## Accepted Manuscript

A rule-based approach for preventive identification of potential conflictive criteria in mining operations in Chile

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PII:	S0959-6526(18)30524-9
DOI:	10.1016/j.jclepro.2018.02.202
Reference:	JCLP 12149
To appear in:	Journal of Cleaner Production
Received Date:	08 May 2017
Revised Date:	05 February 2018
Accepted Date:	19 February 2018

Please cite this article as: Anahi Ocampo-Melgar, Jorge Gironás, Aida Valls, A rule-based approach for preventive identification of potential conflictive criteria in mining operations in Chile, *Journal of Cleaner Production* (2018), doi: 10.1016/j.jclepro.2018.02.202

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## 1 A rule-based approach for preventive identification of potential conflictive criteria in 2 mining operations in Chile 3 4 Authors: Anahi Ocampo-Melgar<sup>1</sup>, Jorge Gironás<sup>1,2,3,4</sup>, Aida Valls<sup>5</sup> 5 6 <sup>1</sup> Centro de Desarrollo Urbano Sustentable, CONICYT/FONDAP/15110020; Vicuña Mackenna 4860; 7 Santiago de Chile, Chile. 8 <sup>2</sup> Departamento de Ingeniería Hidráulica y Ambiental, Pontificia Universidad Católica de Chile; 9 Vicuña Mackenna 4860; Santiago de Chile, Chile. 10 3 Centro de Investigación para la Gestión Integrada Desastres Naturales, de 11 CONICYT/FONDAP/15110017; Vicuña Mackenna 4860; Santiago de Chile, Chile. 12 <sup>4</sup> Centro Interdisciplinario de Cambio Global, Pontificia Universidad Católica de Chile; Vicuña 13 Mackenna 4860; Santiago de Chile, Chile. 14 <sup>5</sup> Department of Computer Engineering and Mathematics, Universitat Rovira i Virgili, Av. Paisos 15 Catalans 26, 43007, Tarragona, Catalonia, Spain. 16 17 Abstract 18 Mining projects, as with many other extractive activities, result in divergent opinions during the

19 planning process which may trigger conflict. Early understanding of stakeholders' priorities can help 20 with the design of better projects while reducing sources of potential conflict. The objective of this 21 article is to present a rule-based method for decision support to be used in the first stages of the 22 project to identify disagreements in the form of potentially conflictive criteria. The method 23 proposed defines four attributes that should be evaluated for the different relevant criteria subject 24 to potential conflict: the importance of the criterion, the perceived risk, the fairness, and the 25 affected side's willingness to make dialogue. These attributes are used to construct a rule-based 26 system that can assess the degree of sensibility to conflict for each criterion. The application of this 27 rule-based approach is explained using information from a real past conflict in Chile, where nine 28 criteria were considered, four key decision makers were interviewed and 81 rules were created. The 29 output given by the rule-based system was compared with the conflict degree given by the four 30 decision makers. Results show that in 44.4% of the responses the rank level was the same, in 44% 31 there was a slight subestimation and in 11% an overestimation of the criterion conflictive level. The 32 method proposed incorporates new aspects into the analysis of sources of potential conflict and is 33 simple enough for an anticipatory screening of potential disagreements around the criteria. In 34 addition, this type of precautionary approach in the earlier stages of project appraisal can contribute 35 to a better project design and a constructive industry-community dialogue.

Keywords: socio-environmental conflict, environmental appraisal, rule-based expert systems,
stakeholders' participation, perceptions.

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