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# Gapless spin excitations in the $S = 1/2$ Kagome- and triangular-lattice Heisenberg antiferromagnets

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## ABSTRACT

The  $S = 1/2$  kagome- and triangular-lattice Heisenberg antiferromagnets are investigated using the numerical exact diagonalization and the finite-size scaling analysis. The behaviour of the field derivative at zero magnetization is examined for both systems. The present result indicates that the spin excitation is gapless for each system.

## 1. Introduction

Frustration in magnets is one of important topics in the field of the strongly correlated electron systems. Among such magnets, the kagome- and triangular-lattice antiferromagnets attract a lot of interests. Since discoveries of several candidate materials of the kagome-lattice antiferromagnet; the herbertsmithite [1,2], the volborthite [3,4] and the vesignieite [5], particularly, the study on this system has been accelerated. The quantum spin-fluid behaviour of the system was predicted by many theoretical studies [6–18]. The  $U(1)$  Dirac spin-liquid theory [13] indicated a gapless spin excitation in the thermodynamic limit, which has been supported by the recent variational approach [19,20]. Our recent numerical diagonalization study [21] also concluded that the system is gapless. On the other hand, the recent density matrix renormalization group (DMRG) analyses [22–24] suggested that the system has a finite spin gap even in the thermodynamic limit and supported the  $Z_2$  topological spin-liquid picture [6]. Thus whether the  $S = 1/2$  kagome-lattice antiferromagnet has a spin gap or not is still theoretically controversial, although the recent neutron scattering experiment of the single crystal of the herbertsmithite [25,26] suggested that the system is gapless.

On the other hand, the triangular-lattice antiferromagnet is widely believed to be gapless, based on the previous precise numerical analysis [27]. Thus it would be interesting to compare the low-lying spin excitation of the kagome-lattice antiferromagnet with the one of the triangular lattice. In this paper, using the recently developed field-derivative analysis based on the numerical diagonalization of finite-size clusters [28], we try to approach the spin-gap issue of the  $S = 1/2$

kagome-lattice antiferromagnet, as well as the triangular-lattice one. When one examines field-derivatives of the magnetization within the numerical data for finite-size systems, it is difficult to eliminate finite-size effects completely. It is therefore required to reduce such effects by means of a feasible way. Under these circumstances, the purpose of this study is to present such a field-derivative analysis based on numerical data whose finite-size deviations are presumably reduced. The kagome- and triangular-lattice antiferromagnets have wide diversity of further studies in various aspects. Under large magnetic fields, nontrivial anomalous behaviours are observed in their magnetization curves; however, the behaviours are different between the kagome- and triangular-lattice antiferromagnets [29–31]. Such behaviours were also examined in various frustrated magnets [32–36]. A randomness effect in these systems was additionally examined [37,38]. Under such circumstances, the present study tackles a fundamental issue concerning properties of systems without effects owing to significantly large fields and randomness are investigated.

## 2. Model and calculation

Using the numerical exact diagonalization of finite-size clusters under periodic boundary condition, we investigate the  $S = 1/2$  kagome- and triangular-lattice Heisenberg antiferromagnets defined by the Hamiltonian

$$\mathcal{H} = \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j, \quad (1)$$

where site  $i$  is assumed to be the vertices of the kagome or triangular

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lattice. Here,  $\langle i, j \rangle$  runs over all the nearest-neighbor pairs on each lattice. For an  $N$ -site system, we consider subspaces characterized by  $M = \sum_j S_j^z$ ; we obtain the lowest energy denoted by  $E(N, M)$  of the Hamiltonian matrix in each subspace. We calculate all the values of  $E(N, M)$  available for the clusters up to  $N = 36$  by the numerical diagonalization. The diagonalization is carried out based on the Lanczos algorithm and/or the Householder algorithm. Part of the Lanczos diagonalizations were carried out using an MPI-parallelized code which was originally developed in the study of Haldane gaps [39]. The usefulness of our program was confirmed in large-scale parallelized calculations [21,31,40–42].

### 3. Field-derivative analysis

In order to investigate the low-lying spin excitation, we apply the field-derivative analysis which was developed in our previous work [28]. The argument of the analysis is briefly reviewed as follows: the effect of the applied external magnetic field  $h$  is described by the Zeeman energy term

$$\mathcal{H}_Z = -h \sum_j S_j^z. \quad (2)$$

The energy of  $\mathcal{H}$  per site in the thermodynamic limit is defined as

$$\frac{E(N, M)}{N} \sim \epsilon(m) \quad (N \rightarrow \infty), \quad (3)$$

where  $m = M/(SN)$  is the magnetization normalized by the saturated magnetization  $SN$ . If we assume  $\epsilon(m)$  is an analytic function of  $m$ , the spin excitation energy would become

$$E(N, M+1) - E(N, M) \sim \frac{1}{S} \left( \epsilon'(m) + \frac{1}{2} \epsilon''(m) \frac{1}{NS} + \dots \right). \quad (4)$$

Thus, this equation gives the quantity corresponding to the width of the magnetization plateau at  $m$  as follows,

$$(E(N, M+1) - E(N, M)) - (E(N, M) - E(N, M-1)) \sim \epsilon''(m) \frac{1}{NS^2}. \quad (5)$$

Minimizing the energy of the total Hamiltonian  $\mathcal{H} + \mathcal{H}_Z$ , the ground state magnetization curve is derived by

$$h = \epsilon'(m)/S. \quad (6)$$

The field derivative of the magnetization is defined as

$$\chi \equiv \frac{dm}{dh} = \frac{S}{\epsilon''(m)}. \quad (7)$$

If we assume  $\chi \neq 0$ , namely  $\epsilon''(m)$  is finite, the magnetization plateau at  $m$  would vanish in the thermodynamic limit, because of (5). Thus a necessary condition for the existence of a magnetization plateau at  $m$  is  $\chi = 0$  in the thermodynamic limit. Now we apply this argument for the spin gap. We should examine the case of  $h \rightarrow 0$  corresponding to  $m = 0$ . In this case, the equation (5) can be rewritten as

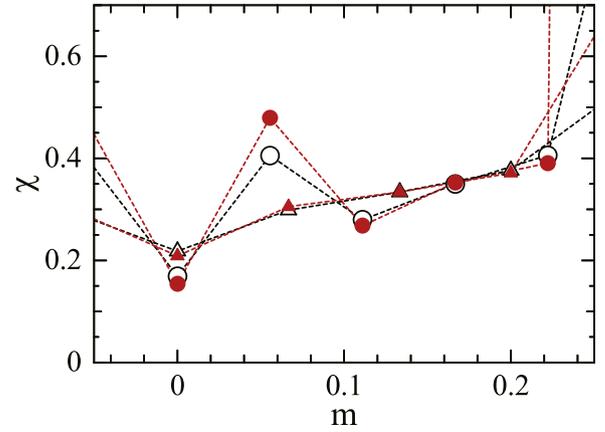
$$2\Delta_N \sim \epsilon''(0) \frac{1}{NS^2}, \quad (8)$$

where  $\Delta_N = E(N, 1) - E(N, 0)$  is the spin gap for an  $N$ -spin cluster. Thus a necessary condition of the finite spin gap would be  $\chi = 0$  at  $m = 0$  in the thermodynamic limit.

In order to estimate  $\chi$  at  $m = 0$  from discrete data for finite-size systems, it is the most simple way to use neighboring three data in the form  $\chi_3$  defined as

$$\chi_3^{-1} = NS[-2E(N, M) + E(N, M+1) + E(N, M-1)]. \quad (9)$$

Actually, Eq. (9) was used in our previous examination [28]. However, a significant possibility cannot be denied that there remain deviations from the ideal quantity owing to the discreteness. It is expected to



**Fig. 1.** Field-derivative of the magnetization as a function of  $m$  for  $N = 36$  and  $30$  in the kagome-lattice antiferromagnet. Circles and triangles denote results for  $N = 36$  and  $30$ , respectively. Black open and red closed symbols represent results of  $\chi_3$  obtained from Eq. (9) and  $\chi_5$  obtained from Eq. (10), respectively.

reduce the deviations if one uses neighboring five data instead of the above three under the assumption that  $\epsilon(m)$  is analytic. In the method employing neighboring five data, we use  $\chi_5$  defined as

$$\chi_5^{-1} = NS \left[ -\frac{5}{2} E(N, M) + \frac{4}{3} (E(N, M+1) + E(N, M-1)) - \frac{1}{12} (E(N, M+2) + E(N, M-2)) \right]. \quad (10)$$

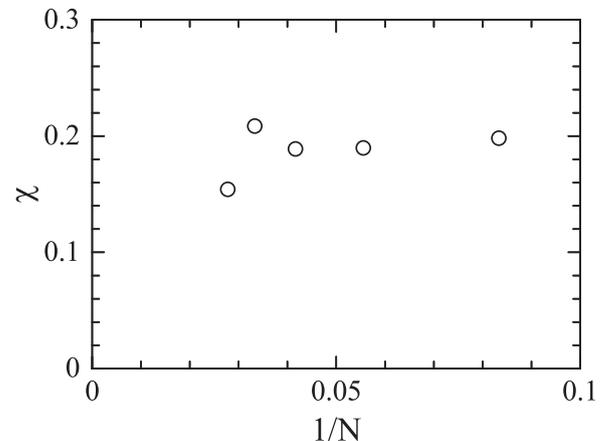
In the thermodynamic limit,  $\chi_3$  should agree with  $\chi_5$ . We show the estimated  $\chi_3$  and  $\chi_5$  for the kagome- and triangular-lattice antiferromagnets in the following sections.

### 4. Kagome-lattice antiferromagnet

We investigate the field derivative of the magnetization  $\chi$  for the  $S = 1/2$  kagome-lattice antiferromagnet.

Let us, first, show differences between  $\chi_3$  obtained from Eq. (9) and  $\chi_5$  obtained from Eq. (10); results are depicted in Fig. 1. One can confirm that the differences are small irrespective of the values of  $m$ . The smallness is also observed irrespective of  $N$ . It is expected that we can obtain better estimates of  $\chi$  by the small deviations from  $\chi_3$  to  $\chi_5$ .

Let us, next, focus our attention on the system-size dependence of the field derivative of the magnetization at  $m = 0$ . We plot  $\chi_5$  at  $m = 0$  calculated by the form (10) as a function of  $1/N$  for  $N = 36, 30, 24, 18,$  and  $12$  in Fig. 2. Although the system-size dependence exhibits a slight



**Fig. 2.** The system-size dependence of the field derivative of the magnetization at  $m = 0$  estimated by the form (10) in the case of the kagome-lattice antiferromagnet. Numerical data are plotted as a function of  $1/N$  for  $N = 36, 30, 24, 18,$  and  $12$ .

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