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ABSTRACT

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Keywords: Spacecraft formation flying Under-actuation Adaptive control Collision-free This paper proposes an adaptive collision-free formation control strategy for a team of under-actuated spacecraft subject to parametric uncertainties. The objective is to drive follower spacecraft to form a prescribed shape around a leader, while collision avoidance is achieved among different spacecraft. To explore the under-actuated nature of the studied spacecraft, a hierarchical inner-outer loop strategy is adopted. First, in the outer position loop, a virtual force is synthesized by introducing negative gradients of novel potential functions with respect to the distances between spacecraft such that the collision-free formation objective is completed. Based on the synthesized virtual force, an applied thrust and a command attitude are extracted. Then, in the inner attitude loop, an applied torque is designed for each individual spacecraft to track the command attitude. Moreover, during the virtual force and applied torque syntheses, adaptive laws are developed to estimate and compensate the uncertain inertial parameters. In terms of Lyapunov theory, it is shown that the spacecraft trajectories driven by the proposed controller ultimately converges to a neighborhood of the desired formation. Finally, illustrative simulations are performed to verify the proposed theoretical results.

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1. Introduction

During the past few decades, spacecraft formation flying has been considered as an important technique in advanced space applications including earth observation, deep-space exploration and synthetic aperture. Different spacecraft formation projects have been proposed, including Techsat-21, GRACE and PRISMA [1]. To accomplish these formation missions, controllers should be designed to drive the spacecraft to the desired formation. However, spacecraft are of high nonlinearity and are often subject to internal uncertainty and external perturbation. Therefore, there are still challenges in the high-performance formation control problem for spacecraft among research community.

Various control approaches have been developed for spacecraft formation flying in the existing literatures. For example, sliding mode control strategies were implemented in [2–5] to solve the

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relative motion control problem for the spacecraft formation maneuvering. In [6-8], 6-dof (degree-of-freedom) spacecraft formation flying control schemes were designed in terms of a backstepping technique. Without angular velocity measurements, attitude consensus control schemes were proposed in [9.10] using output feedback strategies. Based on the consensus idea, decentralized protocols using local information exchange were studied in [11–15]. Different communication situations including directed communication topology, switching communication topology and communication delay were studied respectively. Moreover, integrated position and attitude control approaches were presented in [16–19] using dual quaternions in describing coupled relative motion. However, in the above references, the control algorithms are developed based on a basic assumption that the considered spacecraft are fully actuated, namely, the dof of the inputs is equal to, or larger than, the dof of the controlled states.

In recent years, much attention has been paid to under-actuated spacecraft from the perspective of fuel saving. For various underactuated forms, a number of control approaches have been developed such that the formation objective is achieved. Godard et al. [20] investigated the feasibility of formation maintenance and reconfiguration of the under-actuated spacecraft without either the radial or the in-track thrust using a nonlinear controller. Huang et al. [21] derived analytical solutions for the optimal under-actuated spacecraft formation reconfiguration problem using indirect opti-

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mization methods with the minimum principle. Then, Huang et 2 al. [22] further designed another fast nonsingular terminal slid-3 ing mode controllers to deal with the under-actuated spacecraft 4 formation reconfiguration problem in the presence of unmatched 5 disturbances. However, in [20-22], the spacecraft is modeled as a 6 mass point and its attitude dynamics is not studied. In addition, 7 by considering the under-actuated model with the coupled transla-8 tion and rotation dynamics, Wu et al. [23,24] designed fuel optimal 9 control schemes for the spacecraft formation flying, Zhang et al. 10 [25] proposed a robust adaptive backstepping scheme to solve the space interception problem, and Haghighi and Pang [26] developed 12 a concurrent attitude-position control strategy consisting of three 13 sub-levels for the formation flying of under-actuated nanosatellites. 14 Nonetheless, the control schemes in [23-26] do not take the colli-15 sion avoidance issue into account. This may lead to the destructive 16 failure of missions. Although the collision-free control approaches have been proposed in [27-30] for fully actuated spacecraft, they 18 are not applicable to the under-actuated ones.

19 This paper develops an adaptive collision-free formation control 20 scheme for under-actuated spacecraft subject to inertial parame-21 ter uncertainties. The controller structure is based on a hierarchi-22 cal inner-outer framework. Specifically, a virtual force introducing 23 potential functions and an applied torque are synthesized in se-24 quence such that the collision-free formation and the tracking to 25 the command attitude are achieved, where the command attitude, 26 together with an applied thrust, is extracted from the synthesized 27 virtual force. In addition, adaptive strategies are introduced in the 28 control scheme to deal with the issue caused by uncertain in-29 ertial parameters. Compared with the previous works associated 30 with the spacecraft formation control, the main contributions of 31 this paper are listed as follows. First, instead of the study for 32 fully-actuated spacecraft [2–19], we propose a formation control 33 approach for more intricate under-actuated spacecraft. Second, in 34 contrast with [20-26], the proposed control scheme introducing 35 novel potential functions guarantees that there are no collisions 36 among spacecraft during the transient process. Third, adaptive al-37 gorithms are developed to compensate the uncertainties of inertial 38 parameters such that the formation accuracy is improved. 39

The remaining parts of this paper are organized as follows: Section 2 introduces the nonlinear motion models of under-actuated spacecraft. Section 3 presents the controller development and stability analysis. Simulation results are presented in Section 4, and Section 5 concludes the paper.

Notations. In what follows, \mathbb{R} and \mathbb{R}^n denote the real number and real vector of dimension n, superscript T denotes the transpose of a vector or a matrix, || · || denotes the Euclidean norm of a vector, \otimes denotes the multiplicative operation of unit quaternion, I_n denotes an $n \times n$ identity matrix, $\mathcal{N} \triangleq \{1, 2, \dots, n\}$ and $\overline{\mathcal{N}} = \{0\} \cup \mathcal{N}$. In addition, we define $\mathbb{L}_2 = \{f : \mathbb{R}^+ \to \mathbb{R}^n | f \text{ is locally integrable, } \int_0^\infty ||f(t)||^2 dt < \infty\}$ and $\mathbb{L}_\infty = \{f \in \mathbb{R}^+, \mathbb{R}^n | f \text{ is locally integrable, } \|f(t)\| \leq \infty\}$ $\{f: \mathbb{R}^+ \to \mathbb{R}^n | f \text{ is locally integrable, } \operatorname{ess} \sup_{t \in \mathbb{R}^+} \| f(t) \| < \infty \}.$

2. Motion models of spacecraft

57 Suppose that there is a team of n + 1 spacecraft consisting of a 58 leader and n followers. The leader (labeled by 0) follows a desired 59 orbit and followers (labeled by 1 to n) are supposed to maintain 60 a desired formation with respect to the leader. In this section, the 61 motion models of spacecraft are established. Firstly, three under-62 lying coordinate frames are presented. Secondly, the relative orbit 63 motions of the follower spacecraft with respect to the leader are 64 given. Thirdly, the relative attitude motions of the follower space-65 craft are modeled. Finally, the anti-collision formation of spacecraft 66 is formally formulated.



2.1. Coordinate frames

Three coordinate frames are introduced to establish the motion models of spacecraft [31]. They are sketched in Fig. 1 and their definitions are given as follows.

Earth centered (EC) frame $\mathcal{F}_I = \{O_I x_I y_I z_I\}$. This frame, considered also as the inertial frame, is attached to the earth, where origin O_1 is the earth center, axis $O_1 x_1$ points to the vernal equinox, axis $O_I z_I$ points to the north pole, and axis $O_I y_I$ is in the equatorial plane and complies with the right-hand rule.

Body centered (BC) frame $\mathcal{F}_{Bi} = \{O_{Bi} x_{Bi} y_{Bi} z_{Bi}\}$. This frame is attached to each spacecraft, where origin O_{Bi} is the spacecraft center, and three axes $O_{Bi}x_{Bi}$, $O_{Bi}y_{Bi}$ and $O_{Bi}z_{Bi}$ are along with the inertial principal axes of the spacecraft, respectively, where $i \in \overline{N}$.

Local vertical local horizontal (LVLH) frame $\mathcal{F}_L = \{O_L x_L y_L z_L\}.$ This frame is attached to the leader spacecraft, where origin O_L is the leader center, $O_L x_L$ points from the earth center to O_L , axis $O_L z_L$ is perpendicular to the orbit plane, and axis $O_L y_L$ is in the orbit plane and complies with the right-hand rule.

2.2. Relative orbit motions of spacecraft

The *n* spacecraft are supposed to form and maintain a pattern with respect to a leader spacecraft. Suppose that the leader spacecraft moves along an elliptical orbit, which is determined by six elements: semi-major axis a_0 , eccentricity e_0 , orbit inclination i_0 , argument of perigee ω_p , right ascension of ascending node Ω_0 and true anomaly f_0 . To facilitate the analysis, the orbit motions of the follower spacecraft are described in the LVLH frame.

Define $\mathbf{p}_i = [x_i, y_i, z_i]^T$ as the position of the *i*-th follower spacecraft relative to the leader spacecraft in the LVLH frame. According to [32], the relative orbit motion equation is expressed as follows:

$$m_i \big(\ddot{\mathbf{p}}_i + 2\mathsf{S}(\dot{\theta}_0) \dot{\mathbf{p}}_i + \mathsf{G}(\dot{\theta}_0, \ddot{\theta}_0, \mathbf{p}_i, r_0) \big) = R_E^L R_B^E u_i^b, \tag{1}$$

where $m_i \in \mathbb{R}$ denotes the spacecraft mass, $\mathbf{u}_i^{\mathbf{b}} = T_i e_1$ with $e_1 \triangleq$ $[1, 0, 0]^T$ denotes the applied force in the *i*-th BC frame, representing that the force $T_i \in \mathbb{R}$ generated by the only thruster is along axis $O_{B_i} x_{B_i}$, matrix $S(\dot{\theta}_0)$ and vector $G(\dot{\theta}_0, \ddot{\theta}_0, \mathbf{p}_i, r_0)$ are defined as

$$S(\dot{\theta}_0) = \begin{vmatrix} 0 & -\theta_0 & 0 \\ \dot{\theta}_0 & 0 & 0 \\ 0 & 0 & 0 \end{vmatrix},$$
(2)

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