



Enhanced nucleation and growth of HfO₂ thin films grown by atomic layer deposition on graphene



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ARTICLE INFO

Article history:

Received 25 December 2017

Received in revised form

18 January 2018

Accepted 20 January 2018

Available online 31 January 2018

Keywords:

High-k

HfO₂

Nucleation

Atomic layer deposition

Graphene

ABSTRACT

Nucleation and growth characteristics of HfO₂ thin films on graphene are investigated using atomic layer deposition (ALD). Substantial delay (~70 ALD cycles) in the nucleation of HfO₂ films is observed during HfO₂ ALD on graphene, which causes large leakage current in Au/HfO₂/graphene capacitors at low HfO₂ ALD cycles (<200). The nucleation delay in HfO₂ ALD decreases significantly to ~10 ALD cycles with graphene surface treatment using trimethylaluminum (TMA) and H₂O. Graphene surface treatment is performed in an ALD chamber prior to the deposition of HfO₂ film using TMA and H₂O at 150 °C (same as the HfO₂ ALD process temperature). With the improvement in the nucleation of the HfO₂ films, the leakage current decreases significantly by a factor of 10²–10⁵ (at 1 V) than that without surface treatment for a given number of HfO₂ ALD cycles. A higher dielectric constant of HfO₂ film is achieved using the surface treatment (k ~14.5) than that without the surface treatment (k ~5.6). The resistance of graphene increases substantially ($\Delta R/R_0$ ~24%) after the growth of HfO₂ films by ALD without surface treatment, indicating degradation of graphene properties. However, the electrical resistance of graphene changes negligibly ($\Delta R/R_0$ ~0.5%) after the growth of HfO₂ films with surface treatment, implying a conservation of the carrier mobility of graphene. This indicates the importance of surface treatment on graphene for HfO₂ film growth by ALD. Therefore, the graphene surface treatment using TMA and H₂O thus enables an achievement of enhanced nucleation and electrical properties of HfO₂ films without degrading the mobility of graphene, thus providing promising opportunities in graphene electronics.

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1. Introduction

Graphene has emerged as a representative two-dimensional material owing to its unique electrical properties [1–7]. In particular, graphene exhibits a high carrier mobility at room temperature (>20,000 cm²/Vs), thus, one of the most important applications of graphene is its use in a high-performance logic transistors with MOS (metal-oxide-semiconductor) structure [8–15]. In addition to the logic transistors, graphene transistors are appropriate in radio-frequency (RF) communications with a high cutoff frequency [16]. For those applications, top-gated transistors are preferred because of better process compatibility with conventional silicon technology compared to that of bottom-gated transistors. Bottom-gated transistors are advantageous in a demonstration of new-concept-

devices, however, they suffer from high parasitic capacitances than top-gated transistors [16]. In order to fabricate the top-gated graphene transistors, a growth of high-k thin film is required on the graphene surface. Unfortunately, the growth of high-k thin film on graphene is challenging, even when using the state-of-the-art deposition technology, i.e., atomic layer deposition (ALD). This is because of the absence of surface functional groups (e.g., hydroxyl group, –OH) on graphene surface, which are necessary for the growth of oxide films by ALD [17–23]. In this regard, several methods have been proposed for the growth of high-k films (such as Al₂O₃ or HfO₂) to serve nucleation sites on graphene surface [17,19,22,23]. Metal seed layer was grown on graphene by physical vapor deposition (PVD) technique which is followed by oxidation process [24–26]. Functionalization using perylene tetracarboxylic acid (PTCA) and pre-H₂O treatment were proposed for the growth of Al₂O₃ films on graphene [27,28]. However, the perylene molecules remained on the graphene surface after the growth of Al₂O₃ film by ALD and the pre-H₂O treatment required a two-step process with elevated ALD temperatures [27,28]. Ozone was used for an

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enhanced nucleation, but the chemical state of graphene was degraded by ozone [29]. It was reported that physisorbed ozone was efficient for growing Al_2O_3 film at low temperature, i.e., room temperature. Thus, Al_2O_3 film was grown through a few cycles at room temperature and the main Al_2O_3 film was grown at 150–200 °C, which also requires the two-step process with elevated temperatures [29,30].

Beside the extensive researches on the growth of Al_2O_3 films for high-performance graphene transistors, a growth of HfO_2 films has been attempted on graphene because HfO_2 films have a higher- k value ($k = 15\text{--}20$) than that of Al_2O_3 films ($k \sim 9$). Unfortunately, it is difficult to grow pin-hole free HfO_2 films on graphene surface using ALD as reported in the case of Al_2O_3 film growth on graphene [19]. In order to improve HfO_2 film growth characteristics on graphene, the two-step process was adopted for the growth of HfO_2 film by ALD using HfCl_4 and H_2O at elevated ALD temperatures [17]. Thus, HfO_2 of 1 nm-thickness was grown at a low temperature of 170 °C for enhanced nucleation of HfO_2 film, then, 10–30 nm thick HfO_2 films were grown at 300 °C on the 1 nm-thick HfO_2 film. However, two-step processes with elevated temperature hinder their practical use for the transistor fabrication due to a long process time which leads a deterioration in the productivity. In the meantime, Zou et al. reported a graphene transistor using HfO_2 film on graphene grown by ALD at 110 °C which film thickness was thicker than 30 nm [31].

Recently, our group proposed a novel and simple treatment method on graphene surface for the growth of Al_2O_3 thin films using ALD [32]. The surface treatment was performed using trimethylaluminum (TMA) and H_2O in an ALD chamber prior to the deposition of Al_2O_3 film by ALD at 100 °C (without changing the entire process temperature). The proposed mechanism for graphene surface treatment was based on the strongly-physisorbed Al_2O_3 species on the graphene surface supplying surface functional group on the graphene. A dielectric constant of ~ 9 was achieved from the Al_2O_3 film grown on graphene.

In this work, we demonstrate a significantly enhanced nucleation and a growth of HfO_2 films on graphene by ALD via graphene surface treatment using TMA and H_2O before the growth of HfO_2 films, exhibiting highly improved electrical properties of HfO_2 films on graphene without degrading the electrical properties of graphene. The leakage current decreases by a factor of $10^2\text{--}10^5$ and the dielectric constant of HfO_2 film increases substantially with the surface treatment compared to that without the surface treatment for HfO_2 ALD.

2. Experimental

Graphene was grown by chemical vapor deposition (CVD) at a growth temperature of 1000 °C using CH_4 and H_2 gases on a Cu foil. Poly(methyl methacrylate) (PMMA) was spin-coated on the graphene, and then the Cu foil was etched away. The graphene with PMMA supporting layer was transferred to a SiO_2/Si substrate. The PMMA/graphene was transferred to the SiO_2/Si substrate which was dried for several hours. The PMMA layer was removed using acetone and isopropyl alcohol and rinsed with deionized water to clean the graphene surface [33,34].

HfO_2 thin film was grown by ALD using tetrakis(ethylmethylamino) hafnium {TEMAHf, $\text{Hf}[\text{N}(\text{CH}_3)\text{C}_2\text{H}_5]$ } and H_2O at a growth temperature of 150 °C on graphene. The TEMAHf precursor was heated to 55 °C with N_2 carrier gas at flow rate of 200 sccm. The sequence was TEMAHf injection (2.5 s)- N_2 purge (15 s)- H_2O injection (0.5 s)- N_2 purge (90 s) at a working pressure of 1 Torr. The thickness of HfO_2 film grown on the Si substrate was measured by ellipsometry. For the enhanced nucleation of HfO_2 film on graphene, a surface treatment was performed using TMA and H_2O

before the growth of HfO_2 film by ALD. TMA molecules were introduced for 4 s on the graphene surface in the ALD chamber, then H_2O pulse was conducted for 1 s without any purge step between TMA and H_2O pulses, as reported previously [32]. The surface treatment was repeated six times with a purge step (600 s) between each treatment to achieve better HfO_2 nucleation characteristics. The graphene surface treatment and growth of HfO_2 films by ALD were conducted at a fixed temperature of 150 °C.

Atomic force microscopy (AFM, Park system, XE-100) in tapping mode was used to analyze the surface of HfO_2 film grown on the graphene. The layer density of Hf (Hf mass per unit area) was measured by X-ray fluorescence (XRF, Rigaku, ZSX Primus). The measurement of HfO_2 film thickness on graphene may cause inaccuracy by ellipsometry due to absence of exact fitting model. Thus, we estimated the thickness of HfO_2 films grown on graphene by XRF. Raman spectroscopy (homemade) was used to investigate defects on the graphene before and after HfO_2 film deposition by ALD. X-ray photoelectron spectroscopy (XPS, ThermoVG SIGMAPROBE) was examined to figure out the chemical nature of the high- k film/graphene interface.

For electrical performance analysis, Au/ HfO_2 /graphene capacitors were fabricated using 50 nm thick Au electrode with a Cr adhesion layer (5 nm, *in-situ*) grown by e-beam evaporation. Square-patterned ($30\ \mu\text{m} \times 50\ \mu\text{m}$) Au electrode was patterned by photolithography and lift-off process. Au electrode was also applied as a bottom contact electrode with the graphene using shadow mask. The bottom Au electrode size is 1 mm (length) \times 5 mm (width) and the distance between two Au electrodes is 3.5 mm. Graphene size is 7 mm (length) \times 7 mm (width). Capacitance-voltage (C-V) curves were obtained on a Keithley SCS 4200S with a $C_p\text{--}R_p$ equivalent circuit model and the leakage current-voltage (I-V) was measured using a semiconductor parameter analyzer (HP4155).

3. Results and discussion

Fig. 1(a) and (b) shows AFM images and root mean square (RMS) roughness of HfO_2 films directly grown on graphene by ALD at 150 °C as a function of HfO_2 ALD cycle number. The RMS roughness increased rapidly from 0.4 to 0.7 nm with increasing HfO_2 thickness in HfO_2 ALD cycle number 0–60. The RMS roughness then increased slowly with increasing HfO_2 ALD cycle number up to 350. These growth characteristics of HfO_2 can be explained as in the following. The RMS roughness increased drastically with ALD cycle number 0–60 because the graphene surface was slowly covered with HfO_2 nuclei even though the ALD cycle number increases, indicating an island-type nucleation of HfO_2 on the graphene as reported previously [19]. The island-type nucleation originates from a lack of surface functional group on graphene which is necessary for oxides ALD. Once the graphene surface is covered with slowly-formed HfO_2 nuclei by the repetitive ALD cycles above 60, the HfO_2 film then started to grow with increasing ALD cycle number above 60, indicating a nucleation delay approximately ~ 60 ALD cycles. The start of HfO_2 film growth increased the RMS roughness above 60 ALD cycles. The formation of HfO_2 nuclei is seen clearly in AFM images with the increasing ALD cycle numbers as shown in Fig. 1(a). These growth characteristics were typically observed in the growth of high- k films on graphene, on which the surface functional group was insufficient for oxide ALD [17–23]. Fig. 1(c) shows the Hf layer density (Hf mass per unit area) as a function of HfO_2 ALD cycle numbers, obtained by XRF measurement. We extracted a nucleation delay in the HfO_2 ALD from the x-axis intercept in Hf element mass vs. HfO_2 ALD cycle number plot; the nucleation delay was estimated to be as large as ~ 70 ALD cycles (Fig. 1(c)). This indicated that almost no HfO_2 film was grown until

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