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### Theoretical insights into the surface structure of In<sub>2</sub>O<sub>3</sub>(110) surface

### and its effect on methanol synthesis from CO<sub>2</sub> hydrogenation

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#### Abstract

The surface structure of  $In_2O_3(110)$  surface and its effect on methanol synthesis from CO<sub>2</sub> hydrogenation via HCOO route have been studied using combined density functional theory calculations and the atomistic thermodynamics method. The thermal desorption of surface oxygen atoms on  $In_2O_3(110)$  surface are random and not affected by the adjacent vacancies. The temperature and components of gas atmosphere have pronounced effect on the structure of  $In_2O_3(110)$  surface while the effect of pressure is inapparent. Under actual methanol synthesis conditions, the surface structure of  $In_2O_3(110)$  surface is in the state of few surface oxygen atoms on the surface. The  $In_2O_3(110)$  surface under lower surface reduction degree is favorable for methanol synthesis since the excessive formed oxygen vacancies around the active site on the surface can prohibit the dissociation of molecule H<sub>2</sub>, hydrogenation of adsorbed CO<sub>2</sub> and protonation of H<sub>3</sub>CO species, and is not facile for the stability of adsorbed CO<sub>2</sub> and dissociatively adsorbed H<sub>2</sub>. The fundamental effect of the surface reduction degree is the transformation of the micro surface environment of the active site with the change of surface reduction degree of  $In_2O_3(110)$  surface. It is essential to maintain the balance between surface oxygen atoms and vacancies with a lower surface reduction degree on In<sub>2</sub>O<sub>3</sub> surface to obtain a higher performance for methanol synthesis from CO<sub>2</sub> hydrogenation.

**Key words:**  $In_2O_3$ , methanol synthesis, surface structure, structure activity relationship, DFT, atmostic thermodynamics

## 1. Introduction

Since it is of great significance on mitigating global climate change and closing carbon cycle, the direct catalytic conversion of  $CO_2$  into monoxide [1], alcohols [2-4], fuels [5-7] and other valued chemical products [8-12] has attracted considerable interest. Among them, the direct methanol synthesis from  $CO_2$  hydrogenation has obtained great progress using heterogeneous supported metal or metal oxide catalysts in recent years. Consequently, it can be widely utilized as feedstock for the production of other chemicals [13-15]. Previous studies demonstrate that though the Cu [16-19] and Pd [20, 21] catalysts exhibit the potential to form methanol, the CO is the major

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