ARTICLE IN PRESS

[Journal of Controlled Release xxx \(2014\) xxx](http://dx.doi.org/10.1016/j.jconrel.2014.05.004)–xxx

Contents lists available at ScienceDirect

Journal of Controlled Release

journal homepage: www.elsevier.com/locate/jconrel

1 Organ-on-a-chip platforms for studying drug delivery systems

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1 0 article info abstract

11 Article history: $\frac{12}{13}$ Received 26 February 2014
13 Accepted 2 May 2014 Accepted 2 May 2014 14 Available online xxxx

15 Keywords:
16 Organ-on-

16 Organ-on-a-chip

- 17 Drug delivery
- 18 Nanoparticle
- 19 Drug screening 20 Toxicity
- 33
- 33 $\frac{1}{2}$ 30 $\frac{30}{2}$ 30 $\frac{30}{$
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 $\alpha^{1.1}$ Mehmet R. Dokmeeti & Ali Khademnions and interaction and interaction and interaction and interaction and inte Novel microfluidic tools allow new ways to manufacture and test drug delivery systems. Organ-on-a-chip systems — microscale recapitulations of complex organ functions — promise to improve the drug development pipeline. This review highlights the importance of integrating microfluidic networks with 3D tissue engineered 23 models to create organ-on-a-chip platforms, able to meet the demand of creating robust preclinical screening 24 models. Specific examples are cited to demonstrate the use of these systems for studying the performance of 25 drug delivery vectors and thereby reduce the discrepancies between their performance at preclinical and clinical 26 trials. We also highlight the future directions that need to be pursued by the research community for these proof- 27 of-concept studies to achieve the goal of accelerating clinical translation of drug delivery nanoparticles. 28

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34 1. Introduction

 The rapidly developing field of nanomedicine can significantly im- pact human disease therapy [1,2]. The research progress accomplished in this field, over the last few decades, has led to the development of nanomaterials, useful for designing carriers that deliver therapeutic payload to diseased cells. An ideal drug delivery system should be easy to manufacture and scale-up, low cost, biocompatible, biodegrad-**Q2** able, possesses a high drug loading capacity and can be targeted to the site-of-interest in the body. Nanocarriers, also routinely referred to as nanoparticles, are a class of drug delivery systems that range in size from about 50 to 200 nm, allowing them to efficiently translocate across the cell membrane barrier.

 From a therapeutic standpoint, nanocarriers can prolong the systemic circulation time of the drug and significantly reduce adverse side effects caused by off-target delivery at healthy tissue sites. This con- trolled release of drugs reduces the magnitude of overall drug exposure required for a therapeutic effect, thus avoiding higher drug doses and consequent adverse effects. A wide variety of drugs, including hydro-phobic and hydrophilic small molecules, as well as biomacromolecules,

<http://dx.doi.org/10.1016/j.jconrel.2014.05.004> 0168-3659/© 2014 Published by Elsevier B.V.

can be encapsulated within nanoparticles by tailoring the chemistry of 53 nanomaterials, polymeric or inorganic/metallic, to achieve the desired 54 encapsulation capability and release kinetics. The first use of nanoscale 55 systems for drug delivery was reported in the 1970s, when liposomal 56 Trojan horse nanoparticles were used for treating lysosomal storage 57 disease [3,4]. Nanoparticles have also been developed as diagnostic 58 agents to enhance the sensitivity for imaging techniques, including 59 X-ray computed tomography (CT) and magnetic resonance imaging 60 (MRI). An increase in available techniques to engineer more precise 61 and sophisticated nanomaterials, and a deeper understanding of disease 62 biology have catapulted a new generation of nanotherapeutics with 63 improved properties. 64

The above-mentioned advantages make nanoscale drug delivery 65 systems appealing to the pharmaceutical companies and healthcare 66 regulatory agencies. However, in spite of these rapid bench-side devel- 67 opments, the translation of therapeutic nanoparticles to the commercial 68 pipeline has been less impressive [5]. Very few systems have been 69 approved by the Food and Drug Administration (FDA), including 70 Doxil, a liposomal formulation encapsulating the chemotherapeutic 71 drug Doxorubicin, and Abraxane, based on the nanoparticle albumin- 72 bound (nab) technology to deliver Paclitaxel, a widely used drug for 73 breast and pancreatic cancer [\[6\].](#page--1-0) This slow pace of bench-to-bedside 74 translation can be attributed to several challenges, the most critical 75 being the lack of robust preclinical tissue culture platforms that can 76 mimic in vivo conditions and predict the performance of these nanopar- 77 ticles within the human body. The same state of $\frac{78}{2}$

Please cite this article as: N.S. Bhise, et al., Organ-on-a-chip platforms for studying drug delivery systems, J. Control. Release (2014), [http://](http://dx.doi.org/10.1016/j.jconrel.2014.05.004) dx.doi.org/10.1016/j.jconrel.2014.05.004

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 The development of microfluidic platforms for nanoparticle synthe- sis has shown to overcome several disadvantages of the traditional bulk synthesis methods such as scalability and batch-to-batch variability [7–[9\].](#page--1-0) Microfluidic approaches have also been used as a tool for more sophisticated, faster and highly efficient characterization of the biophys- ical properties of nanoparticles [\[10,11\]](#page--1-0). Additionally, the application of microfabrication techniques to tissue engineering aided in the creation of physiologically relevant disease models. Establishment of these tech- niques has paved the way for robust advances in tissue culture systems integrated with microfluidic networks [\[12\].](#page--1-0) More recently, the demand for high-throughput drug screening platforms with better preclinical predictability has translated into major developments in the organ- on-a-chip systems [13–15]. This review presents recent advances in *in vitro tissue culture models by primarily emphasizing on organ-on-* a-chip platforms useful for studying the performance of drug delivery nanotherapeutics. The current challenges in the development of drug delivery systems are highlighted and the use of organ-on-chips as a potential solution is discussed by presenting specific examples of relevant proof-of-concept studies.

98 2. Limitations of current culture platforms used for developing drug 99 delivery systems

 Several parameters need to be studied for developing nanoparticles for clinical use. These include studying the fate of the nanoparticles inside the body and its toxicological effects, the mode of binding and internalization at the cellular level, the stability of the nanoparticles with respect to various physical and chemical conditions of the body, 105 and, most importantly, the efficacy when compared to free drugs [5]. Large-batch synthesis, toxicity assessment and efficacy screening are the major levels at which clinical translation of nanotherapeutics faces set-back [\[16\].](#page--1-0) On the manufacturing front, scaling the small lab synthe- sis techniques to the large-scale production of nanoparticles has been challenging for the pharmaceutical companies [8]. Meanwhile, screen- ing for the toxicity and efficacy suffers from the paucity of preclinical models that would robustly predict the nanoparticles' behavior inside the human body [16]. For simultaneous evaluation of the above-mentioned parameters, predictive in vitro platforms are essential while developing drug delivery vectors [13,17].

 The current gold standard for preclinical testing of nanotherapeutics 117 is in vivo studies. These do not accurately predict human responses due to inter-species difference in genetic makeup, along with being extremely time-consuming, expensive, low-throughput and raising ethical concerns. The resolution for whole-animal imaging methods is limited, hindering visualization during transport of the theranostic agents in the target tissue. Being unable to reproduce its preclinical per- formance, many drug delivery systems which pass the preclinical phase 124 fail to address the toxicity and efficacy effects when compared to their free drug counterparts in human clinical trials [5]. Strikingly, the main reason cited for this effect is the use of animal models for optimization 127 during drug carrier design [5], which brings back the obvious drawback of a certain degree of physiological irrelevance between human and animal models.

 Animal models need to be complemented with sophisticated in vitro 131 platforms to fill this gap. In current in vitro studies, drug delivery carriers are commonly tested in two-dimensional (2D) monolayer cell culture models. These 2D cultures involve growing on top of a flat substrate (e.g., glass or polystyrene) a monolayer of single or multiple cell types that are either freshly isolated from human/animal tissues (primary cells) or are already established, immortalized cell lines. In these setups, drug delivery systems are usually mixed with culture media and directly applied on the cell monolayers, after which cellular responses are recorded. Among several published studies [18–[21\],](#page--1-0) the work of Xia and colleagues on the cellular uptake of gold nanoparticles (AuNPs) by SK-BR-3 breast tumor cells [\[22\],](#page--1-0) stands out by devising a novel testing method. After culturing the cells on a piece of glass, the substrate was carefully reversed and placed upside down before 143 AuNPs, with different shapes and sizes, were added in the culture 144 media. Such an approach successfully avoided the issues caused by 145 rapid sedimentation of nanoparticles. Indeed, the amount of cellular 146 uptake of nanoparticles in upright and inverted cultures was found 147 to significantly depend on the rate of diffusion/sedimentation of the 148 nanoparticles. 2002 149

13-13. Interestigate textual attention to the the many and the solution of the many and the In spite of these novel approaches for 2D cell culture, it is gradually 150 realized that there are many shortcomings with these "flat" models to 151 mimic the complex three-dimensional (3D) in vivo microenvironment, 152 wherein the cells and extracellular matrix (ECM) exist in well- 153 organized architectures. Moreover, the nanoparticle delivery efficacy 154 differs considerably between 2D and 3D culture platforms [\[23\].](#page--1-0) Primary 155 cells usually have a limited lifespan, undergo rapid phenotypic alter- 156 ations, and show large variability over different batches of isolation; 157 on the other hand, although established cell lines are more stable, 158 many times they do not present genuine tissue-specific functions [\[24\].](#page--1-0) 159 In this regard, efforts were shifted toward developing multiple 3D cul- 160 ture systems that can better recapitulate in vivo tissue functions. Multi- 161 cellular spheroids are important 3D models for researchers [25–[29\].](#page--1-0) 162 These spheroids are formed by spontaneous aggregation of multiple 163 cells held together by ECM secreted by residing cells. The apoptotic/ 164 necrotic core of the spheroids contrasts with the proliferative cell layers 165 on the periphery, providing a better mimic of in vivo tumor environ- 166 ment. Due to the importance and long-time usage of multicellular 167 spheroids in both pharmaceutical studies and regenerative medicine, 168 researchers have developed sophisticated methods that allow efficient 169 fabrication of uniform spheroids at relatively large scales, including 170 the use of hanging drops, non-adhesive microwells, rotation cultures, 171 or 3D porous scaffolds [30–37]. Multicellular tumor cylindroids have 172 been used to study the effect of charge on the uptake of fluorescein iso- 173 thiocyanate (FITC) or doxorubicin (DOX)-conjugated AuNPs loaded 174 with drugs, where diffusion is permitted only from the periphery to 175 the center [38]. Kotov and co-workers directly utilized tumor spheroids 176 for toxicity testing of CdTe quantum dots and AuNPs [\[39\]](#page--1-0). The toxic 177 effects of these nanoparticles were compared with conventional 2D 178 cultures, to reveal different responses of cells in terms of morphology, 179 particle distribution, membrane integrity, mitochondrial activity, and 180 apoptosis (Fig. 1). 181

Besides multicellular spheroids, hydrogels and porous scaffolds have 182 also been widely employed for constructing 3D tissue models at larger 183 size scales [40–42]. There are a number of advantages associated with 184 3D cultures within a matrix. For example, the mechanical properties of 185 the gels can be precisely modulated, which have been shown to deter- 186 mine the phenotypic behaviors of the cells [43–45]; the matrices can 187 be fabricated to possess various hierarchical structures and any desired 188 shape to accommodate specific target tissues. As an example, Huang 189 and co-workers demonstrated that cancer cells became more tumori- 190 genic when cultured in a fibrin gel with a stiffness of approximately 191 90 Pa, as shown by in vivo tumor formation in mice even when only 192 very few (10 or 100) tumor cells were injected, whereas the same num- 193 ber of tumor cells from stiff 2D substrates could not induce the forma- 194 tion of tumors [46]. Moreover, Mooney et al. cultured OSCC-3 oral 195 squamous carcinoma cells within porous poly(lactide-co-glycolide) 196 (PLG) scaffolds to create an in vitro tumor model [\[47\]](#page--1-0). They argued 197 that tumor cells cultured in PLG scaffolds could better recapitulate 198 their in vivo states than in 3D Matrigel or 2D substrates as shown by 199 their morphological appearances, proliferation rates, distribution of 200 oxygen concentrations, and secretion patterns of biomolecules. 201

Although static culture systems based on multicellular spheroids or 202 3D matrices can recapitulate the in vivo functionality of tissues much 203 better than 2D cultures, they fail to present dynamic flow conditions 204 that the cells usually experience in the body. The absence of homoge- 205 nous perfusion results in improper gas and nutrient exchange through 206 the core of the constructs. Additionally, the gravitational settling of 207 nanoparticles in static conditions affects the outcome of dosage 208 Download English Version:

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