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Optimizing electrical power production of hydropower system by uniform progressive optimality algorithm based on two-stage search mechanism and uniform design

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Optimizing electrical power production of hydropower system by 1 uniform progressive optimality algorithm based on two-stage search 2 mechanism and uniform design 3 Zhong-kai Feng^{a,*}; Wen-jing Niu^b; Chun-tian Cheng^c 4 5 ^a School of Hydropower and Information Engineering, Huazhong University of Science and Technology, 6 Wuhan 430074, China 7 ^b Bureau of Hydrology, ChangJiang Water Resources Commission, Wuhan 430010, China 8 ^c Institute of Hydropower and Hydroinformatics, Dalian University of Technology, Dalian 116024 China. 9 E-mail (Corresponding author): myfellow@163.com 10 Abstract: As one of the important renewable energy, hydropower is experiencing a booming 11 development period throughout the world in recent years. By the end of 2016, hydropower has 12 occupied 20.1% installed capacity and 19.5% generation in China. Thus, it is of great importance 13 to develop some effective methods to guarantee the overall generation benefit of hydropower 14 system. As a famous optimization tool to solve this problem, the progressive optimality algorithm 15 cannot effectively handle with large-scale hydropower system because its computational burden 16 grows exponentially with the increasing number of hydroplants. Thus, in order to effectively 17 alleviate the dimensionality problem, a novel method called uniform progressive optimality 18 algorithm is introduced here. In the presented method, the complex multistage problem is firstly 19 divided into several two-stage optimization subproblems, and then the uniform design is adopted 20 to sample a small subset from all the possible state vectors at each subproblem, while the 21 successive approximation strategy is adopted to gradually improve the quality of solution. The 22 results from a real-world hydropower system of China indicate that compared with progressive 23 optimality algorithm, the proposed method has superior performance in execution efficiency and 24 convergence speed, which is an effective alternative method for the complex hydropower system 25 operation problem. 26 Keywords: Hydropower system operation; Progressive optimality algorithm; Dimensionality

27 reduction; Curse of dimensionality; Uniform design; Successive approximation

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