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## Nonlinear Analysis: Real World Applications





## Homoclinic solutions for a class of second-order Hamiltonian systems\*

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#### ABSTRACT

A new result for the existence of homoclinic orbits is obtained for the second-order Hamiltonian systems  $\ddot{u}(t) + \nabla V(t, u(t)) = f(t)$ , where  $t \in R, u \in R^n$  and  $V \in C^1(R \times R^n, R)$ , V(t, x) = -K(t, x) + W(t, x) is T-periodic with respect to t, T > 0 and  $f: R \to R^n$  is a continuous and bounded function. This result generalizes and improves some existing results in the known literature.

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#### 1. Introduction and main results

In this paper, we shall study the existence of homoclinic orbits for the following second-order Hamiltonian systems

$$\ddot{u}(t) + \nabla V(t, u(t)) = f(t), \tag{HS}$$

where  $t \in R$ ,  $u \in R^n$ ,  $f : R \to R^n$  and  $V : R \times R^n \to R$ . As usual, we say that a solution u(t) of (HS) is nontrivial homoclinic (to 0) if  $u \neq 0$ ,  $u(t) \to 0$  and  $\dot{u}(t) \to 0$  as  $t \to \pm \infty$ .

Recently, the existence and multiplicity of periodic solutions and homoclinic orbits for system (HS) have been studied extensively via critical point theory (see [1–24]). Most of them deal with the superquadratic case (see [1–4,6–16,19–21]) and [5,17,22–24] deal with the subquadratic case. Moreover, many evolution processes are characterized by the fact that at certain moments of time they experience a change of state abruptly; thus impulsive differential equations appear as a natural description of observed evolution phenomena of several real world problems. Due to their applications in many fields, second-order Hamiltonian systems with impulses via critical point theory have been recently considered in [25,26], and in [27], Tian et al. studied some boundary value problems for impulsive differential equations by variational approach.

In recent paper [9], Izydorek and Janczewska proved the following theorem.

**Theorem A** (See [9]). Assume that V and f satisfy the following conditions:

(V1) 
$$V(t,x) = -K(t,x) + W(t,x)$$
, where  $K,W: R \times R^n \to R$  are  $C^1$ -maps,  $T$ -periodic with respect to  $t,T>0$ ;

(V2) there are constants 
$$b_1, b_2 > 0$$
 such that for all  $(t, x) \in R \times R^n$ 

$$|b_1|x|^2 < K(t,x) < |b_2|x|^2$$
;

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- (V3) for all  $(t, x) \in R \times R^n$ ,  $K(t, x) \leq (\nabla K(t, x), x) \leq 2K(t, x)$ ;
- (V4)  $\nabla W(t, x) = o(|x|)$ , as  $|x| \to 0$  uniformly with respect to t;
- (V5) there is a constant  $\mu > 2$  such that for every  $t \in R$  and  $x \in R^n \setminus \{0\}$

$$0 < \mu W(t, x) \le (\nabla W(t, x), x);$$

(V6)  $f: R \to R^n$  is a continuous and bounded function;

(V7) let  $\bar{b}_1 = \min\{1, 2b_1\} > 2M, 0 < \beta < \bar{b}_1 - 2M$  and

$$\int_R |f(t)|^2 dt \le \left(\frac{\beta}{2C^*}\right)^2,$$

where

$$M = \sup\{W(t, x) | t \in [0, T], x \in \mathbb{R}^n, |x| = 1\},\tag{1.1}$$

and  $C^*$  is a positive constant determined by (1) in [9] and depends upon T. When  $T \ge \frac{1}{2}$ ,  $C^* = \sqrt{2}$ . Then system (HS) possesses a nontrivial homoclinic solution.

We notice that the specific form of  $C^*$  is not given when  $0 < T < \frac{1}{2}$  in [9] and even if f = 0, it is still possible that condition (V7) is not satisfied by (HS). Therefore, Theorem A does not generalize completely previous results such as [15] in this sense. Motivated by papers [9,15], in this paper, we will obtain a new criterion for guaranteeing that (HS) has one nontrivial homoclinic solution by using more general conditions, especially, W(t,x) satisfies a kind of new superquadratic condition which is different from the corresponding condition in the known literature. The main results are the following theorems.

**Theorem 1.1.** Assume that V and f satisfy assumptions (V1), (V3), (V6) and the following conditions:

(H1) there is a constant b > 0 such that

$$K(t,0) = 0$$
,  $K(t,x) \ge b|x|^2$ , for all  $(t,x) \in R \times R^n$ .

- (H2)  $W(t, 0) \equiv 0$  and  $\nabla W(t, x) = o(|x|)$ , as  $|x| \to 0$  uniformly with respect to t;
- (H3) there are two constants  $\mu > 2$  and  $\nu \in [0, \mu 2)$  such that

$$0 < \mu W(t, x) < (\nabla W(t, x), x) + \nu b|x|^2$$
, for all  $(t, x) \in \mathbb{R} \times \mathbb{R}^n \setminus \{0\}$ ;

(H4)

$$\liminf_{|x| \to \infty} \frac{W(t, x)}{|x|^2} > \frac{\pi^2}{2T^2} + m_1,$$

uniformly with respect to t, where

$$m_1 = \sup\{K(t, x) | t \in [0, T], \ x \in \mathbb{R}^n, |x| = 1\};$$
 (1.2)

(H5)

$$\int_{\mathbb{R}} |f(t)|^2 dt < \frac{1}{C^2} \left( \min \left\{ \frac{\delta}{2}, \left( 1 - \frac{\nu}{\mu - 2} \right) b\delta - M\delta^{\mu - 1} \right\} \right)^2,$$

where M is determined by (1.1),  $C = \sqrt{2}(1 + [\frac{1}{2T}])^{1/2}$  and  $\delta \in (0, 1]$  such that

$$\left(1 - \frac{\nu}{\mu - 2}\right)b\delta - M\delta^{\mu - 1} = \max_{x \in [0, 1]} \left(\left(1 - \frac{\nu}{\mu - 2}\right)bx - Mx^{\mu - 1}\right). \tag{1.3}$$

Then, system (HS) possesses a nontrivial homoclinic solution.

**Remark 1.1.** Since  $\nabla K(t, x) = \nabla [K(t, x) - K(t, 0)]$ , so we can replace K(t, x) by K(t, x) - K(t, 0) if  $K(t, 0) \neq 0$ . Furthermore, we can treat W(t, x) by the same method.

**Remark 1.2.** Conditions (H3) and (H4) are weaker than (V5) because condition (V5) implies that  $\lim_{|x|\to\infty} \frac{W(t,x)}{|x|^2} = +\infty$ .

In order to receive a homoclinic solution of (HS), similarly to paper [9], we consider a sequence of differential equations:

$$\ddot{u}(t) + \nabla V(t, u(t)) = f_k(t), \tag{HS}_k$$

where  $f_k: R \to R^n$  is a 2kT-periodic extension of restriction of f to the interval [-kT,kT],  $k \in N$ . We will prove the existence of one homoclinic solution of (HS) as the limit of the 2kT-periodic solutions of (HS $_k$ ) as in [9]. However, some technical details in this paper are different from [9].

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