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Significantly enhanced energy density of amorphous alumina thin films via silicon and magnesium co-doping

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capacitor devices.

ARTICLE INFO	A B S T R A C T
Keywords: Amorphous Al ₂ O ₃ Doping Breakdown strength Leakage current Energy density	The different Si-Mg co-doping content was explored to improve the dielectric properties of amorphous Al ₂ O ₃ thin film. According to the analysis of DSC, FT-IR, and XPS spectra, it can be confirmed that a novel structure of glass network is formed in the co-doped Al ₂ O ₃ thin film. More importantly, compared to Al ₂ O ₃ thin film, the leakage current of (Al _{.97} Si _{.02} Mg _{.01}) ₂ O _y thin film is reduced by 2 orders of magnitude and the breakdown strength is improved from 276 MV/m to 544 MV/m. The corresponding energy density of the modified sample is up to 9.2 J/cm ³ , which is an enhancement of 6.2 J/cm ³ over that of the undoped Al ₂ O ₃ thin film. Based on finite element analysis, the simulation results show that the applied electric field is mainly focused on the glass net- work, which could strengthen the stability of Al ₂ O ₃ structure and decrease the breakdown probability of the films. From the viewpoint of defect chemistry, another reason for the enhancement of the dielectric properties is that Si-Mg co-doping results in the generation of cation vacancies and thus the formation of oxygen vacancies could be effectively prevented. This work could provide a new design strategy for high-performance dielectric

1. Introduction

Advanced energy technologies in the 21st century create an urgent need for miniaturization, portability, large-scale integration, and lowcost energy storage components, such as electrostatic capacitors, which possess high power energy density and fast charge-discharge capability, as well as good environmental stability [1]. However, the best commercially available electrostatic capacitors only deliver a low energy density of $\approx 2 \text{ J/cm}^3$, which falls short of the ever-increasing demands for compact, reliable, and efficient electrical power systems [2]. Therefore, it is imperative to develop novel technologies that can significantly increase the energy density of the electrostatic capacitors [3]. Generally, the energy density (U_e) of dielectric capacitors is described by the integral:

$$U_e = \int E dD \tag{1}$$

and for linear dielectrics, U_e scales quadratically with E and linearly with ε_r as

$$U_e = 1/2\varepsilon_0\varepsilon_r E^2 \tag{2}$$

where ε_0 is the vacuum dielectric constant (8.8542 × 10⁻¹² F/m), ε_r is the relative dielectric constant, and *E* is the electric breakdown strength [3–7]. The breakdown strength (*E*) that signifies the highest electric

field applicable to the dielectric is the most critical parameter defining the energy density of the electrostatic capacitor. For this reason, polymers, such as biaxial-oriented polypropylene (BOPP), are the primary dielectric materials currently used in electrostatic capacitors due to their high *E* (> 700 MV/m), and low dielectric loss (< 0.02%). However, polymer dielectrics suffer drawbacks of low dielectric constants ($\varepsilon_r \approx 2-3$) and poor heat resistance. While inorganic materials, such as BaTiO₃ (BT), Ba_xSr_{1-x}TiO₃ (BST), and PbZrTiO₃ (PZT), possess large dielectric constants and excellent heat resistance [4–6,8–10]. Hence, inorganic dielectric materials with high dielectric constant and breakdown strength are preferred for the improvement of *U*_e of electrostatic capacitors.

Alumina (Al₂O₃) is a promising material for use in electrical and electric energy devices. Cost-efficient amorphous Al₂O₃ presents high breakdown strength (200–1000 MV/m), relative dielectric constant (7–10), band gap (\approx 9 eV), thermal stability (up to 1000 °C), and low leakage current [11–13]. In addition, Al₂O₃ thin films have been previously prepared using chemical vapor deposition (CVD), atomic layer deposition (ALD), molecular beam epitaxy (MBE), and magnetron sputtering techniques, which require high processing temperatures [11–13]. Compared with these approaches, sol-gel method is able to easily obtain the dense and homogeneous thin films, as well as accurately controls the chemical composition and phase structure at room

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Fig. 1. Structure design of the samples.

temperature.

However, defects inside amorphous Al₂O₃ thin film are inevitable in the preparing process and lead to the decrease of the breakdown strength. Therefore, its further applications are limited. To address the issues, some elements, such as silicon [11], lanthanum [14], and titanium [15], were added into amorphous Al₂O₃ thin film to achieve substantial enhancement of E. Typically, silicon is a glass forming element capable of forming the glass network structure and improving the breakdown strength of the thin film. Magnesium incorporated into the glass network structure is beneficial for connecting the networks hence further gives rise to enhanced structural stability. Along this line, to produce a positive effect on the dielectric behavior, the novel amorphous Al₂O₃ thin film was designed and synthesized via co-doping silicon and magnesium. The experimental results indicate that the glass network is formed inside the Al₂O₃ thin films by co-doping Si and Mg. And the excellent dielectric properties of the co-doped films are achieved. Typically, a small co-doping of 2 mol% Si and 1 mol% Mg in Al₂O₃ matrix film concomitantly reduces leakage current by 2 orders of magnitude and raises breakdown strength up to 544 MV/m, which translates into a giant energy density of 9.2 J/cm³, an enhancement of 202% over the undoped Al_2O_3 film (3.0 J/cm³). And the dielectric properties agree well with the structure properties according to the investigation results. Besides, simulating the electric field distribution in the glass network region was carried out to explain the excellent dielectric properties. As a result of these favorable features, the simplicity and scalability of described approach provides a promising route

to dielectric thin films materials for electrical energy storage applications.

2. Experimental

 Al_2O_3 and $(Al_{1-0.02-x}Si_{.02}Mg_x)_2O_y$ (x = 0.5%, 1%, 2% and 5%) thin films (abbreviated as Al_2O_3 , ASM0.5, ASM1, ASM2 and ASM5, respectively) were prepared by the sol-gel and spin coating technology. First, aluminum isopropoxide and 50 ml glycol ether were mixed together and stirred for 30 min at 60 °C. Next, tetraethyl orthosilicate and magnesium acetate were sequentially doped into the above solution. Then, 0.02 mol acetylacetone was added to control the rate of hydrolyze with stirring at 70 °C. After stirring for 30 min, the solution was catalyzed with 10 ml acetic acid at 80 °C. The mixture was stirred for another 30 min and then cooled down slowly to the room temperature to obtain the transparent and homogeneous sol.

The thin films were deposited by spin-coating process with a spin speed of 3000 rpm for 20 s for each layer on Pt/Ti/SiO₂/Si substrates. Before deposition, the substrates were ultrasonically cleared in acetone, deionized water and ethyl alcohol for 10 min, successively. After each coating layer, the films were preheated at 150, 300 and 450 °C for 5 min in sequence to form solid films by evaporating the solvents and burning the organic residues. After coating 7 layers, all of the samples were annealed at 450 °C for 3 h below their crystallization temperatures. The MIM (metal-insulator-metal) structure with Au top electrode in diameter of 1 mm was employed to measure the electrical properties (as shown in Fig. 1).

3. Results and discussion

3.1. Structural Analysis

Surface SEM images (Fig. 2) and cross-sectional SEM images (Fig. 3) show that the thin films annealed at 450 °C are of high quality and no crystallization or macroscopic imperfections (e.g. cracks and pores) presence. In other words, neither phase structure (remain amorphous) nor surface morphology (remain dense and uniform) is affected by Si-Mg co-doping. Cross-sectional SEM images (Fig. 3) also show that the



Fig. 2. Surface SEM images of the Al_2O_3 (a), ASM0.5 (b), ASM1 (c), ASM2 (d) and ASM5 (e) thin films.

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