



# Near-infrared optical properties of Yb<sup>3+</sup>-doped silicate glass waveguides prepared by double-energy proton implantation

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## ABSTRACT

We report on the preparation and properties of an optical planar waveguide structure operating at 1539 nm in the Yb<sup>3+</sup>-doped silicate glass. The waveguide was formed by using (470 + 500) keV proton implantation at fluences of  $(1.0 + 2.0) \times 10^{16}$  ions/cm<sup>2</sup>. The waveguiding characteristics including the guided-mode spectrum and the near-field image were investigated by the m-line technique and the finite-difference beam propagation method. The energy distribution for implanted protons and the refractive index profile for the proton-implanted waveguide were simulated by the stopping and range of ions in matter and the reflectivity calculation method. The proton-implanted Yb<sup>3+</sup>-doped silicate glass waveguide is a candidate for optoelectronic elements in the near-infrared region.

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## Introduction

Optical waveguides play an important role in the development of optoelectronics and integrated optics. They are the transmission channels for signal propagation and the interconnections between different optical devices. Therefore, the fabrication of waveguide structures and investigation on their characteristics have become more and more attractive in the last decades [1–5]. Ion implantation [6], ion exchange [7], thin film deposition [8] and femtosecond laser inscription [9] are the most commonly used techniques for the manufacture of optical waveguides in a variety of optical materials. Comparing with other ways, the method of ion implantation possesses its unique advantages, owing to its easiness to control the concentration and depth of the implanted ions [10,11]. Furthermore, the structural parameters of an ion-implanted optical waveguide including the thickness of the core layer and the refractive index profile are mainly dependent on the concentration and the depth of the implanted ions [12–14]. The energetic carbon and oxygen ions, as well as protons, have usually been employed to produce waveguide structures. However, the penetration depth of the proton implantation is much deeper than that of the heavy-ion implantation (such as O<sup>2+</sup> and C<sup>+</sup>) for the same implanted energy, since hydrogen is the lightest among all the elements. This fact is especially advantageous for the proton implantation when the light with near-infrared wavelength propagates in optical

waveguides. In view of these merits, the proton implantation method has been chosen to fabricate optical waveguides in the present work.

For the construction of high-quality waveguides, a suitable matrix material is another important factor besides the preparation method [15]. Yb<sup>3+</sup>-doped silicate glasses have emerged in recent years as gain media for the next generation nuclear fusion and high-power lasers. They exhibit broad absorption and emission band, and high emission section. Meanwhile, the concentration quenching and excited-state absorption can be avoided to some extent, owing to the two manifolds (the ground <sup>2</sup>F<sub>7/2</sub> state and the excited <sup>2</sup>F<sub>5/2</sub> state). Yb<sup>3+</sup>-doped silicate glasses show their own benefits such as possible fused coupling with silica fiber and low cost over the counterparts including Yb<sup>3+</sup>-doped borate and phosphate glasses. Therefore, Yb<sup>3+</sup>-doped silicate glasses are ideal as target substrate for the preparation of optical planar waveguides [16–19].

In the past few years, several reports have been communicated for ion-implanted waveguides in silicate glasses doped with Yb<sup>3+</sup> rare earth ions. For example, channel waveguides in Yb<sup>3+</sup>-doped silicate glasses have been manufactured by triple-energy helium-ion implantation with a standard photolithographic technique and planar waveguides have been formed by low-dose carbon ion implantation in the Yb<sup>3+</sup>-doped silicate glasses [20,21]. In particular, the technique of the proton implantation has been applied to manufacture the optical waveguide structure in the Yb<sup>3+</sup>-doped silicate glass [22]. The features of the waveguides are all investigated in visible (632.8 nm) region. However, optical waveguide

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devices operated in the telecommunication windows around  $\sim 1.5$   $\mu\text{m}$  are indispensable in functional optical-communication networks [23]. Therefore, it is necessary to explore 1.5- $\mu\text{m}$  ion-implanted  $\text{Yb}^{3+}$ -doped silicate glass waveguides. In the present work, we fabricated waveguide structures by means of the double-energy proton implantation with energies of (470 + 500) keV and doses of  $(1.0 + 2.0) \times 10^{16}$  ions/ $\text{cm}^2$  and focused on their near-infrared (1539 nm) optical properties.

## Experiments

$\text{Yb}^{3+}$ -doped silicate glasses in the system of  $65\text{SiO}_2\text{-}10\text{B}_2\text{O}_3\text{-}4\text{Al}_2\text{O}_3\text{-}7\text{Na}_2\text{O-}6\text{La}_2\text{O}_3\text{-}6\text{Y}_2\text{O}_3\text{-}2\text{Yb}_2\text{O}_3$  were synthesized by the standard melt-quenching method. A 500 g mixture of raw materials with high purity were added successively into a platinum crucible placed in an electrical resistance furnace and melt at 1150  $^\circ\text{C}$  for 2 h. The glass melt was stirred, clarified and homogenized for 4 h after the temperature of the electric furnace rose to 1300  $^\circ\text{C}$ . Then, the melt was poured onto a preheated brass mold and annealed at  $T_g$  (Transformation temperature) in a muffle furnace.

The as-prepared  $\text{Yb}^{3+}$ -doped silicate glass was cut and polished into some wafers with 10.0 mm length, 10.0 mm width and 2.0 mm thickness. The wafers were carefully cleaned before any optical measurement. The photoluminescence spectrum and the fluorescence lifetime of the  $\text{Yb}^{3+}$ -doped silicate glass were measured by an Edinburgh FLS920P spectrometer (Edinburgh, UK) with a 980-nm diode laser for excitation. The optical absorption spectrum in the 400–1600 nm wavelength range was collected by a JASCO U-570 UV-VIS-NIR spectrophotometer and its refractive index was measured by the *m*-line prism coupling method at a wavelength of 1539 nm.

The ion implantation was performed in the Institute of Semiconductors of CAS on an ion-implantor. As well known, a double-energy ion implantation could broaden the width of the optical barrier, resulting in reduction of the light leakage from the optical waveguide. Therefore, 470-keV proton implantation with a fluence of  $1 \times 10^{16}$  ions/ $\text{cm}^2$  and 500-keV  $\text{H}^+$  ion implantation with a dose of  $2 \times 10^{16}$  ions/ $\text{cm}^2$  were irradiated successively on the same  $\text{Yb}^{3+}$ -doped silicate glass.

The optical propagation properties of the as-implanted  $\text{Yb}^{3+}$ -doped silicate glass were characterized by the dark-mode spectroscopy with the Metricon model 2010 prism coupler at 1539 nm. The light intensity on the photodetector would fluctuate when the incident angle was continuously adjusted in the measurement procedure. At some discrete angles, the incident light at the prism/sample interface would tunnel through the small air gap between the prism and the sample into the waveguide, resulting in a dip at the photodetector.

## Results and discussion

Fig. 1(a) shows the fluorescence spectrum of the  $\text{Yb}^{3+}$ -doped silicate glass in the range from 900 nm to 1200 nm. Besides a main peak caused by some incompletely filtered laser emission at 977 nm, there is a sub peak around 1008 nm, which is attributed to the  $^2\text{F}_{5/2} \rightarrow ^2\text{F}_{7/2}$  transition of the ytterbium ion emission. Fig. 1(b) depicts the time decay curve of the photoluminescence intensity at 1008 nm for the  $^2\text{F}_{5/2} \rightarrow ^2\text{F}_{7/2}$  transition. The fluorescence lifetime of the metastable level ( $^2\text{F}_{5/2}$ ) in the  $\text{Yb}^{3+}$ -doped silicate glass is calculated to be about 407  $\mu\text{s}$ , which is obtained by fitting the fluorescence decay curve with the single-exponential function.

Fig. 2(a) shows the transmittance spectrum of the  $\text{Yb}^{3+}$ -doped silicate glass with a thickness of 2.0 mm. The transparent ratio is relatively high and even more than 85% in the wavelength ranges of 400–850 nm and 1100–1600 nm. A sharp absorption band cen-

tered at 978 nm was observed, owing to the transition of  $\text{Yb}^{3+}$  ions from the  $^2\text{F}_{7/2}$  ground-state level to the  $^2\text{F}_{5/2}$  excited-state level. Fig. 2(b) illustrates the refractive index of the  $\text{Yb}^{3+}$ -doped silicate glass at a wavelength of 1539 nm. The substrate refractive index is 1.5982, as the dashed line represented.

The nuclear energy loss in the ion implantation process is supposed to be an origin of the displacement and the damage in the irradiated region. It is simulated by the stopping and range of ions in matter code (SRIM 2013) [24] for the 470-keV and 500-keV proton implantation into the  $\text{Yb}^{3+}$ -doped silicate glass, as Fig. 3 shown. The 470-keV proton implantation results in the maximal nuclear energy loss of 1.16 keV/ $\mu\text{m}$  at the depth of 5.90  $\mu\text{m}$ . For the 500-keV implantation of the protons, the maximum of the nuclear energy deposition is about 1.14 keV/ $\mu\text{m}$  at 6.36  $\mu\text{m}$  beneath the glass surface. The depth-distribution of the nuclear energy losses for the double-energy proton irradiation are calculated as simple algebraic sums of the corresponding pairs of single-energy proton distributions. Therefore, a peak of 1.73 keV/ $\mu\text{m}$  with a width of 0.07  $\mu\text{m}$  is observed at about 6.0  $\mu\text{m}$  from the glass surface in Fig. 3.

The cross-sectional photograph of the  $\text{Yb}^{3+}$ -doped silicate glass after the proton irradiation was recorded by an optical microscopy in transmission mode, as Fig. 4 shown. The area between the two dashed lines is the waveguide layer, which is a smooth strip in Fig. 4. The thickness of the optical structure is about 6.0  $\mu\text{m}$  and close to the depth-distribution of the nuclear energy losses for the (470 + 500) keV proton implantation into the  $\text{Yb}^{3+}$ -doped silicate glass.

Fig. 5 shows the measured relative intensity of the light with a wavelength of 1539 nm reflected from the rutile prism of the prism-coupling system (Metricon Model 2010 prism coupler) as a function of the effective refractive index for the optical planar waveguide formed by (470 + 500) keV proton implantation at doses of  $(1.0 + 2.0) \times 10^{16}$  ions/ $\text{cm}^2$  in the  $\text{Yb}^{3+}$ -doped silicate glass through the *m*-line method. As shown in Fig. 5, there are two dips in the dark-mode spectrum. The number of dips at 1539 nm is one less than that of the 632.8-nm *m*-line curve in the previous report [22]. Compared with the second dip, the first one is relatively sharp and deep. Therefore, the first dip maybe correspond to a propagation mode and the second one would present a leaky mode. The effective refractive indices of the two dips are 1.5972 and 1.5775, which are both less than the refractive index of the substrate (1.5982). It suggests that the refractive index of the waveguide layer is lower than the substrate refractive index.

The refractive index profile of an optical waveguide has an influence on both the propagation modes and the actual applications. The reflectivity calculation method (RCM) can be used to fit the refractive index distribution of an ion-implanted optical waveguide based on its *m*-line curve [25,26]. Fig. 6 shows the refractive index profile of the proton-implanted waveguide in the  $\text{Yb}^{3+}$ -doped silicate glass by means of the RCM. It is a typical barrier-confined distribution. The refractive index in the waveguide region is 1.5967 at 1539 nm, which is about 0.0015 less than the refractive index of the pure glass matrix. There is a large negative index change of -0.012 at a depth of 6.0  $\mu\text{m}$  that is consistent with the peak position of the nuclear energy loss in Fig. 3. In addition, the effective refractive indices of the theoretical modes for the RCM-reconstructed distribution of refractive index are 1.5933 and 1.5813. As one can see, the differences between the measured and simulated effective refractive indices for the same mode are in the order of  $10^{-3}$ .

The FD-BPM (finite-difference beam propagation method) is a well-known technique for the simulation of the near-field intensity distributions in a large number of waveguide devices including couplers and splitters [27,28]. Fig. 7 shows the FD-BPM-simulated optical image at a wavelength of 1539 nm in the waveguide formed by the proton implantation in the  $\text{Yb}^{3+}$ -doped silicate

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