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- A standardized randomized 6-month aerobic exercise-training down-regulated pro-inflammatory genes, but up-regulated
- anti-inflammatory, neuron survival and axon growth-related genes
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#### ABSTRACT

There is considerable support for the view that aerobic exercise may confer cognitive benefits to mild cognitively 24 impaired elderly persons. However, the biological mechanisms mediating these effects are not entirely clear. As a 25 preliminary step towards informing this gap in knowledge, we enrolled older adults confirmed to have mild 26 cognitive impairment (MCI) in a 6-month exercise program. Male and female subjects were randomized into a 27 6-month program of either aerobic or stretch (control) exercise. Data collected from the first 10 completers, aer- 28 obic exercise (n = 5) or stretch (control) exercise (n = 5), were used to determine intervention-induced changes 29 in the global gene expression profiles of the aerobic and stretch groups. Using microarray, we identified genes 30 with altered expression (relative to baseline values) in response to the 6-month exercise intervention. Genes 31 whose expression were altered by at least two-fold, and met the p-value cutoff of 0.01 were inputted into the Ingenuity Pathway Knowledge Base Library to generate gene-interaction networks, After a 6-month aerobic 33 exercise-training, genes promoting inflammation became down-regulated, whereas genes having anti- 34 inflammatory properties and those modulating immune function or promoting neuron survival and axon 35growth, became up-regulated (all fold change  $\geq \pm 2.0$ , p < 0.01). These changes were not observed in the stretch 36 group. Importantly, the differences in the expression profiles correlated with significant improvement in maximal oxygen uptake (VO<sub>2</sub>max) in the aerobic program as opposed to the stretch group. We conclude that three 38 distinct cellular pathways may collectively influence the training effects of aerobic exercise in MCI subjects. 39 We plan to confirm these effects using rt-PCR and correlate such changes with the cognitive phenotype.

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#### 1. Introduction

Neuroinflammation, a prevalent feature of Alzheimer's disease (AD), is heralded by insoluble protein deposition, reactive astrocytes, activated microglia, dystrophic neurites, and intracellular neurofibrillary tangles (NFTs) (Selkoe, 2001; Lue et al., 1996; Hoozemans et al., 2006). Abundant evidence suggests that inflammatory mechanisms within the central nervous system (CNS) likely promote cognitive deterioration (Lee et al., 2009). AD progression is marked by uncontrolled glial cell activation, neuroinflammation and consequent neuronal/synaptic dysfunction, inciting a vicious cycle of increased glial activation and neuronal damage (Mrak and Griffin, 2005). Therefore, strategies to

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reduce neuroinflammation may attenuate the risk of sporadic AD 57 (Vlad et al., 2008).

Physical activity can reduce inflammation (Braskie et al., 2014), and 59 has been demonstrated to improve memory in MCI and possibly AD 60 subjects (Buchman et al., 2012). In support of this view, but through a 61 yet undiscerned mechanism, moderate exercise reduced amyloid plaque 62 burden in transgenic mouse models of AD, and improved cognitive func-63 tion (Adlard et al., 2005). Independently, aerobic exercise can modulate 64 immune response, increase the population of regulatory T cells, decrease 65 the population of inflammatory monocytes, and down-regulate inflammation (Boas et al., 1996; Gleeson et al., 2011; McTiernan, 2008, 2004; 67 Ouchi et al., 2011; Spence et al., 2010). However, whether profinflammatory proteins facilitating cell death or anti-inflammatory genes 69 promoting neuronal survival and axonal growth are involved in this regulatory mechanism has not been systematically examined in MCI subjects. Importantly, data are lacking on the mechanisms underlying the 72

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beneficial effects of exercise in African American (AA) MCI subjects. As a preliminary step to this investigative exercise, we examined the training-induced changes in the overall gene expression profile from blood cells of MCI volunteers randomized into a 6-month aerobic exercise-training program versus a stretch-exercise control group. We hypothesized that aerobic training adaptation would promote favorable changes in the expression of pro-inflammatory or anti-inflammatory genes, and of genes modulating immune response or promoting neuronal survival. Since MCI is prodromal for AD, insight into these mechanisms may inform the development of interventions and treatment regimens for AD patients.

#### 2. Material and methods

The protocols used in this investigation were approved by the Howard University Institutional Review Board (IRB). As required for studies involving human subjects, all participants completed a signed informed consent form prior to enrollment in the study.

#### 2.1. Level I screening

Main eligibility criteria used for inclusion consisted of the following: age > 55 years; ability to exercise vigorously without causing harm to self; diagnostic designation as MCI according to Petersen criteria (Petersen, 2004) using education adjusted scores; have a committed study partner; be in good general health; and willing to exercise for the entire study duration; and undergo required medical and studyrelated assessments. After obtaining informed consent from volunteers, we collected data on demographics and general medical history. Based on this initial evaluation, we excluded volunteers with the following history: head trauma, uncontrolled diabetes mellitus and hypertension; current chronic renal, liver, respiratory or neurologic disorders; recent myocardial infarction (within the previous 6 months), and unstable angina. Also excluded were persons using hormone replacement therapy (HRT) or medications that may affect memory (e.g., anticholinergics, sedative hypnotics, narcotics, and antiparkinsonian agents). Volunteers having unstable medical conditions, evidenced by starting new medications within 6 weeks of enrollment, and those having history of chronic alcohol and drug abuse, were also excluded from the study. Subjects were allowed to continue using medications to treat AD (Reminyl, Aricept, Exelon, Namenda, and Ginkgo Biloba).

Diagnosis of MCI was made using the following criteria: memory complaints, education adjusted Mini-Mental State Examination (MMSE) scores, where the adjusted MMSE = raw MMSE =  $(0.471 \times [education - 12]) + (0.131 \times [age - 70])$  with a score of 24–30 being inclusive (Mungas et al., 1996), objective memory loss ascertained by performance on education adjusted scores on the Wechsler Memory Scale Logical Memory II, Clinical Dementia Rating Scale (CDR) of  $\leq 0.5$ , modified Hachinski score <4, and Geriatrics Depression Scale (GDS) <6. We also excluded persons with probable dementia according to National Institute of Neurological and Communicative Disorders and Stroke/Alzheimer's Disease and Related Disorders Association (MINCDS/ADRDA) criteria, and those having memory loss from medical, neurological, or psychiatric conditions, medication effects or delirium.

#### 2.2. Level II screening

From the remaining eligible volunteers, we acquired vital signs and anthropometric measurements, and then performed detailed general physical and neurological examinations. We collected fasting blood samples to assess plasma lipoprotein/lipids, hemoglobin and hematocrit, basic metabolic panel (BMP), rapid plasma reagin (RPR) and plasma levels of thyroxine ( $T_4$ ), and thyroid stimulating hormone from all eligible volunteers.

The remaining qualified and willing volunteers underwent a maximal 132 treadmill exercise test using the Bruce protocol (Bruce and Hornsten, 133 1969) to screen for cardiovascular disease (CVD). The test was terminated 134 when the subject could no longer continue, or CVD signs and symptoms 135 occurred (American College of Sports Medicine Position Stand, 1998a). 136 CVD indicators including blood pressure, heart rate, and ECG were record- 137 ed before the test, at the end of every exercise stage, and every 2 min for 138 6 min after discontinuing the test. The test was terminated if the subject 139 had >2-mV ST-segment depression, extra systole, chest pain, arrhyth- 140 mias, hypotension or dizziness, or significant ST segment elevation during 141 the treadmill tests (American College of Sports Medicine Position Stand, 142 1998a; White and Evans, 2001). The maximal oxygen consumption 143 (VO<sub>2</sub>max) was determined using a validated customized on-line system 144 (K4b<sup>2</sup> by Cosmed, Chicago Illinois). As part of the initial evaluation and 145 prior to the treadmill screening tests, each participant had a brain MRI 146 to exclude significant intracranial pathology (such as clinically significant 147 cerebrovascular disease including cortical infarcts, strategically located 148 subcortical gray matter or extensive white matter abnormalities). Prior 149 to randomization, study partners and subjects were instructed to 150 maintain regular caloric intake during the study period, and maintain 151 an American Heart Association Step 1 diet: <30% of energy from fat, 152 ~55% from carbohydrate, ~15% from protein, and cholesterol intake 153 <300 mg/day. 154

#### 2.3. Randomization and blinding procedures

Randomization of subjects occurred prior to baseline tests. All staff, 156 except those directly monitoring exercise-training, were blinded to 157 group assignments. The data were de-identified using assigned unique 158 identifiers for labeling and tracking.

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#### 2.4. Baseline testing

At the completion of dietary stabilization and randomization, sub-  $^{161}$  jects were familiarized with treadmill screening tests, before undergo-  $^{162}$  ing the actual treadmill exercise test. We then determined baseline  $^{163}$  VO $_2$ max and endurance capacity, using a modified Bruce protocol. Dis-  $^{164}$  continuation criteria for this test were similar to those used for the  $^{165}$  level II screening test.

During the 24-hour period prior to blood drawing for baseline tests, 167 participants were instructed not to have anything by mouth, consume 168 no alcohol or smoke cigarettes, and to not use any anti-inflammatory 169 medications. Additionally, subjects were told to refrain from exercise 170 for 72 h prior to testing, and to confirm that they had no infection in 171 the week prior to testing. For the gene expression analyses, and prior 172 to the initiation of exercise-training, we collected overnight fasting 173 blood samples using sterile techniques by personnel trained in phlebotomy, and stored samples in heparinized collection tubes. Subsequently, 175 the samples were centrifuged at 500 g after which the buffy coat layer 176 containing leukocyte population was removed and stored in aliquots 177 at  $-80\,^{\circ}\text{C}$ .

#### 2.5. Aerobic exercise-training protocol

We inferred each subject's maximum heart rate from baseline  $180 \text{ VO}_2$ max tests. Both the intervention (aerobic exercise) and control 181 (stretch exercise) groups underwent supervised training 3 times/week. 182 cm

The aerobic exercise protocol complied with the American College of 183 Sports Medicine Guidelines (ACSM) (American College of Sports Medicine position stand, 1990), and included treadmill walking or jogging, 185 stair-stepping, and elliptical climbing. Subjects underwent a warm up 186 period, followed by exercise-training and an appropriate cool-down 187 period. Initial training sessions lasted 20 min at 50% VO<sub>2</sub>max, while 188 study staff used records of exercise heart rate and duration to monitor 189 protocol compliance. During each session, training duration increased 190 by 5 min/week until subjects completed 40 min of exercise at 50% 191

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