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# Theoretical study of the promotional effect of ZrO<sub>2</sub> on In<sub>2</sub>O<sub>3</sub> catalyzed methanol synthesis from CO<sub>2</sub> hydrogenation

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## ABSTRACT

Methanol synthesis from CO<sub>2</sub> hydrogenation on the ZrO<sub>2</sub> doped In<sub>2</sub>O<sub>3</sub>(110) surface (Zr-In<sub>2</sub>O<sub>3</sub>(110)) with oxygen vacancy has been studied using the density functional theory calculations. The calculated results show that the doped ZrO<sub>2</sub> species prohibits the excessive formation of oxygen vacancies and dissociation of H<sub>2</sub> on In<sub>2</sub>O<sub>3</sub> surface slightly, but enhances the adsorption of CO<sub>2</sub> on both perfect and defective Zr-In<sub>2</sub>O<sub>3</sub>(110) surface. Methanol is formed via the HCOO route. The hydrogenation of CO<sub>2</sub> to HCOO is both energetically and kinetically facile. The HCOO hydrogenates to polydentate H<sub>2</sub>CO (*p*-H<sub>2</sub>CO) species with an activation barrier of 0.75 eV. H<sub>3</sub>CO is produced from the hydrogenation of monodentate H<sub>2</sub>CO (mono-H<sub>2</sub>CO), transformation from *p*-H<sub>2</sub>CO with 0.82 eV reaction energy, with no barrier whether there is hydroxyl group between the mono-H<sub>2</sub>CO and the neighboring hydride or not. Methanol is the product of H<sub>3</sub>CO protonation with 0.75 eV barrier. The dissociation and protonation of CO<sub>2</sub> are both energetically and kinetically prohibited on Zr-In<sub>2</sub>O<sub>3</sub>(110) surface. The doped ZrO<sub>2</sub> species can further enhance the adsorption of all the intermediates involved in CO<sub>2</sub> hydrogenation to methanol, activate the adsorbed CO<sub>2</sub> and H<sub>2</sub>CO, and stabilize the HCOO, H<sub>2</sub>CO and H<sub>3</sub>CO, especially prohibit the dissociation of H<sub>2</sub>CO or the reaction of H<sub>2</sub>CO with neighboring hydride to form HCOO and gas phase H<sub>2</sub>. All these effects make the ZrO<sub>2</sub> supported In<sub>2</sub>O<sub>3</sub> catalyst exhibit higher activity and selectivity on methanol synthesis from CO<sub>2</sub> hydrogenation.

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## 1. Introduction

The efforts to mitigate global climate change through catalytic conversion of CO<sub>2</sub> into methanol, which has been widely used as hydrogen energy carriers and the feedstock for the production of many other chemicals, has attracted considerable interest utilizing heterogeneous metal and metal oxide catalysts [1–4]. The formate (HCOO) route, the reverse water gas shift (RWGS) route, and the hydrocarboxyl (COOH) route are the three reaction pathways which had been proposed for methanol synthesis from CO<sub>2</sub> hydrogenation on the extensively studied Cu-based and Pd-based metal catalysts through both experiments and theory [5–10]. The CO<sub>2</sub> is firstly converted to HCOO which is followed by a consecutive hydrogenation

from dioxymethylene (H<sub>2</sub>COO), methoxy (H<sub>3</sub>CO) to the product methanol (CH<sub>3</sub>OH) in the HCOO route.

Compared with the lower methanol selectivity of CO<sub>2</sub> hydrogenation on Cu-based and Pd-based catalysts, the metal oxide catalysts, In<sub>2</sub>O<sub>3</sub> catalyst has shown superior catalytic performance with higher methanol selectivity and space time yield [11]. In the methanol [12] and ethanol [13] steam reforming reactions, the In<sub>2</sub>O<sub>3</sub> catalysts show near 100% CO<sub>2</sub> selectivity for the suppression of reverse water-gas shift (RWGS) reaction. Bielz et al. found that CO can easily reduce the In<sub>2</sub>O<sub>3</sub>, while CO<sub>2</sub> can't replenish the oxygen vacancy. Whereas CO<sub>2</sub> evolution via reaction of a CO + H<sub>2</sub>O mixture on In<sub>2</sub>O<sub>3</sub> above 430 K, only trace amounts of CO are found upon reaction in a CO<sub>2</sub> + H<sub>2</sub> mixture [14]. Sun et al. reported that adsorbed CO<sub>2</sub> on In<sub>2</sub>O<sub>3</sub> surface is more highly activated than on the Ga<sub>2</sub>O<sub>3</sub> surface, and confirmed that In<sub>2</sub>O<sub>3</sub> is a promising catalyst for CO<sub>2</sub> conversion reactions [15]. The experimental results of Sun et al. demonstrated that the temperature and pressure have a significant effect on methanol yield of CO<sub>2</sub> hydrogenation and the products are only CO and methanol, whose yield is higher than that of many other reported catalysts [16]. Martin et al. unveiled

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that the  $\text{In}_2\text{O}_3$ -based catalyst has the performance of high activity, 100% methanol selectivity and remarkable stability under industrially relevant conditions for  $\text{CO}_2$  hydrogenation, which strongly contrasts to the benchmark  $\text{Cu-ZnO-Al}_2\text{O}_3$  catalyst [11]. The theoretical research of  $\text{CO}_2$  hydrogenation on  $\text{In}_2\text{O}_3$  surface by Ye et al. revealed that the oxygen vacancy on  $\text{In}_2\text{O}_3$  surface is the pivotal site for  $\text{CO}_2$  adsorption and activation [17,18]. The perfect  $\text{In}_2\text{O}_3$  surface firstly reacts with hydrogen to form oxygen vacancy and then adsorbs  $\text{CO}_2$  with one of the oxygen atom filling the oxygen vacancy. This is followed by a consecutive hydrogenation of the activated  $\text{CO}_2$  to produce methanol according to the  $\text{HCOO}$  reaction pathway. The experimental results also indicated that the oxygen vacancy is the active site on  $\text{In}_2\text{O}_3$  catalyst which has higher activity when pre-activated using  $\text{CO}$  as reducing agent [11]. Besides, the  $\text{ZrO}_2$  supported  $\text{In}_2\text{O}_3$  catalyst shows higher methanol yield than pure  $\text{In}_2\text{O}_3$  catalyst at the same conditions. The reason, the authors thought, for the promotional effect of  $\text{ZrO}_2$  on  $\text{In}_2\text{O}_3$  catalyst is that  $\text{ZrO}_2$  can promote the formation of oxygen vacancy on  $\text{In}_2\text{O}_3$  surface, which leads to the increasing number of active sites and methanol yield. While it can not be excluded that the oxygen vacancies contained in  $\text{ZrO}_2$  itself, which is nonactive, resulted in the increasing of oxygen vacancy. Therefore, more detailed studies are needed to reveal the exact interaction between  $\text{ZrO}_2$  support and  $\text{In}_2\text{O}_3$  catalyst. The experimental [19–21] and theoretical [22–24] studies on  $\text{Cu/ZrO}_2$  catalyst for  $\text{CO}_2$  hydrogenation indicated that the interaction between  $\text{Cu}$  and  $\text{ZrO}_2$  species has a crucial effect on the activity and methanol selectivity. In the interface between  $\text{Cu}$  and  $\text{ZrO}_2$  species, the  $\text{Zr}^{4+}$  is partially reduced to  $\text{Zr}^{3+}$  (or formation of oxygen vacancy) which is able to bind the key intermediates e.g.  $\text{CO}_2^*$ ,  $\text{CO}^*$ ,  $\text{HCO}^*$  and  $\text{H}_2\text{CO}^*$ , moderately to facilitate the formation of methanol. The phenomenon that  $\text{Zr}^{4+}$  is partially reduced to  $\text{Zr}^{3+}$  on  $\text{ZrO}_2$  is also observed by Martin [11] et al. at the interface between  $\text{In}_2\text{O}_3$  and  $\text{ZrO}_2$  species. Compared with the interaction between  $\text{ZrO}_2$  and  $\text{Cu}$  species, does the interaction between  $\text{ZrO}_2$  and  $\text{In}_2\text{O}_3$  species just promote the formation of oxygen vacancy on  $\text{In}_2\text{O}_3$  surface?

In present work, we examine the influence of doped  $\text{ZrO}_2$  on the property of  $\text{In}_2\text{O}_3$  and methanol synthesis from  $\text{CO}_2$  hydrogenation using density functional theory (DFT). We first compared the difference between pure  $\text{In}_2\text{O}_3$  and  $\text{ZrO}_2$ -doped  $\text{In}_2\text{O}_3$  ( $\text{Zr-In}_2\text{O}_3$ ) on oxygen vacancy formation and  $\text{CO}_2$  and  $\text{H}_2$  adsorption, and then mapped out the route for methanol synthesis from  $\text{CO}_2$  hydrogenation on  $\text{Zr-In}_2\text{O}_3$  surface. Finally, we compared the difference of

the adsorption of key intermediates between pure and  $\text{ZrO}_2$ -doped  $\text{In}_2\text{O}_3$  surface.

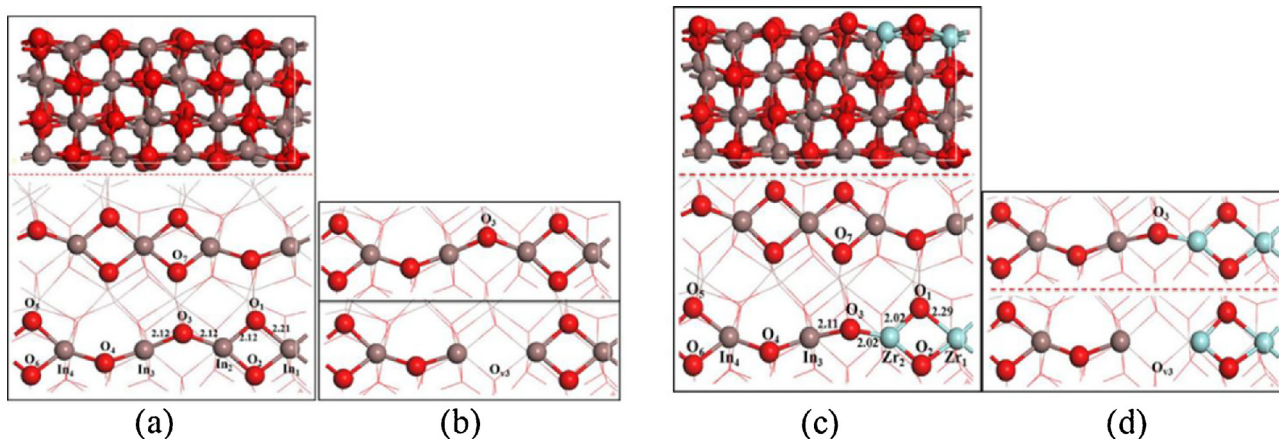
## 2. Computational details

All the DFT calculation were carried out with the program package  $\text{Dmol}^3$  in Materials Studio of Accelrys Inc [25,26]. The generalized gradient approximation (GGA) with Perdew-Burke-Ernzerhof functional was utilized to account for the exchange and correlation effects [27]. The basis set was set as double numerical plus polarization (DNP). The basis set superposition error (BSSE) calculation was not performed in this paper since it is very small and can be neglected [28]. To take the relativity effect into account, the density functional semicore pseudopotential (DSPP) method was employed for the  $\text{In}$  and  $\text{Zr}$  atoms with spin-unrestricted calculations, and the other atoms were treated with the all electron basis set. A Fermi smearing of 0.001 Ha was adopted to accelerate the convergence and a  $2 \times 2 \times 1$  grid was used to generate k-points according to the Monkhorst-Pack method [29]. The convergence criteria for geometry optimization and energy calculation were set as  $1.0 \times 10^{-6}$  Ha,  $1.0 \times 10^{-5}$  Ha,  $0.002 \text{ Ha } \text{\AA}^{-1}$ , and  $0.005 \text{ \AA}$  for the tolerance of self-consistent field (SCF), energy, maximum force, and maximum displacement, respectively.

The perfect  $\text{In}_2\text{O}_3(110)$  surface was modeled with a  $(1 \times \sqrt{2})$  supercell, built from the optimized  $\text{In}_2\text{O}_3$  bulk unit [17,18,30] shown in Fig. 1a. The supercell has a dimension of  $14.54 \times 10.28 \times 18.03 \text{ \AA}$ . The  $\text{ZrO}_2$  doped  $\text{In}_2\text{O}_3(110)$  surface was modeled by substituting the two adjacent surface  $\text{In}_1$  and  $\text{In}_2$  atoms in the  $\text{In-O}$  chain as Fig. 1c shows. The oxygen vacancy on the  $(\text{Zr-})\text{In}_2\text{O}_3(110)$  surface was created through removing one surface O atom from the perfect  $(\text{Zr-})\text{In}_2\text{O}_3(110)$  surface. In all calculations, the bottom two layers were fixed at their equilibrium bulk positions, whereas the top two layers together with the adsorbates were allowed to relax. The adsorption energy of adsorbates,  $E_a$ , was defined as given in Eq. (1)

$$E_a = E_{A/S} - E_A - E_S \quad (1)$$

Where A represents adsorbate and S represents the perfect or defective  $\text{In}_2\text{O}_3(110)$  surface and  $\text{Zr-In}_2\text{O}_3(110)$  surface slab.  $E_{A/S}$ ,  $E_A$ , and  $E_S$  represent the total energies of the surface slab with the adsorbates, the isolated molecule (radical), and the clean optimized perfect  $\text{Zr-In}_2\text{O}_3(110)$  surface and the defective  $\text{Zr-In}_2\text{O}_3(110)$  sur-



**Fig. 1.** (a) The optimized structure of the  $\text{In}_2\text{O}_3(110)$  surface, side view (upper) and top view (lower). (b) The optimized structure before (upper) and after (lower) the creation of  $\text{O}_{\text{v}3}$  vacancy on  $\text{In}_2\text{O}_3(110)$  surface. (c) Optimized structure of the  $\text{Zr-In}_2\text{O}_3(110)$  surface. (d) The optimized structure before and after the creation of  $\text{O}_{\text{v}3}$  vacancy on  $\text{Zr-In}_2\text{O}_3(110)$  surface. Red, O atoms; brown, In atoms; Cyan, Zr atoms. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

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