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1 Review

² Extracellular vesicles as drug delivery systems: Lessons from the

³ liposome field

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ABSTRACT

Extracellular vesicles (EVs) are membrane-derived particles surrounded by a (phospho)lipid bilayer that are released by cells in the human body. In addition to direct cell-to-cell contact and the secretion of soluble factors, EVs 22 function as another mechanism of intercellular communication. These vesicles are able to efficiently deliver their 23 parental cell-derived molecular cargo to recipient cells, which can result in structural changes at an RNA, protein, 24 or even phenotypic level. For this reason, EVs have recently gained much interest for drug delivery purposes. In 25 contrast to these 'natural delivery systems', synthetic (phospho)lipid vesicles, or liposomes, have been employed 26 as drug carriers for decades, resulting in several approved liposomal nanomedicines used in the clinic. This review 27 discusses the similarities and differences between EVs and liposomes with the focus on features that are relevant 28 for drug delivery purposes such as circulation time, biodistribution, cellular interactions and cargo loading. By 29 applying beneficial features of EVs to liposomes and *vice versa*, improve drug carriers can be developed which 30 will advance the field of nanomedicines and ultimately improve patient outcomes. While the application of EVs 31 for therapeutic drug delivery is still in its infancy, issues regarding the understanding of EV biogenesis, large-32 scale production and *in vivo* interactions need to be addressed in order to develop successful and cost-effective 33 EV-based drug delivery systems. 34

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40	Contents			
42	1. In	troduction .		0
43	2. Ke	ey features of	drug delivery	0
44	2.	1. Circulati	ion kinetics and biodistribution	0
45	2.	2. Cellular	interactions and uptake	0
46	2.	3. Drug loa	ading	0
47		2.3.1.	Remote loading of small molecules	0
48		2.3.2.	Microfluidic preparation of siRNA-loaded lipid nanoparticles	0
49		2.3.3.	Cargo loading after EV isolation	0
50		2.3.4.	Cargo loading during EV formation	0
51	3. Fu	iture perspect	tives	0
52	Acknow	vledgments		0
53	Referen	ces		0

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Abbreviations: AKI, acute kidney injury; ApoE, apolipoprotein E; a.u., arbitrary units; AUC, area under the curve; Alix, ALG-2-interacting protein X; Chol, cholesterol; DLin-MC3-DMA, heptatriaconta-6,9,28,31-tetraen-19-yl 4-(dimethylamino)butanoate; DSPC, 1,2-distearoyl-sn-glycero-3-phosphocholine; DSPE, 1,2-distearoyl-sn-glycero-3-phosphocthanolamine; DOTAP, 1,2-dioleoyl-3-trimethylammonium-propane; EGFR, epidermal growth factor receptor; EPC, egg phosphatidylcholine; EVs, extracellular vesicles; ILVs, intraluminal vesicles; LNP, lipid nanoparticle; mRNA, messenger RNA; miRNA, micro RNA; MPS, mononuclear phagocyte system; MVB, multivesicular body; PEG, polyethylene glycol; PEG-DMG, polyethylene glycol-dimyristolglycerol; PHEPC, partially hydrogenated egg phosphatidylcholine; PS, phosphatidylserine; RBC, red blood cell; RNAi, RNA interference; SHM, staggered herringbone mixer; siRNA, small interfering RNA; TL, total lipid; TSG101, tumor susceptibility gene 101.

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R. van der Meel et al. / Journal of Controlled Release xxx (2014) xxx-xxx

55 1. Introduction

Scientific and technological breakthroughs in the 20th century have 5657led to the development of synthetic lipid- or polymer-based carrier systems for the entrapment of therapeutically active molecules to 58yield nanomedicines [1]. When compared to the administration of free 59drugs, advantages such as improved stability, solubility and in vivo 60 pharmacokinetics have resulted in approximately 250 nanomedicines 61 62 that are currently approved or in various stages of (pre)clinical evalua-63 tion [2,3]. The ultimate goal of nanomedicines is to improve patient 64 outcomes by increasing the drug concentration in the target tissue or cell to enhance therapeutic efficacy, while simultaneously decreasing 65 exposure of healthy tissues to reduce toxicity. Particularly in the field 66 67 of oncology, altering the pharmacokinetic parameters and shifting the tissue distribution of chemotherapeutic drugs by formulating them as 68 nanomedicines has shown to reduce dose-limiting adverse effects asso-69 ciated with these drugs, while maintaining or even improving efficacy $\overline{70}$ 71 [4]. While technology has enabled the production of high-grade synthetic nanomedicines in sufficient quantities to treat patients, clini-72cal impact has been relatively modest due to a lack of understanding 73 of *in vivo* interactions and fate of nanomedicines in the human body. 74

Nature's own 'carrier systems' such as bacteria, viruses or cells, have
also been employed either as drug carriers or to study the underlying
mechanisms responsible for their efficient delivery. Attractive features
of natural carriers that could be applied to improve synthetic carriers include cellular tropism, efficient cell (organelle) entry, physicochemical
properties and the ability to circulate without detection by the immune
system [5].

Extracellular vesicles (EVs) are cell-derived membrane vesicles 82 83 characterized by a (phospho)lipid bilayer structure that function as a 84 means of cell-to-cell communication, in addition to direct cell contact and the secretion of soluble factors [6]. They are natural carrier systems 85 86 that have recently gained much interest due to their instrumental role in physiological as well as pathological processes. It appears that EVs 87 can specifically transfer their content, which consists of complex biolog-88 ical molecules, from one cell to another even over longer distances. 89 90 Consequently, EVs are investigated as therapeutic targets [7] and as diagnostic/prognostic biomarkers [8]. It is thought that virtually all 91 cells in the human body release EVs, which is supported by the possibil-92ity of isolating EVs from all bodily fluids including blood, urine and 93 saliva. EVs are usually classified based on their (intra)cellular origin, 94 95 biogenesis, physicochemical properties and/or surface markers, (although there is little consensus about EV nomenclature in the field) 96 97 and include apoptotic bodies, microvesicles and exosomes [9]. Apopto-98 tic bodies are generated when cells undergo apoptosis and fragmentation. These vesicles can contain DNA, RNA and histones and broad size 99 100 ranges between 50 and 5000 nm have been reported. Apoptotic bodies are characterized by the presence of phosphatidylserine (PS) on their 101 surface which functions as an 'eat-me' signal for phagocyotic cells, 102thereby protecting healthy cells from exposure to possible harmful 103 cellular debris [10]. Cells can release microvesicles (also referred to as 104105ectosomes or microparticles) via outward budding of the plasma mem-106 brane. Microvesicles typically display sizes between 50 and 2000 nm. Although microvesicles can be enriched for a subset of proteins, current 107isolation protocols do not allow for a clear separation of circulating 108microvesicles and exosomes [9]. Exosomes seem to be the smallest 109 110 type of EVs with reported diameters between ~40 and 150 nm. In general, it is thought that exosomes are generated via the formation of 111 intraluminal vesicles (ILVs) in multivesicular bodies (MVBs). Fusion of 112 MVBs with the plasma membrane causes the secretion of the ILVs, 113 which are dubbed exosomes upon release in the extracellular environ-114 ment. Exosomes are often characterized by their protein contents 115 indicating an endosomal origin such as ALG-2-interacting protein X 116 (Alix), tumor susceptibility gene 101 (TSG101) and tetraspanins (CD9, 117 CD63) [11]. Nevertheless, it is possible that exosomes can also be re-118 119 leased by cells via direct budding and fission of the plasma membrane. By virtue of their defined size and natural function, exosomes appear 120 ideal candidates for drug delivery purposes [12,13]. Although many of 121 the cited research articles in this review specifically mention the use 122 of exosomes for their studies, consensus is yet to be reached regarding 123 the isolation and detection techniques to accurately separate subpopu-124 lations of vesicles and we have therefore used the term 'EVs' throughout 125 this paper to include all types of cell-derived membrane vesicles. The in-126 terest in understanding the delivery efficiency of EVs and harnessing 127 their delivery potential for exogenous substances invites a critical 128 reflection on vesicles as carrier systems. We particularly focus on the 129 comparison with the current golden standard for drug delivery systems, 130 liposomes, which share the phospholipid-bilayer structure with EVs 131 (Fig. 1).

In contrast to EVs, liposomes have been employed as drug delivery 133 systems for decades [14]. Important discoveries such as improved production using extrusion (and more recently microfluidic preparation), 135 efficient drug entrapment by remote loading, enhanced stability by 136 altering the lipid bilayer and prolonging liposomal circulation by 137 modifying the surface with polyethylene glycol (PEG) have ultimately 138 led to the approval of over a dozen liposomal nanomedicines since the nineties, with many more in clinical trials [15].

Examining the evolution of the 'mature' liposomes as drug carriers 141 can contribute to the development of the yet 'immature' EVs for delivery 142 purposes. For example, many technological methods used for the preparation and characterization of liposomal drug delivery systems may 144 also be applied for EVs (Table 1). Conversely, by studying the biological mechanisms that underlie the efficient transfer of contents from one cell to another *via* EVs may yield advantageous knowledge that can be applied to improve current (liposomal) delivery systems. This review 148 aims at discussing important drug delivery features of EVs and 149 liposomes such as physical characteristics, *in vivo* behavior and fate, 150 cellular interactions and cargo loading.

First-generation liposomal nanomedicines have been approved 152 since the nineties and much knowledge has been obtained about their 153 behavior in animal models and humans. As EVs are at the inception of 154 being applied as drug carriers, many of the described observations 155 have been made *in vitro* and great care should be taken when extrapolating these results to *in vivo* situations. 157

158

2. Key features of drug delivery

Drug delivery by liposomes is mainly attributed to their ability to 159 circulate over longer periods leading to accumulation in tissues that 160 are characterized by permeable vasculature, which facilitates extravasation of liposomes. Although the mechanisms behind cargo delivery by 162 EVs have only just started to be unraveled, it is believed that their surface properties, which influence circulation time and cell interactions, are the main factors underlying their efficient transfer of cellular material. 166

2.1 . Circulation kinetics and biodistribution 167

Liposomes are synthetic spherical vesicles. They self-assemble as a 168 result of the hydrophobic effect when amphiphatic molecules, usually 169 phospholipids, are brought in an aqueous environment. The minimal 170 size of liposomes is ~30 nm, which is primarily determined by the 171 difficulty of lipid-packing inside a strongly curved geometry. To obtain 172 liposomes of such small sizes, substantial energy transfer to the membranes is required, for example by extrusion or sonication. The largest 174 liposomes can measure up to several microns. Between sizes of ~40 175 and 900 nm, liposomes display opalescence (Fig. 2). Especially the 90° 176 light scattering can be an accurate measure of particle size specifically 177 in the 50-200 nm region where scattering is strongly dependent on 178 the liposome size [28]. 179

Liposomes can be uni-lamellar or multi-lamellar and incorporate 180 both hydrophilic compounds in the aqueous compartment(s), 181

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