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# Existence and multiplicity of solutions for the Kirchhoff equations with asymptotically linear nonlinearities



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#### ABSTRACT

In this paper we study the nonlinear Kirchhoff equations on the whole space. We show the existence, non-existence, and multiplicity of solutions to this problem with asymptotically linear nonlinearities. This result can be regarded as an extension of the result in Li et al. (2012).

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### 1. Introduction and main result

In this paper, we study the existence, non-existence, and multiplicity of solutions to the following Kirchhoff equation in  $\mathbb{R}^N$   $(N \ge 3)$ :

$$-\left(1+b\int_{\mathbb{R}^N}(|\nabla u|^2+V(x)u^2)dx\right)\Delta u+V(x)u=f(u)\quad\text{in }\mathbb{R}^N,\tag{$\mathcal{P}$}$$

where b > 0 is a parameter, V(x) and f(u) satisfy the following hypotheses:

(V1) 
$$V(x) \in C(\mathbb{R}^N, \mathbb{R})$$
 and  $V(x) \equiv V(|x|) \geq V_0 > 0$  for all  $x \in \mathbb{R}^N$ ;

(V2) 
$$\lim_{|x|\to\infty} V(x) = V(\infty) \in (0, +\infty);$$

(F1) 
$$f \in C(\mathbb{R}, \mathbb{R})$$
 and  $\lim_{s \to 0} \frac{f(s)}{s} = 0$ ;

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(F2) there exists  $l \in (\Lambda, V(\infty))$  such that  $\lim_{|s| \to \infty} \frac{f(s)}{s} = l$ , where  $\Lambda$  is the infimum of the spectrum of the Schrödinger operator  $-\Delta + V$ , i.e.,

$$\varLambda = \inf_{u \in H^1(\mathbb{R}^N) \backslash \{0\}} \frac{\int_{\mathbb{R}^N} (|\nabla u|^2 + V(x)u^2) dx}{\int_{\mathbb{R}^N} u^2 dx};$$

(F3) f(-s) = f(s) for all  $s \in \mathbb{R}$ .

Let us define the functional  $\mathcal{I}_b(u): H^1_r(\mathbb{R}^N) \to \mathbb{R}$  by

$$\mathcal{I}_{b}(u) = \frac{1}{2} \int_{\mathbb{R}^{N}} (|\nabla u|^{2} + V(x)u^{2}) dx + \frac{b}{4} \left( \int_{\mathbb{R}^{N}} (|\nabla u|^{2} + V(x)u^{2}) dx \right)^{2} - \int_{\mathbb{R}^{N}} F(u) dx, \tag{1}$$

where  $H_r^1(\mathbb{R}^N)$  denotes a radial function Sobolev space and  $F(u) = \int_0^u f(t)dt$ . Then, the critical points of  $\mathcal{I}_b \in C^1$  provide the solutions of  $(\mathcal{P})$ .

The problem  $(\mathcal{P})$  is related to the stationary analogue of the Kirchhoff equation

$$u_{tt} - \left(1 + b \int_{\Omega} |\nabla_x u|^2 dx\right) \Delta_x u = g(x, u) \tag{2}$$

which was proposed by G. Kirchhoff in 1883 (see [1]) as a generalization of the well-known d'Alembert's wave equation

$$\rho \frac{\partial^2 u}{\partial t^2} - \left( \frac{P_0}{h} + \frac{E}{2L} \int_0^L \left| \frac{\partial u}{\partial x} \right|^2 dx \right) \frac{\partial^2 u}{\partial x^2} = g(x, u)$$

for free vibrations of elastic strings. Kirchhoff's model takes into account the changes in length of the string produced by transverse vibrations. Here, L is the length of the string, h is the area of the cross section, E is the Young modulus of the material,  $\rho$  is the mass density and  $P_0$  is the initial tension. The early classical studies of Kirchhoff equation were those of S. Bernstein [2] and S. I. Pohožaev [3]. However, Eq. (2) received great attention only after that J.-L. Lions [4] proposed an abstract framework for the problem.

Recently, using variational method, J. Jin and X. Wu [5] obtained the existence of infinitely many radial solutions for problem  $(\mathcal{P})$  with V(x)=1 in  $\mathbb{R}^N$  using the Fountain theorem. Next, A. Azzollini et al. [6] get a multiplicity result concerning the critical points of a class of functionals involving local and nonlocal nonlinearities, then they apply their result to the nonlinear elliptic Kirchhoff equation  $(\mathcal{P})$  in  $\mathbb{R}^N$  assuming on the local nonlinearity has the general hypotheses introduced by H. Berestycki and P.-L. Lions [7]. In [8], A. Azzollini presents a very simple proof of the existence of at least one nontrivial solution for a Kirchhoff-type equation on  $\mathbb{R}^N$ , for N>3. In particular, in the first part of the paper he is interested in studying the existence of a positive solution to the elliptic Kirchhoff equation under the effect of a nonlinearity satisfying the general Berestycki–Lions assumptions. In the second part, he looks for ground states using minimizing arguments on a suitable natural constraint. Very recently, Y. Li et al. [9] used A. Azzollini's idea to study the existence of at least one positive radial solution to the following nonlinear Kirchhoff-type equation:

$$\left(a + \lambda \int_{\mathbb{R}^N} |\nabla u|^2 + \lambda b \int_{\mathbb{R}^N} u^2\right) \left[-\Delta u + bu\right] = f(u) \tag{3}$$

in  $\mathbb{R}^N$ , where  $N \geq 3$ , a,b are positive constants, and  $\lambda \geq 0$  is a parameter. The main result does not assume the usual compactness conditions. A cut-off functional associated with (3) is utilized to obtain bounded Palais–Smale sequences. Their result can be regarded as an extension of a classical result for the semilinear equation

$$-\Delta u + bu = f(u)$$

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