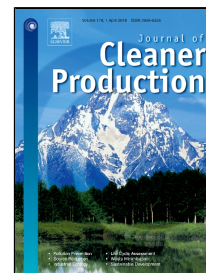


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Governance of Electronic Waste Recycling Based on Social Capital Embeddedness Theory

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1 Governance of Electronic Waste Recycling Based on Social Capital Embeddedness 2 Theory

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9 **Abstract:** The implementation of EPR systems has posed enterprises with high
10 responsibilities in e-waste governance and management. However, most enterprises have
11 demonstrated a very low interest in EPR systems as expected due to a wide range of barriers,
12 involving high cost, low efficiency on subsidies audit, a lack of effective and efficient
13 collection systems, and low levels of public awareness and participation. We argue that
14 social capital might function as the ‘relational glue’ underpinning effective supply chain
15 relationships in e-waste management. Drawing upon a survey of 800 enterprises, we utilize
16 structural equation model to examine the relationships between social capital, governance
17 and willingness to participation in e-waste recycling behaviour. Findings show that social
18 capitals have a positively impact on both governance practices and willingness to
19 participation. Nonetheless, the positive effects of cognitive social capital and incentives on
20 willingness to participation are limited due to the lack of coercive powers.

21 **Keywords:** electronic waste; social capital; recycling governance; stakeholder co-
22 governance

23

24 1. Introduction

25 Partly driven by rapid economic growth, technology innovation and ever-shortened
26 product lifespans, the fast-growing amount of e-waste has posed a significant threat to
27 environment and health as well as substantial challenge to waste management and
28 environmental governance. Generally, E-waste, also named as WEEE, refers to a large variety
29 of electrical and electronic equipment (EEE) and its parts that have been discarded by its
30 owner without any intention of further reuse. Such equipment includes household appliances,
31 IT and telecommunications equipment, automatic dispensers and lighting equipment.
32 Noteworthy, products that contain a battery or plug are also regarded as EEE, including but
33 not limited to intelligent clothes, smart toys and tools, ubiquitous medical equipment (Balde
34 et al., 2015). United Nations University 2015 report estimates that the total amount of e-waste
35 generated globally in 2014 was 41.8 million metric tonnes (Mt), with a potential arise to 50
36 Mt in 2018 (Balde et al., 2015).

37

38 Mainland China plays a critical role in the global EEE industry, including the
39 manufacturing, refurbishment, reuse, and recycling of e-waste. The discarded TVs, phones,
40 computers, monitors, e-toys and small appliances grew by 6.7 Mt in 2015 alone, an 107%
41 increase in just five years (Leahy, 2017). Mainland China is also a recipient of e-waste from
42 other developing countries, with an estimated 1.5–3.3 Mt exported to Mainland China each
43 year (Honda et al., 2016). Realizing the substantial negative impact of e-waste on
44 environmental deterioration and health, the Chinese government had issued a variety of
45 environmental laws, legislation and standards related to WEEE management, making a
46 commitment to establish a formal recycling system. As one of the most important milestones,
47 in 2017, China clearly advanced comprehensively implementing extended producer
48 responsibility (EPR) system among high-pollution enterprises like the fields of electrical and

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