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# Parameter estimation for optimal asteroid transfer trajectories using supervised machine learning

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#### ABSTRACT

In this paper, supervised machine learning is applied to the parameter estimation for optimal asteroid transfer trajectories. Efficient models for the estimation of important trajectory parameters are developed based on the Gaussian Process Regression (GPR) technique. The essence of constructing the GPR-based model is to learn the correlation between the trajectory parameters and the selected features. The asteroid orbital elements are considered as an original feature set due to their decisive influence on transfer trajectories. Two strategies are introduced to enhance the prediction performance of GPR-based models. The first one, the grouping strategy, is able to improve the prediction accuracy by dividing the candidate asteroids into several groups. The second one is that two new compound features are constructed based on the idea of feature extraction, whose function is to provide more crucial information for the inference of transfer time. The efficiency of the proposed models is substantiated by evaluating the global optimal two-impulse transfers to inner main-belt asteroids. This paper provides a basic framework for evaluating the interplanetary trajectories by using supervised machine learning. The proposed approach can be easily extended to solve other trajectory optimization and analysis problems.

#### 1. Introduction

Nowadays exploring asteroids has gradually attracted many scientists and engineers, and is becoming one of the hottest topics in planetary exploration. The motivations for the exploration of the asteroids are abundant. For example, asteroids are thought to be the remnants of the materials which created the Sun and the planets. Hence, they have the potential to apprize the formation, evolution and composition of Solar System [1]. In the past decades, a series of space probes have been successfully sent to some asteroid targets, such as NEAR [2], Hayabusa [3], and Chang'e 2 [4]. Besides, more exploration programs including capture [5], impact [6] and sample return [7] are ongoing.

It is estimated that millions of asteroids exist in the Solar System. To date, more than seven hundred thousand asteroids have been identified, and continually, thousands more new asteroids are discovered for each year [8]. Due to the huge number and various

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that a computationally efficient technique is highly desirable. In a same mission scenario, each asteroid corresponds to a certain optimal transfer trajectory because that the asteroids have

types of asteroids, the target selection is an important issue for

the asteroid mission design. Generally, two main aspects should

be considered: scientific interest and technical feasibility. From the

viewpoint of the technical feasibility, it is crucial to determine

the optimal trajectories to reach potential candidate asteroids for

the purpose of the fact that the fuel consumption, launch energy,

and/or mission duration are minimized [9]. Optimal trajectories

design involves the computation of an open-loop solution for an

optimal control problem. In previous researches, numerical opti-

mization methods are the most general used techniques for solving

the problem [10–15]. Practically, these various methods involve the

type of iteration with a finite set of unknowns, which can maxi-

mize or minimize some quantities of importance. In terms of the

asteroid target selection problem, numerical optimization meth-

ods are efficient when the number of candidate asteroids is small.

Moreover, it is expected to include more potential candidate aster-

oids in the determination of the most feasible targets. When the

number of candidate asteroids increases to a huge quantity, the

computational effort of numerical optimization methods may be-

come unacceptable due to the process of iteration, thus leading

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different orbital and physical parameters. It reveals that a corre-2 lation may exist between the asteroid's parameters and the opti-3 mal trajectory (some quantities of importance). If the correlation 4 is found, the parameters of an optimal transfer trajectory can be 5 effectively estimated. In fact, the exploration for the correlation 6 among variables can be considered as a regression problem from 7 the viewpoint of mathematical statistics, which mainly focuses on 8 the prediction of the continuous dependent variables when the q independent variables are given. Regarding it as a supervised prob-10 lem of machine learning, many popular techniques have been developed, such as support vector machines [17], neural networks 12 [18], and Gaussian Process methods [19]. In this paper, Gaussian 13 Process Regression (GPR) is used to analyze the optimal asteroid 14 transfer trajectories due to its flexible and simple implementation 15 in practice, as well as the good predictive capability.

16 GPR technique has been widely applied in many areas [20–22]. 17 Besides, one more popular application refers to the robot arm in-18 verse dynamics problem, in which GPR method offers a fast and 19 accurate estimation of unknown nonlinearities in inverse dynam-20 ics model, thus allowing the robust and real-time tracking control 21 of complex robots [19,23]. Our previous research shows that GPR 22 is a computational efficient algorithm to estimate the near-global 23 optimal  $\Delta V$  required for asteroid rendezvous missions. This work 24 is a continuation and extension of our previous research, and its 25 aim is twofold. The first one is to improve the prediction accu-26 racy of the GPR-based model which was proposed previously. The 27 grouping prediction strategy is introduced to achieve this purpose. 28 This strategy is based on the similarity between data points, which 29 is a basic assumption in supervised learning. Through dividing the 30 asteroids into several groups, it is convenient to build more ac-31 curate GPR-based prediction models for some important trajectory 32 parameters (e.g. total  $\Delta V$  and launch energy  $C_3$ ). The second one 33 aims to improve the GPR-based model to predict the transfer time 34 more efficiently, which cannot be implemented by our previous 35 model. Using the idea of features extraction, two new compound 36 features closely relevant to transfer time are discovered and con-37 structed, thus leading that the GPR-based model can extract more 38 useful information from the new feature set, and improve the pre-39 diction performance for transfer time.

40 The structure of the paper is organized as follows. In Section 2 41 the two-impulse transfer models for asteroid missions are built, 42 and the optimization method to generate the global optimal trans-43 fer trajectory is presented. In Section 3 the GPR technique is dis-44 cussed, including the generation of training as well as test samples, 45 the selection of covariance function, and the details of the model 46 training algorithm. Section 4 presents two strategies to enhance 47 the prediction performance of the GPR-based model, and then the 48 developed GPR-based models are applied to evaluate the optimal 49 trajectories to inner main-belt asteroids. Section 5 concludes this 50 paper. 51

#### 2. Global optimal two-impulse trajectory

54 The classical two-impulse transfer plays an important role in 55 a preliminary trajectory design for a space mission. In an as-56 teroid rendezvous mission, the optimal two-impulse transfer is 57 usually employed as the basis scenario to evaluate the mission 58 cost because of its simple implementation [24]. In this paper, the 59 ephemeris-free optimal two-impulse transfer between the Earth 60 and an asteroid is discussed. The resulting transfer can be con-61 sidered as the global optimum. It is assumed that the spacecraft is 62 initially placed in a circular parking orbit around the Earth with an 63 64 altitude of H. After the process that an orbital maneuver (denoted as  $\Delta V_1$ ) is performed, the spacecraft leaves the Earth's gravita-65 66 tional pull, enters a hyperbolic trajectory, and then flies towards

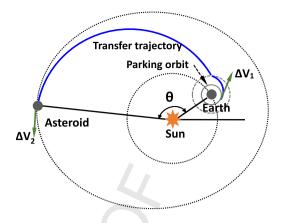


Fig. 1. The illustration of a two-impulse transfer trajectory from the Earth to the target asteroid.

the target asteroid. When it arrives at the target, the second orbital maneuver  $\Delta V_2$  is performed to ensure that the spacecraft rendezvous successfully, as shown in Fig. 1. Generally, the influence of asteroid's gravity on the trajectory is neglected due to its small mass. During the transfer process, it can be considered that the spacecraft motion is governed by the gravitational fields of Earth and the Sun. Moreover, the patched-conic approximation is used to further simplify the trajectory calculation. Under these above assumptions, the decision variables for trajectory optimization problem can be expressed as

$$\boldsymbol{\chi} = [M_E, M_A, t_{EA}] \tag{1}$$

where  $M_E$  and  $M_A$  in Fig. 1 and Eq. (1) denote the mean anomalies of the Earth at departure and the asteroid at arrival, respectively, and  $t_{FA}$  denotes the total transfer time.

The optimal trajectory which aims at minimizing the total velocity increment can be found through

$$J(\mathbf{\chi}) = \Delta V_{EA} = \Delta V_1 + \Delta V_2 \tag{2}$$

These two orbital maneuvers are calculated as follows

$$\Delta V_1 = \sqrt{\|\mathbf{v}_1 - \mathbf{v}_E\|^2 + \frac{2\mu_E}{r_E + h}} - \sqrt{\frac{\mu_E}{r_E + h}}$$
(3)

$$\Delta V_2 = \|\boldsymbol{v}_2 - \boldsymbol{v}_A\|$$

where  $r_E$  denotes the Earth's mean radius, and  $\mu_E$  denotes the gravitational constant of the Earth;  $v_1$  and  $v_E$  denote the spacecraft's and the Earth's heliocentric velocity vectors at the Earth departure time, respectively. Similarly,  $v_2$  and  $v_A$  are the spacecraft's and the asteroid's heliocentric velocity vectors at the asteroid arrival time. Given the decision variables  $\mathbf{x}$ ,  $\mathbf{v}_1$  and  $\mathbf{v}_2$  can be obtained by solving the single-Lambert's problem which limits that the transfer phase angle  $\Theta$  is less than 360 degree and leads that the transfer time is an acceptable value for missions.

Various methods can be used to solve the unconstrained op-121 122 timization problem, such as Newton's method [25], Nelder-Mead 123 method [26], and population-based method [27,28]. Generally, the 124 optimal solution can be obtained by these various methods. How-125 ever, the result may be solved as a local solution because that 126 many locally optimum points exist in the problem. Fig. 2 shows 127 the  $\Delta V_{EA}$  contour slices of two-impulse transfer to an example target 323 Brucia. It can be seen that several extreme points may 128 exist. Table 1 lists three locally optimal solutions, indicating the 129 potential mission opportunities of 323 Brucia. In practice, the near-130 131 global optimal solution is desired to generate the high quality sam-132 ples for machine learning algorithm. In this paper, the Differential

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