ARTICLE IN PRESS

[Journal of Controlled Release xxx \(2014\) xxx](http://dx.doi.org/10.1016/j.jconrel.2014.01.014)–xxx

Contents lists available at ScienceDirect

Journal of Controlled Release

journal homepage: www.elsevier.com/locate/jconrel

¹ Hydrogel-nanoparticle composites for optically modulated cancer therapeutic delivery

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2 ARTICLE INFO ABSTRACT

33 Article history: 4 Received 12 July 2013

 $\frac{5}{15}$ Accepted 16 January 2014

 $\frac{6}{6}$ Available online xxxx

7 Keywords:
8 Thermally

8 Thermally responsive
9 N-isopropylacrylamid

9 N-isopropylacrylamide
10 Nanoparticle Nanoparticle

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11 Hydrogel
12 Cancer th Cancer therapeutics

C delivery

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Into the these tops and the there is the content of the thermal content and the discipled 13 A poly(N-isopropylacrylamide-co-acrylamide) (NIPAAm-co-AAm) hydrogel with near-infrared (NIR) absorbing silica–gold nanoshells was designed as a platform for pulsatile delivery of cancer therapeutics. This hydrogel was designed to have a lower critical solution temperature (LCST) above physiologic temperature, such that the 16 material will transition from a hydrated state to a collapsed state above ~40 °C. Additionally, the silica–gold nanoshells used were designed to have a peak extinction coefficient in the NIR, where penetration of light 17 through tissue is maximal. This heat-triggered material phase transition of the composite was found to follow 18 exposure of NIR light, indicating the ability of the NIR absorption by the nanoshells to sufficiently drive this 19 transition. The composite material was loaded with either doxorubicin or a DNA duplex (a model nucleic acid ther- 20 apeutic), two cancer therapeutics with differing physical and chemical properties. Release of both therapeutics was 21 dramatically enhanced by NIR light exposure, causing 2–5× increase in drug release. Drug delivery profiles were 22 influenced by both the molecular size of the drug as well as its chemical properties. The DNA therapeutic showed 23 slower rates of nonspecific delivery by passive diffusion due to its larger size. Additionally, only 70% of the more 24 hydrophobic doxorubicin was released from the material, whereas the more hydrophilic DNA showed over 90% 25 release. Further, hydrogel composites were used to deliver the doxorubicin to CT.26-WT colon carcinoma cells, 26 eliciting a therapeutic response. This work validates the potential application for this material in site-specific 27 cancer therapeutic delivery. 28

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34 1. Introduction

 Pulsatile drug release, defined as a rapid release of drug molecules following an off-release period [1], is beneficial for the treatment of a wide range of diseases. For example, in the treatment of hormone disorders and diabetes, a constant plasma drug level is not desirable. Rather, a rapid increase in drug concentration should follow in response to a biologic stimulus [1,2]. Diseases which benefit from chronotherapy, in which a drug administration timing is optimized [3], would also benefit from a pulsatile delivery system. Cancer is an area where advantages of chronotherapy have been widely studied [4].

 While great improvements have been made over the past 50 years in the use of chemotherapy to treat cancer, these treatments still often fail to completely cure malignant disease [\[5\].](#page--1-0) This is primarily due to cancer cells becoming genetically resistant to anti-cancer drugs, as well as the adverse side effects of these treatments dictating patients' q_2 regimens [\[4\].](#page--1-0) Chronotherapy can be used to overcome some of these problems by optimizing the timing of drug administration with the circadian rhythms of cancer cell susceptibility and those of adverse

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0168-3659/\$ – see front matter © 2014 Published by Elsevier B.V. <http://dx.doi.org/10.1016/j.jconrel.2014.01.014>

side effects [4]. For example, in the treatment of metastatic colorectal 52 cancer, it has been shown that administration of chemotherapeutics 53 by chronotherapy is more efficacious than when the same treatment 54 is administered as a constant infusion [6]. Additionally, the lessening 55 of side effects due to chronotherapy also allows for administration of 56 fuller, more effective doses of chemotherapeutics [\[4\]](#page--1-0). While these 57 approaches show promise, implementation of such approaches is 58 often logistically difficult, leading to the need for new methods to 59 improve control of such delivery [3]. 60

One approach for the creation of a pulsatile release system employs 61 thermally responsive polymer–nanoparticle composite materials [\[7\].](#page--1-0) 62 These composites couple a polymer material with physical properties 63 that are dependent on temperature with nanoparticles that generate 64 heat in response to external stimuli. Commonly, these materials will 65 transition from a hydrated state at lower temperatures and undergo col- 66 lapse by releasing water when the temperature is raised above a lower 67 critical solution temperature (LCST). These types of materials have been 68 investigated for drug delivery applications, where therapeutic mole- 69 cules are absorbed into the material and subsequently released as 70 water is expelled from the hydrogel during the phase transition [\[8\]](#page--1-0). 71

This study investigates a poly[N-isopropylacrylamide-co-acrylamide] 72 (NIPAAm-co-AAm)-gold nanoshell composite material for use in 73 optically triggered cancer therapeutic delivery. Poly(NIPAAm) is a 74

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 commonly investigated thermally responsive polymer for biomedical applications, as it has an LCST of 32 °C, which is near the physiologically relevant body temperature for humans [\[8\]](#page--1-0). In addition, by polymerizing with a more hydrophilic copolymer, such as acrylamide, the LCST of the material can be raised above 40 °C [\[9\]](#page--1-0). A 95:5 molar ratio of NIPAAm: AAm has been shown to result in an LCST above 37 °C, such that the ma- terial will be in a swollen state under physiologic conditions, but will collapse slightly above body temperature. This allows drug molecules to be absorbed into and constrained within the material until the **Q3** material deswells due to a temperature increase above the LCST. This copolymer has been previously used in drug delivery investigations 86 [\[10,11\].](#page--1-0)

 NIPAAm:AAm copolymers can undergo their LCST transition in re- sponse to localized heating by encapsulated nanoparticles [10,11]. Gold–silica nanoshells are a class of nanoparticles that consist of a dielectric silica core surrounded by a thin gold shell [12,13]. These par- ticles have a tunable plasmon resonance dependent on the core size and shell thickness [\[12,13\].](#page--1-0) As the particles are exposed to wavelengths of light matching their plasmon resonance, an oscillation of conduction band electrons results in dissipation of this light energy as heat [\[14,15\].](#page--1-0) Particles that have a plasmon resonance in the near infrared (NIR) range (700–900 nm) are of particular interest for biological applications. This range is above where most biological chromophores absorb light but below wavelengths where water starts to strongly ab- sorb; thus NIR light is easily transmitted through biological tissue resulting in relatively little attenuation or tissue damage [16]. Gold– silica nanoshells with a 120 nm silica core and 10–15 nm gold shell maximally absorb light in the NIR range at approximately 800 nm, and have been previously investigated for use in cancer diagnostics and photothermal therapy [17–21].

 This work describes the synthesis and characterization of this composite hydrogel, and then evaluates the ability to load the hydrogels with cancer therapeutics and trigger drug release upon NIR light expo- sure. We assessed release of two therapeutics: a chemotherapeutic (doxorubicin) and a biologic therapeutic (a DNA duplex targeting EphA2). These are both important cancer therapeutics and also represent opposite ends of the delivery spectrum in terms of molecular size and hydrophobicity. Doxorubicin is a small molecule (580 Da) chemotherapeutic indicated in a wide variety of cancers including hematopoietic malignancies; carcinomas of the breast, lung, ovary, stomach, and thyroid; and sarcomas of bone and soft tissue [22]. The primary mechanism of action is intercalation with DNA during replica- tion, causing inhibition of topoisomerase II binding and arrest of cell replication [\[23\].](#page--1-0) Side effects of doxorubicin include myelosuppression, mucositis, and cardiac toxicity; furthermore, these side effects often cause patients to cease doxorubicin therapy, even if the drug is still effective against their malignancy [24].

122 In addition, delivery of a larger, more hydrophilic molecule was assessed. A short DNA duplex was investigated as a model nucleic acid therapeutic. This dsDNA molecule was designed to be similar in 125 chemical structure to an siRNA therapeutic. Typical siRNAs are double- stranded with sticky ends and molecular weights of 12–15 kDa. This study employed a 21 base pair (12,850.5 kDa) oligonucleotide equiva- lent in sequence to an siRNA targeting the EphA2 protein (target sequence 5′-AATGACATGCCGATCTACATG-3′) [\[25\]](#page--1-0). EphA2 is a receptor tyrosine kinase known to be upregulated in many cancers; its functions include signaling involved in cell–cell contacts, cell migration, and an- giogenesis [\[26\]](#page--1-0). Down regulation of EphA2 has been shown to reduce tumorigenicity in preclinical studies of several cancer types, including pancreatic and breast carcinomas [\[27\].](#page--1-0)

135 2. Materials and methods

136 All reagents were purchased from Sigma-Aldrich and used as re-137 ceived, unless otherwise noted. All water used in synthesis, purification, and testing was treated by a Milli-Q system (18.2 M Ω cm resistivity, 138 Millipore). 139

2.1. Gold–silica nanoshell fabrication 140

Gold–silica nanoshells, consisting of a silica core surrounded by a thin 141 gold shell, were fabricated based on previous methods. Silica cores 142 120 nm in diameter (Precision Colloids) were surface-functionalized 143 with amine groups via a reaction with aminopropyltriethoxysilane 144 (Gelest Inc.). Colloidal gold was prepared by a reduction of chloroauric 145 acid (Alfa Aesar) as previously described in the literature [\[28\]](#page--1-0). The 146 aminated silica cores were then mixed with this gold colloid suspension 147 to adsorb the colloidal gold onto the silica core via electrostatic interac- 148 tions with the amine groups. These adsorbed colloids then acted as 149 nucleation sites for growth of a continuous gold shell. In this final shell 150 growth step, additional gold was reduced onto the adsorbed gold 151 colloids in a reduction of $HAuCl₄$ by formaldehyde, causing the gold to 152 coalesce to form a continuous shell of ~15 nm around the silica core. 153 The extinction characteristics of the particles were analyzed by UV–vis 154 spectroscopy (400–1100 nm, Cary 50 Varian). Transmission electron mi- 155 croscopy (TEM) (FEI Tecnai G^2 Twin) and dynamic light scattering (DLS) 156 (Malvern Zetasizer Nano ZS) were employed to further characterize and 157 size the resulting particles. Only particles with a polydispersity index 158 (PDI) of $<$ 10% were used in subsequent steps. 159

2.2. Poly(NIPAAm-co-AAm) hydrogel synthesis 160

Poly(NIPAAm-co-AAm) hydrogels were synthesized by free radical 161 polymerization. Prior to use, NIPAAm (97%, Sigma-Aldrich) was dissolv- 162 ing in tetrahydrofuran (THF) and recrystallized three times in n-hexane 163 to remove p-methoxylphenol, a polymerization inhibitor present for 164 packaging. 165

Notines that undergot that care is a considered in the colidal gald conto the silica care with the silicar of the silicar of the silicar of the silicar of the silicar and the silicar of the silicar and the silicar and the A 1.75 M monomer solution composed of a 95:5 molar ratio 166 of NIPAAm:AAm and a 1:750 molar ratio of monomer:crosslinker 167 (MBAAm) was prepared in H_2O and added to a three-neck round bottom 168 flask (3.75 ml total volume). Argon (Ar) gas was bubbled through this 169 solution for at least 15 min. With rapid stirring, 37.5μ of 10% (w/v) am- 170 monium persulfate and 7.5 μl of N,N,N',N'-tetramethylethylenediamine 171 were added to initiate polymerization. Composite hydrogels were 172 synthesized in a similar fashion, with 8×10^9 nanoshells/ml added to 173 the monomer solution prior to adding APS/TEMED. This concentration 174 of nanoshells was chosen since previous studies indicate that this con- 175 centration should be sufficient to cause enough heating to drive our 176 polymer phase transition [10,11]. The polymerization solution was 177 then quickly poured into a mold consisting of 2 glass slides separated 178 by a 1.5 mm Teflon® spacer held together by metal clamps. The hydrogel 179 was cured at 30 °C for 2 h under vacuum. After curing, the hydrogel slab 180 was soaked in 95% EtOH for at least 12 h followed by MilliQ H_2O for at 181 least 12 h to remove any unreacted monomers. Hydrogel disks of a 182 4 mm diameter were punched out of the hydrogel slab with a cork borer. 183

2.3. Thermal and photothermal behavior of hydrogel–nanoshell composites 184

After synthesis, the swelling behavior of the poly(NIPAAm-co-AAm) 185 hydrogels was analyzed in response to temperature. The hydrogels 186 were allowed to swell at room temperature (22 °C) for at least 24 h be- 187 fore testing. To determine the LCST of the hydrogels, the hydrogels were 188 first weighed and placed in TRIS buffer (pH 7.4), and then incubated at 189 various temperatures (29 °C, 33 °C, 37 °C, 41 °C, 45 °C, and 50 °C) for 190 10 min. Deswelling ratio (DSR) was calculated as follows: $DSR = 191$ 100 ∗ Weight $T_{temp}/Weight_{Temp} = 22$ °C.

Next, the thermal behaviors of hydrogels with and without nano- 193 shells were compared. The hydrogels were placed in 2 ml TRIS buffer 194 (pH 7.4) and incubated for 30 min in a 50 °C water bath or exposed to 195 an NIR diode laser (Coherent; Santa Clara, CA) at 808 nm, 8 $W/cm²$ for 196

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