

# High-rate pulsed reactive magnetron sputtering of oxide nanocomposite coatings

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## ABSTRACT

The article reports on the oxide nanocomposite coatings reactively sputtered by a pulsed dual magnetron and is divided into two parts. The first part briefly describes main problems in the reactive sputtering of oxides, i.e. low deposition rate  $a_D$  and arcing at the target surface and then focuses on the discharge of the dual magnetron. The ways how  $a_D$  can be increased and arcing eliminated are shown. The second part is devoted to transparent oxide coatings. Two types of oxide coatings are described in detail: (1) Si–Zr–O coatings containing  $\leq 5$  at.% of Zr and (2) Zr–Al–O coatings with  $Zr/Al > 1$ . It is shown that (a) Si–Zr–O coatings exhibit high thermal stability up to 1500 °C, almost 100% optical transparency and can be deposited with very high  $a_D \approx 800$  nm/min from a molten magnetron target and (b) Zr–Al–O coatings with relatively high hardness  $H \approx 18$ –19 GPa, low effective Young's modulus  $E^*$  satisfying the ratio  $H/E^* > 0.1$  are highly elastic (the elastic recovery  $W_e > 70\%$ ) and exhibit an enhanced resistance to cracking. The last finding is of key importance for development of new hard coatings with enhanced toughness.

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## 1. Introduction

Reactive magnetron sputtering is a process where the metallic target is sputtered by positive ions incident at its surface from the discharge generated by a magnetron in a mixture of argon and reactive gas (RG). The target sputtering is controlled by a sputtering yield  $\gamma$  which is determined by the chemical composition (ChC) of the target surface and the energy of incident ions  $E_i$ , i.e.  $\gamma = f(\text{ChC}, E_i)$ . It means that the target sputtering depends on the flow rate  $\varphi_{RG}$  of the RG, the magnetron discharge voltage  $U_d$  ( $E_i$  is approximately proportional to  $U_d$ ) and the discharge current  $I_d$ , i.e. on the power delivered to the sputtered target.

In the formation of oxides the oxygen is used as RG. The sputtering process gradually changes from the sputtering in the metallic mode (MM) through the sputtering in the transition mode (TM) to the sputtering in the oxide mode (OM) as  $\varphi_{O_2}$  increases [1–4], see a schematic illustration of the reactive magnetron sputtering process displayed in Fig. 1. The metallic mode is characterized by the highest deposition rate  $a_D$  of sputtered films and by very low values of the partial pressure of oxygen  $p_{O_2}$  because almost all oxygen atoms and molecules in the deposition device are getterd by sputtered atoms [5]. The transition mode is characterized by a very rapid (avalanche) conversion of the target surface from the

metal (Me) to the  $\text{MeO}_x$  oxide, called “the target poisoning”, which is accompanied by (i) increase of  $p_{O_2}$ , (ii) decrease of  $a_D$  and (iii) strong instability of the sputtering process (stabilization of the operation point OP on  $a_D = f(\varphi_{O_2})$  is very difficult). The oxide mode is characterized by (i) the lowest value of  $a_D$ , (ii) linear increase of  $p_{O_2}$  with increasing  $\varphi_{O_2}$  because the whole target surface is already fully oxidized and (iii) the decrease of  $U_d$  compared to that measured in pure argon, for instance, when Al, Si, Zr, Mg, etc. targets are used or the increase of  $U_d$  in the case when Ag, Au, Cr, Cu, Nb, Pt, Re, Ti etc. targets are used [6–8]. The conversion of the target surface from metallic to oxide results in a hysteresis effect. It is an undesirable effect which can be, however, eliminated if a pumping speed  $S$  of the deposition device is greater than a critical value  $S_{cr}$  [9].

### 1.1. Deposition rate of transparent oxides

The highest transparency of oxide films is obtained for stoichiometric films. These films can be easily formed in the oxide mode. However, the main problem is a very low deposition rate  $a_D$  of oxide films formed in OM of sputtering. The deposition rate  $a_D$  of transparent oxide films formed in OM of sputtering is approximately 10–12 times lower than the deposition rate  $a_D$  in MM of films sputtered in MM, i.e.  $a_D$  in OM  $< 0.1$   $a_D$  in MM.

The increase of  $a_D$  of some transparent oxide films, e.g.  $\text{Al}_2\text{O}_3$ ,  $\text{SiO}_2$ ,  $\text{MgO}$ , etc. produced in OM is very difficult task because the plasma impedance of the magnetron discharge and the discharge

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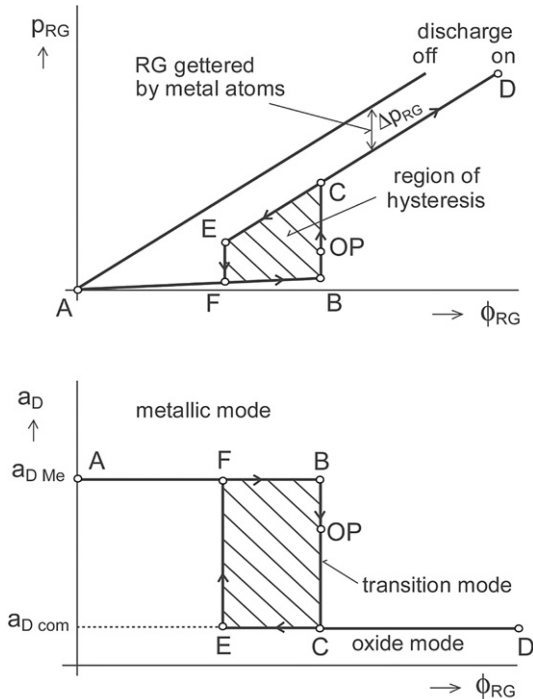


Fig. 1. Schematic illustration of reactive magnetron sputtering of oxide films from metallic target. Adapted after Ref. [3].

voltage  $U_d$  are low due to an efficient production of secondary electrons at oxidized target. Low  $U_d$  results in a low sputtering yield  $\gamma$ , which depends on the energy of incident ions, i.e.  $\gamma = f(E_i \propto U_d)$ , and thus results also in a low deposition rate  $a_D$  in OM of oxide films sputtered in OM. The increase of power  $P_d$  delivered to the magnetron discharge is not an efficient way to significantly increase the deposition rate  $a_D$  in OM of transparent oxide films in OM because the increase of the power to the magnetron results in further decrease of the plasma impedance and thus in a minimal rise of  $U_d$ .

At present, there are three ways enabling to deposit transparent oxide films with  $a_D > a_D$  in OM: (1) the intensification of magnetron discharge, production of higher amount of atomic oxygen O at a given partial pressure of oxygen  $p_{O_2}$  and shift of the operation point OP from OM (the line CD) to TM of sputtering, (2) the interrupted reactive sputtering as schematically illustrated in Fig. 2 and (3) the pulsed magnetron sputtering with the target power density  $W_t = P_d/S_t \geq 100 \text{ W/cm}^2$ ;  $S_t$  is the whole area of sputtered target. Last two methods operate with deficiency of O and continuous oxidation of Me atoms in intervals between the exposure of film surface to the flux of Me atoms sputtered from magnetron target or between pulses applied to magnetron target. These methods make it possible to deposit transparent oxide films with deposition rate  $a_D$  close to  $a_D$  in MM.

Deposition rates  $a_D$  of oxide films approaching  $a_D$  in MM are, however, still insufficient in applications where very thick films (hundreds of micrometers) are required. For these applications extremely high rates  $a_D$  are needed. These extremely high rates  $a_D$  can be achieved if oxides are reactively deposited from a molten magnetron target [11]. As the target power density  $W_t$  is increased the sputtering is gradually transformed first to the sublimation and later to a combined sputtering + evaporation process. This fact is illustrated in Fig. 3. Here the evolution of  $a_D$  of Si–Zr–O oxide films, reactively deposited on glass substrate at the  $d_{s-t} = 80 \text{ mm}$ , the substrate temperature  $T_s = 500 \text{ }^\circ\text{C}$  and the total pressure

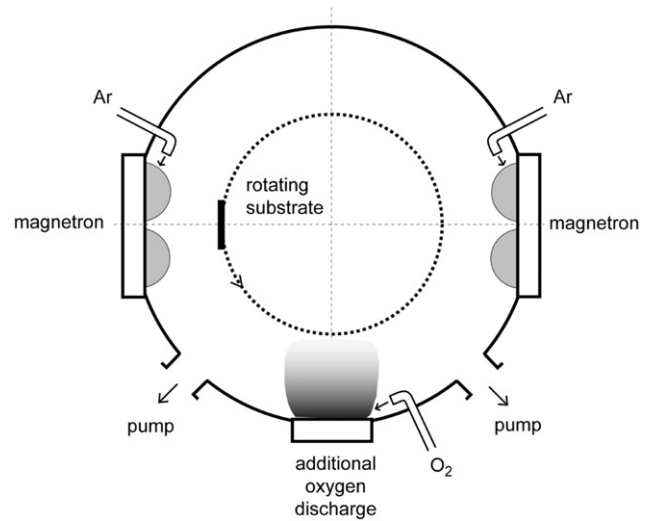


Fig. 2. Schematic illustration of deposition device used for interrupted reactive sputtering process of transparent oxide films with additional oxygen discharge. Substrate is rotating and oxygen discharge is separated from one or more magnetron discharges by efficient pumping units. This process is patented as the MetaMode™ process [10].

$p_T = p_{Ar} + p_{O_2} = 1 \text{ Pa}$ , is displayed as a function of  $W_{ta}$ . At  $W_{ta} > (W_{ta})_{cr}$  the evaporation dominates over sputtering and extremely high  $a_D \gg a_D$  in MM is achieved. As can be seen from Fig. 3, Si–Zr–O oxide films containing ~3 at.% of Zr were deposited with  $a_D = 530 \text{ nm/min}$ .

### 1.2. Elimination of arcing in reactive sputtering

The reactive magnetron sputtering of electrically insulating compounds (e.g. MgO, TiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, etc.) from a metallic target (Mg, Ti, Al, Si, etc.) is accompanied by undesirable arcing on uneroded areas of target surface covered by a dielectric layer. The arcing occurs when a positive electric charge accumulated on uneroded areas achieves a critical value necessary for its discharging to the well electrically conductive metallic surfaces (the surface of target under the erosion zone, the surface of magnetron hidden anode, etc.). The arcing results in an uncontrollable damage of the deposited films by the evaporated material and in instability of the reactive sputtering process. Both phenomena are undesirable and therefore the arcing must be eliminated. To avoid the arcing the

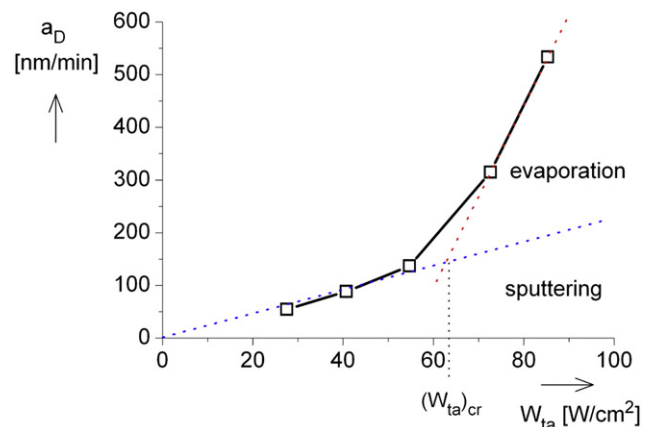


Fig. 3. Deposition rate  $a_D$  of transparent Si–Zr–O oxide films, reactively deposited by AC pulsed dual magnetron at  $d_{s-t} = 80 \text{ mm}$ , as a function of target power density  $W_{ta}$  averaged over the pulse period [11].

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