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First-principles predictions of the geometries and electronic structures of tungsten ditelluride nanoribbons

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A R T I C L E I N F O A B S T R A C T

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1. Introduction

Due to the quantum confinement on electron movements and unique folding Fermi level, one-dimensional materials such as nanorods, nanotubes, nanowires, and nanoribbons show interesting collective electron behaviors like various density waves and adjustable electronic properties [\[1\]](#page--1-0). These properties enrich one-dimensional materials with both fundamental importance and practical applications. One compelling example is graphene nanoribbons, showing lots of fantastic properties. For example, graphene nanoribbons have highly tunable energy band gaps [\[2,3\]](#page--1-0), and robust edge states [\[4\]](#page--1-0), and undergo transitions to half-metal after applying external electric biases [\[5\]](#page--1-0). Beyond graphene, transition metal dichalcogenides (TMD) emerge as another important class of two dimensional system with inherently interesting physical properties, such as quantum spin Hall effect, Weyl semimetallicity, superconductivity, and extremely large magnetoresistance, etc. [\[6–11\]](#page--1-0). When reduced from bulk to two-dimensional monolayers, and further from two-dimensional monolayers to onedimensional nanoribbon geometries, the very compound nature of the TMD systems further introduces more complexities and singularities [\[12–19\]](#page--1-0).

Among the TMD family, $WTe₂$ has attracted much attention recently, because it exhibits extremely large magnetoresistance

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First-principles calculations are carried out to predict the structures and electronic properties of 2Hand Td-WTe₂ nanoribbons with different termination edges. It is found that the 2H-WTe₂ nanoribbon along the armchair direction and the Td-WTe2 nanoribbon along the *X* direction show semiconducting characters with tunable band gaps. The 2H-WTe₂ nanoribbon along the zigzag direction and the Td-WTe₂ nanoribbon along the *Y* direction show metallic characters.

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[\[9,20\]](#page--1-0), pressure-driven superconductivity [\[21,22\]](#page--1-0), and type-II Weyl semimetallicity $[23]$. Moreover, the WTe₂ monolayer has been predicted to be a two-dimensional topological insulator [\[11\]](#page--1-0) or a quantum spin Hall insulator [\[24\]](#page--1-0), characterized by an insulating bulk and a conductive helical edge state. Unlike other TMDs, for which the 1*T* or 2*H* phase is the most stable structure [25-27], WTe2 has an orthorhombic lattice structure (Td phase) in its ground state [\[28,29\]](#page--1-0). The Td phase has a relatively larger interlayer distance. Energetically the Td phase is 0.075 eV lower per formula unit of WTe₂ than the 2H phase $[30,31]$. For monolayer WTe₂, it is theoretically predicted [32-34] that the phase transition between the Td and 2H phases can be well controlled through external pressure, temperature or charge doping. Therefore, both Td and 2H phases need to be considered when searching for practical applications of WTe₂ nanomaterials. In their ground states, 2H-WTe₂ monolayer is a semiconductor, while Td-WTe₂ monolayer is a semimetal [\[25\]](#page--1-0).

Previous studies demonstrate that reducing the dimensions of TMD materials can effectively change the electronic band structures around the Fermi surface. For example, WS_2 , WSe_2 , MoS_2 and MoSe₂ monolayers show indirect band gap electronic structures, while their bulk counterparts all contain direct band gaps [\[35,36\]](#page--1-0). In the present work, we try to study the electronic structure evolution when further reducing the dimension of a TMD into one-dimension. We take $WTe₂$ as an example for the TMD family because of the above mentioned interesting properties. Practically for design of future nanodevices, understanding of the physical parameters governing the electronic structures and thermo-

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dynamic stabilities of WTe₂ nanoribbons is necessary. Presently WTe2 monolayers have been regularly fabricated, but controlled fabrication of WTe₂ nanoribbons remains challenging. Theoretically, few theoretical studies on $2H-WTe₂$ armchair nanoribbons can be traced, focusing on defect deformation [\[37\]](#page--1-0) and tensile strain effects on transport characteristics [\[38\]](#page--1-0).

In the present work, we carry out a first-principles study exploring the band gap dependence on the structural widths of $WTe₂$ nanoribbons, discussing the possibility of tailoring their electronic properties. Both the 2H and Td phases of monolayer WTe₂ are considered when building WTe₂ nanoribbons.

2. Calculation methods

All first-principles calculations are performed in the frame of density functional theory using the VASP code [\[39\]](#page--1-0). The exchange correlation is subjected to generalized gradient approximation in the Perdew–Burke–Ernzerhof form (PBE) [\[40,41\]](#page--1-0). The lattice constants as well as atomic coordinates are fully optimized until the residual forces are converged to be less than $0.01 \text{ eV}/\text{\AA}$. The energy convergence criteria for self-consistent electronic optimizations is set to be 10−⁵ eV. The plane-wave basis had a cut-off energy of 400 eV. A 15 \times 15 \times 1 *k*-point grid generated by using the Monkhorst method [\[42\]](#page--1-0) is used for integrations over the Brillouin Zone. A vacuum layer larger than 20 Å is imposed both along the *y* and *z* directions (we define the *x* direction to be the periodic orientation) to avoid possible interactions between neighboring ribbons. Spin–orbit couplings are included in all calculations.

3. Results and discussion

Due to different symmetries, we design $WTe₂$ nanoribbons in different ways for the 2H and Td phases. The $2H-WTe₂$ monolayer adopts a graphene-like symmetry. Thus we fabricate armchair and zigzag nanoribbons in the same way as that for graphene nanoribbons. The width number *N* is defined as the number of tungsten (W) atoms across the nanoribbon width. Different from 2H-WTe₂ monolayer, the Td-WTe₂ monolayer adopts a rectangular unit cell through formation of W chains along the *y* direction. Therefore, we fabricate nanoribbons of $Td-WTe₂$ monolayer by cutting it along the high-symmetry *x* and *y* directions, which are denoted as Tdx and Tdy nanoribbons. In the same way, we define the width number *N* as the number of W atoms across the nanoribbon width.

The optimized atomic structures of $WTe₂$ monolayers and nanoribbons are depicted in Fig. 1. After geometry optimizations, we find that the atomic structures of 2H- and Td-WTe₂ monolayers are almost the same as that in their bulk counterparts. We list our calculated lattice constants and electronic band gaps of WTe₂ monolayers in Table [1,](#page--1-0) together with previous experimental [\[43\]](#page--1-0) and theoretical results [\[44–46\]](#page--1-0). One can see that our results are in good agreements with previous reports. When cutting $WTe₂$ monolayers into one-dimensional nanoribbons, large structural relaxations can be found at the nanoribbon edges. For the zigzag and Tdy WTe $_2$ nanoribbons, we have to add additional Te atoms to saturate the dangling bonds of edge W atoms, so that the geometry optimizations converge. The optimized zigzag and Tdy WTe₂ nanoribbons are structurally depicted in Figs. $1(d)$ and $1(f)$. One can see that the edges are quite stable with the edge atoms undergoing negligible atomic movements during geometry optimizations. In comparisons, although the stoichiometric armchair and Tdx $WTe₂$ nanoribbons converge during geometry optimizations, large atom redistributions can be found at their edges. Fig. $1(c)$ shows a typical edge structure for armchair $WTe₂$ nanoribbon (with the width number of $N = 8$). As shown, the outermost edge

(e) Tdx nanoribbons (N=5) \bullet Te (f) Tdy nanoribbons (N=6)

Fig. 1. (Color online.) Optimized structures of WTe₂ monolayers and nanoribbons. (a) and (b) The monolayer structures of $2H$ - and Td-WTe₂, with their unit cells indicated by red lines. (c) and (d) Armchair and zigzag nanoribbons cut from 2H-WTe2 monolayer. (e) and (f) Tdx and Tdy nanoribbons cut from Td-WTe₂ monolayer. The black quadrilaterals depict corresponding unit cells for each nanoribbons.

W atom tends to bond with a next outermost W atom, and subsequently two arc structures form at the two edges of the armchair WTe2 nanoribbon. The bond length of armchair boundary altered significantly to 2.768 Å, 2.694 Å, which 2.730 Å in plane. A typical structure for Tdx WTe₂ nanoribbons is shown in Fig. $1(e)$. Structurally, the edge W atoms tend to be surrounded by 4 tellurium (Te) atoms occupying the tetrahedral center position. While in the center area of the nanoribbon, each W atom is surrounded by 6 Te atoms that form an octahedron. The large edge relaxations indicate that W atoms cannot solely act as edge atoms.

We then perform systematic electronic structure calculations for different types of WTe_{[2](#page--1-0)} monolayers and nanoribbons. Fig. 2 shows the typical electronic band structures for $2H-WTe₂$ monolayer and nanoribbons. As shown, $2H-WTe₂$ monolayer is a direct

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