Finally, optical simulation reveals a low reflection and negligible absorption loss for the  $\text{Ta}_2\text{O}_5/\text{SiN}_x$  stack. The  $\text{Ta}_2\text{O}_5/\text{SiN}_x$  stack is thus demonstrated to be an excellent surface passivation and antireflection coating for high efficiency silicon solar cells.

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## 1. Introduction

In recent years double-side passivated silicon solar cells are finding widespread acceptance in photovoltaic pilot and production lines, thanks to the continuous improvement in surface passivation of *p*-type crystalline silicon (c-Si). One of the key requirements in passivating effectively the *p*-type surfaces is a high density of negative insulator charge, which produces a high concentration of majority holes, and consequently a low concentration of minority electrons at the c-Si surface. The highly negative charged dielectrics in conjunction with a low interface defect density is of high interest to silicon photovoltaics in (i) overcoming efficiency limitations imposed by the use of conventional Al-alloyed back surface on *p*-type silicon solar cells, and (ii) enabling the transfer to *n*-type silicon cells with its concomitant advantages [1]. Aluminum oxide ( $\text{Al}_2\text{O}_3$ ) is the best-established negatively charged dielectric ( $\sim 10^{12}$ – $10^{13}$   $\text{cm}^{-2}$ ), and

is commonly used to passivate *p*-type silicon in photovoltaic laboratories and industry [2–4]. Nevertheless, several other dielectrics including titanium oxide [5,6], aluminum nitride [7], hafnium oxide [8] and gallium oxide [9], also possess a negative charge and have been reported to provide a reasonable level of surface passivation.

Recently, we have found a high level of surface passivation by another negatively charged dielectric—tantalum oxide ( $\text{Ta}_2\text{O}_5$ ) [10]. By capping a 12 nm thick  $\text{Ta}_2\text{O}_5$  prepared by atomic layer deposition (ALD) with a 85 nm silicon nitride ( $\text{SiN}_x$ ) layer prepared by plasma enhanced chemical vapor deposition (PECVD), we obtained a low surface recombination velocity ( $< 5$  cm/s) on low-resistivity ( $\leq 1.0$   $\Omega$  cm) *p*-type and *n*-type c-Si wafers. As revealed by capacitance–voltage measurements in Ref. [10], a negative fixed insulator charge density of  $-1.8 \times 10^{12}$   $\text{cm}^{-2}$  was found in the uncapped  $\text{Ta}_2\text{O}_5$  films, and  $-1.0 \times 10^{12}$   $\text{cm}^{-2}$  in the  $\text{Ta}_2\text{O}_5/\text{SiN}_x$  stack. Given the possible beneficial effect of a negative charge was weakened upon  $\text{SiN}_x$  capping, the substantial improvement in surface passivation after  $\text{SiN}_x$  capping can be primarily attributed to a reduction in the defect density at the  $\text{Ta}_2\text{O}_5/\text{c-Si}$  interface, presumably via hydrogenation during  $\text{SiN}_x$  deposition.

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Furthermore, Ta<sub>2</sub>O<sub>5</sub> also has an excellent optical properties, with a relatively high refractive index and a negligible absorption of the visible sunlight [11], making it a promising dielectric for photovoltaic applications, both as a passivating and antireflection coating (ARC) layer. In this contribution, we further explore such applications by examining the effect of Ta<sub>2</sub>O<sub>5</sub> thickness on the recombination rate at several types of c-Si surfaces, including *p*-type and *n*-type undiffused, boron-diffused *p*<sup>+</sup>, and phosphorus-diffused *n*<sup>+</sup>. We also examine the effect of crystal orientation and surface morphology on the recombination rate, including planar {100}, planar {111}, and textured silicon with random upright pyramids. Finally, the optical properties of Ta<sub>2</sub>O<sub>5</sub> are measured and the antireflection performance of Ta<sub>2</sub>O<sub>5</sub>/SiN<sub>x</sub> stacks is simulated.

## 2. Experimental details

Lifetime samples for Section 3.1 were fabricated on 0.8 Ω cm *p*-type and 1.0 Ω cm *n*-type, {100} float-zoned (FZ) low-resistivity c-Si wafers with a thickness of 275 and 390 μm, respectively; and phosphorus diffused (*n*<sup>+</sup>) 100 Ω cm *p*-type and boron diffused (*p*<sup>+</sup>) 100 Ω cm *n*-type FZ high-resistivity c-Si wafers with a thickness of 390 and 280 μm, respectively. The undiffused wafers were etched in tetramethylammonium hydroxide (TMAH, 25 wt%) at ~85 °C to remove saw damage. Phosphorus and boron diffusions were performed in quartz tube furnaces using liquid POCl<sub>3</sub> and BBr<sub>3</sub> sources, resulting in sheet resistances of 120 ± 5 Ω/sq for both diffusions as measured by four-point probe.

Besides the same 1.0 Ω cm *n*-type, {100}-oriented wafers used in Section 3.1, lifetime samples for Section 3.2 also includes (i) {111}-oriented planar *n*-type FZ silicon wafers with a resistivity of 1.0 Ω cm and a thickness of 290 μm, and (ii) textured silicon wafers with random upright pyramids, which were prepared by immersing the as-cut 1.0 Ω cm *n*-type, {100} samples in an alkaline solution of TMAH, deionized water, isopropyl alcohol (IPA) and dissolved silicon at a temperature of 85 °C for 60 min [12–16]. In addition, Section 3.2 also includes planar and random pyramidally textured boron-diffused samples with three different sheet resistances of 56, 122, and 214 Ω/sq.

All samples were cleaned by the RCA (Radio Corporation of America) procedure and dipped in HF to remove any remaining oxide prior to Ta<sub>2</sub>O<sub>5</sub> deposition. The Ta<sub>2</sub>O<sub>5</sub> films were prepared by a thermal ALD system (R200 Advanced, Picosun) using Tantalum Ethoxide as tantalum precursor, H<sub>2</sub>O as the oxidant, and N<sub>2</sub> as the purge gas. The deposition temperature was 250 °C, and the deposition rate was determined to be 0.3 Å/cycle by ex-situ spectroscopic ellipsometry (J.A. Woolam M2000 ellipsometer). The film thickness and wavelength-dependent refractive index *n* and absorption coefficient *α* were determined by fitting polarized reflectance using the Tauc-Lorentz model [17]. Deposition cycles were varied to obtain a series of thickness ranging from 3 to 24 nm. The capping SiN<sub>x</sub> layers were prepared by a microwave/radio-frequency PECVD reactor (AK400, Roth & Rau). A detailed description of the reactor and deposition conditions is given elsewhere [18]. The capping SiN<sub>x</sub> has a thickness of 85 nm and a refractive index of 1.93 at 632 nm.

The effective excess carrier lifetime  $\tau_{\text{eff}}$  as a function of excess carrier density  $\Delta n$  was measured using a Sinton Instruments WCT-120 photoconductance tool [19]. Neglecting Shockley-Read-Hall recombination in the bulk of the wafer, the upper limit of the effective surface recombination velocity  $S_{\text{eff,UL}}$  was calculated according to

$$S_{\text{eff,UL}} = \frac{W}{2} \left( \frac{1}{\tau_{\text{eff}}} - \frac{1}{\tau_{\text{intrinsic}}} \right), \quad (1)$$

where *W* is the Si substrate thickness and  $\tau_{\text{intrinsic}}$  is the intrinsic bulk lifetime in Si parameterized by Richter et al. [20]. For the heavily diffused *p*<sup>+</sup> and *n*<sup>+</sup> Si samples, the recombination current density  $J_0$  is extracted from the lifetime measurement by employing the technique developed by Kane and Swanson [21] with an intrinsic carrier concentration  $n_i = 8.6 \times 10^9 \text{ cm}^{-3}$  at 25 °C. The lifetime measurements were conducted in transient mode, leading to an independence of optical factor on determination of  $J_0$ .

## 3. Results and discussion

### 3.1. Effect of Ta<sub>2</sub>O<sub>5</sub> thickness on surface recombination

Fig. 1(a) depicts the passivation quality provided by as-deposited Ta<sub>2</sub>O<sub>5</sub> and SiN<sub>x</sub>-capped Ta<sub>2</sub>O<sub>5</sub> films on *p*- and *n*-type undiffused c-Si samples for a range of Ta<sub>2</sub>O<sub>5</sub> thicknesses. As can be seen, the as-deposited Ta<sub>2</sub>O<sub>5</sub> films provide a poor surface passivation on both *p*- and *n*-type wafers with  $S_{\text{eff,UL}}$  greater than 500 cm/s, regardless of the Ta<sub>2</sub>O<sub>5</sub> thickness. Note that the passivation could not be improved by thermal annealing in 5% H<sub>2</sub> forming gas at temperatures between 250 and 450 °C. By contrast, upon capping the ALD Ta<sub>2</sub>O<sub>5</sub> with a PECVD SiN<sub>x</sub> layer, the level of surface passivation is significantly improved and exhibits a strong dependence on Ta<sub>2</sub>O<sub>5</sub> thickness. As the Ta<sub>2</sub>O<sub>5</sub> thickness increases,  $S_{\text{eff,UL}}$  on both *p*- and *n*-type wafers first decreases, and then tends to saturate when the Ta<sub>2</sub>O<sub>5</sub> thickness exceeds 12 nm. The lowest  $S_{\text{eff,UL}}$  we obtained is 5.0 cm/s and 3.2 cm/s at  $\Delta n = 10^{15} \text{ cm}^{-3}$  on *p*-type and *n*-type c-Si wafers, respectively.

The passivation provided by the Ta<sub>2</sub>O<sub>5</sub>/SiN<sub>x</sub> stack on boron-diffused *p*<sup>+</sup> wafers is also found to be excellent. Fig. 2 plots the recombination current density  $J_0$  as a function of Ta<sub>2</sub>O<sub>5</sub> thickness for boron-diffused *p*<sup>+</sup> and phosphorus-diffused *n*<sup>+</sup> regions. For reference, the  $J_0$  for ALD Al<sub>2</sub>O<sub>3</sub>-passivated *p*<sup>+</sup> and PECVD SiN<sub>x</sub>-passivated *n*<sup>+</sup> are also included as dashed lines. The deposition details of ALD Al<sub>2</sub>O<sub>3</sub> can be found in Ref. [22]. The passivating SiN<sub>x</sub> was deposited using the same process parameters as those for the capping SiN<sub>x</sub>. Note that the as-deposited Ta<sub>2</sub>O<sub>5</sub> without SiN<sub>x</sub> capping provides little passivation on the diffused samples (not shown in Fig. 2). As can be seen, the Ta<sub>2</sub>O<sub>5</sub>/SiN<sub>x</sub> stack provides a high level of surface passivation of *p*<sup>+</sup> surfaces,

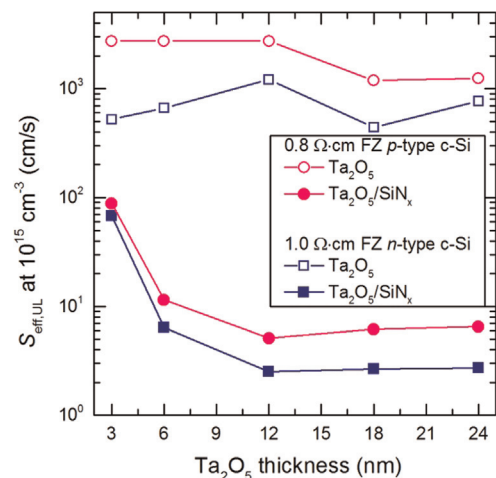


Fig. 1. The upper limit to surface recombination velocity  $S_{\text{eff,UL}}$  at  $\Delta n = 10^{15} \text{ cm}^{-3}$  as a function of the Ta<sub>2</sub>O<sub>5</sub> thickness on 0.8 Ω cm *p*-type and 1.0 Ω cm *n*-type Si wafers when passivated by Ta<sub>2</sub>O<sub>5</sub> films and Ta<sub>2</sub>O<sub>5</sub>/SiN<sub>x</sub> stacks.

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