



# Enantioselective $\alpha$ -chlorination of $\beta$ -keto esters and amides catalyzed by chiral imidodiphosphoric acids

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## ABSTRACT

Chiral imidodiphosphoric acids were employed as catalysts for the enantioselective  $\alpha$ -chlorination of  $\beta$ -keto esters and amides using NCS as the chlorine source, providing a series of optically active products with good to high enantioselectivities (74–95% ee) and excellent yields (92–99%). This represents the first report of the Brønsted acid catalyzed enantioselective  $\alpha$ -chlorination of cyclic  $\beta$ -keto derivatives.

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## Introduction

Due to the pharmaceutical activity of optically active halogen-containing molecules, as well as their ability to serve as the linchpin for further stereospecific manipulations, the enantioselective construction of carbon-halogen bonds has gained significant attention in modern organic synthesis, drug discovery and material sciences over the past decades.<sup>1</sup> Since Togni and co-workers disclosed the first example of the asymmetric  $\alpha$ -chlorination of  $\beta$ -keto esters with an isolated chiral Ti-(TADDOLato) catalyst in 2000,<sup>2</sup> extensive efforts have been devoted to this area.<sup>3</sup> Regarding metal-mediated approaches, the catalytic systems employed include a series of Lewis acidic Cu(II), Pd(II), Ni(II), Cu(I), Co(II) or Zn(II) species accompanied by the corresponding chiral ligands.<sup>4</sup> The results were altered with substrate variations and the field has developed gradually. Other catalytic systems such as VAPOL phosphates were also applied in the asymmetric chlorination of 3-substituted oxindoles.<sup>5</sup>

Organocatalytic strategies for the asymmetric synthesis of  $\alpha$ -chloro- $\beta$ -keto esters have also been developed but remain rarely reported. In 2005 Melchiorre and co-workers reported the first organocatalytic asymmetric  $\alpha$ -halogenation of 1,3-dicarbonyl com-

pounds using benzoylquinine as the catalyst.<sup>6</sup> Good to high enantioselectivities (80–96%) were obtained. In 2009 Feng and co-workers described the chiral *N,N'*-dioxide catalyzed enantioselective  $\alpha$ -chlorination of  $\beta$ -keto esters using NCS as the chlorine source.<sup>7</sup> A series of six-membered cyclic  $\beta$ -keto esters with aromatic rings were obtained in high enantioselectivities (90–98%) with excellent yields (99%). One year later Díaz-de-Villegas and co-workers applied chiral amino diol derivatives in the enantioselective  $\alpha$ -chlorination of  $\beta$ -keto esters.<sup>8</sup> Other organic catalysts such as chiral diterpenoid alkaloid derivatives and diamino methylenemalononitrile were subsequently described in recent years.<sup>9</sup> To the best of our knowledge, there are no chiral-acid organic catalysts reported in this field.<sup>10</sup>

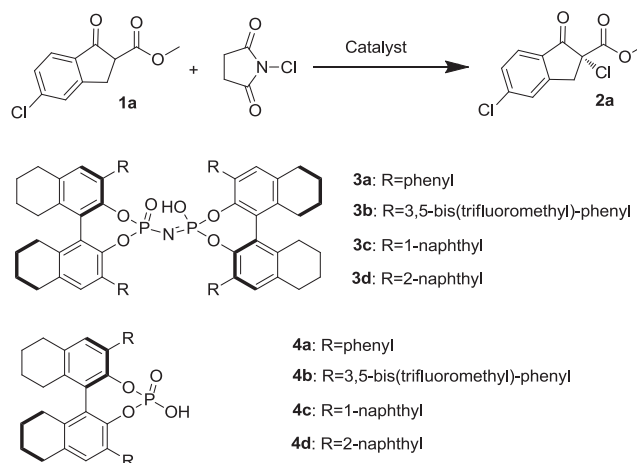
Chiral imidodiphosphoric acids, which have a rigid chiral microenvironment due to the two chiral scaffolds, have been identified for their efficiency and ability to control the stereochemistry in many highly asymmetric transformations.<sup>11</sup> In this work, we applied this type of catalyst in the enantioselective  $\alpha$ -chlorination of  $\beta$ -keto esters and amides.

## Results and discussion

Initially, we examined the background reaction between  $\beta$ -keto ester **1a** and NCS in toluene at 15 °C, as well as the H<sub>8</sub>-BINOL type chiral imidodiphosphoric acid catalysts **3a–d** (2 mol%) under the same conditions (Table 1). Among them, catalyst **3b** with 3,5-bis-

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**Table 1**Optimization of the reaction conditions.<sup>a</sup>

Entry	Cat.	Solvent	T [°C]	Time	Yield <sup>b</sup> [%]	ee <sup>c</sup> [%]
1	–	Toluene	15	<10 min	90	0
2	<b>3a</b>	Toluene	15	5 min	99	–13
3	<b>3b</b>	Toluene	15	5 min	99	23
4	<b>3c</b>	Toluene	15	5 min	99	0
5	<b>3d</b>	Toluene	15	5 min	99	0
6	<b>4a</b>	Toluene	15	5 min	99	0
7	<b>4b</b>	Toluene	15	5 min	99	5
8	<b>4c</b>	Toluene	15	5 min	99	3
9	<b>4d</b>	Toluene	15	5 min	99	2
10	<b>3b</b>	CH <sub>2</sub> Cl <sub>2</sub>	15	5 min	99	31
11	<b>3b</b>	CCl <sub>4</sub>	15	5 min	99	34
12	<b>3b</b>	THF	15	5 min	99	78
13	<b>3b</b>	Acetone	15	5 min	99	50
14	<b>3b</b>	1,4-dioxane	15	5 min	99	77
15	<b>3b</b>	THF	0	30 min	99	82
16	<b>3b</b>	THF	–20	4 h	99	85
17	<b>3b</b>	THF	–40	10 h	99	75
18	<b>3b</b>	THF	–20	4 h	99	86 <sup>d</sup>
19 <sup>e</sup>	<b>3b</b>	THF	–20	4 h	99	88 <sup>e</sup>
20	<b>3b</b>	THF	–20	5 h	99	84 <sup>f</sup>

<sup>a</sup> Reagents and conditions: **1a** (0.1 mmol, 1.0 equiv.), NCS (0.11 mmol, 1.1 equiv.), catalyst (2 mol%), solvent (1.0 mL).<sup>b</sup> Isolated yield.<sup>c</sup> Determined by HPLC analysis with a Daicel ChiralPak OD-H column. (– : S, + : R).<sup>d</sup> Catalyst loading 5 mol%.<sup>e</sup> Catalyst loading 10 mol%.<sup>f</sup> Reagents and conditions: **1a** (1 mmol, 1.0 equiv.), NCS (1.1 mmol, 1.1 equiv.), catalyst (10 mol%), solvent (10 mL).<sup>g</sup> The configuration of **2a** and **2h** was (R) as determined by comparison of the rotation and HPLC reported by Ding and co-workers.<sup>4j</sup>

tri(fluoromethyl)-phenyl groups at the 3,3'-positions provided product **2a** with the (R) configuration (23% ee, 99% yield, entry 3). Interestingly, catalyst **3a** with phenyl groups at the 3,3'-positions provided product **2a** with the (S) configuration compared to the other catalysts (13% ee, 99% yield, entry 2). Disappointingly, the other two catalysts (**3c** and **3d**) both provided racemic products. Chiral phosphoric acids **4a–d** bearing the same groups as the diphosphoric acid catalysts were also tested; the best result was 5% ee afforded by catalyst **4b** which contained the same groups at the 3,3'-positions as catalyst **3b**. Then we turned our attention to solvent screening. A moderate ee (78% ee, entry 12) was obtained when THF was employed. Other polar solvents such as acetone and 1,4-dioxane resulted in ee values of 50% and 77%, respectively. Non-polar solvents such as CCl<sub>4</sub> and CH<sub>2</sub>Cl<sub>2</sub> did not provide good results for this reaction, giving ee values of 31% and 34%, respectively. Lowering the reaction temperature to 0 °C and

–20 °C to reduce the background reaction (<10 min, entry 1) provided **2a** in 85% ee and 99% yield when the reaction was performed at –20 °C, albeit with a 4 h reaction time (Entry 16). However, further lowering the temperature to –40 °C, did not give a better result (75% ee, entry 17). The result slightly improved when the catalyst loading was increased to 5 mol% at –20 °C (86% ee, entry 18). Finally, the best result was obtained when the catalyst loading was increased to 10 mol% (88% ee, 99% yield, entry 19). The gram-scale reaction between **1a** and NCS was also performed using the optimized condition; the ee value was slightly decreased (84% ee, 99% yield, entry 20).

With the optimized conditions in hand, the substrate scope was explored (Table 2). We found that the size of the ester group had only a minor impact on the enantioselectivity (**2a–e**). While the sterically bulky *tert*-butyl ester **1d** gave the best result (99% yield, 95% ee), the cyclohexyl ester **1e** which was expected to be a good

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