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# Drug encapsulated polymeric microspheres for intracranial tumor therapy: A review of the literature \*\*.\*\*\*

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## ABSTRACT

Despite intensive surgical excision, radiation therapy, and chemotherapy, the current life expectancy for patients 18 diagnosed with glioblastoma multiforme is only 12 to 15 months. One of the approaches being explored to 19 increase chemotherapeutic efficacy is to locally deliver chemotherapeutics encapsulated within degradable,  $\,20$ polymeric microspheres. This review describes the techniques used to formulate drug encapsulated micro- 21 spheres targeted for intracranial tumor therapy and how microsphere characteristics such as drug loading and 22 encapsulation efficiency can be tuned based on formulation parameters. Further, the results of in vitro studies 23 are discussed, detailing the varied drug release profiles obtained and validation of drug efficacy. Finally, in vivo 24 results are summarized, highlighting the study design and the effectiveness of the drug encapsulated 25 microspheres applied intracranially.

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## 1. Introduction

Malignant tumors of the brain and spinal cord are diagnosed in over 20,000 men and women in the United States in 2014 [1,2]. Over half of these will prove fatal to the patient. The fastest growing and most common malignant tumors originate in the glial or support cells of the brain. These tumors include astrocytomas, oligodendrogliomas, ependymomas, and the most common and aggressive type, glioblastoma multiforme [2,3]. Ultimately, despite treatments including a combination of surgical resection, chemotherapy, and radiation [4], these tumors will regrow. After diagnosis, a 12–15 month survival period is expected [5,6]. This low survival rate is attributed to such factors as tumor drug resistance, intracellular drug metabolism, and limited drug uptake, in part due to the major obstacle of the blood brain barrier which prevents many systemic therapies from reaching the brain [7-9]. In addition, complete tumor removal is difficult to achieve without causing brain damage. Tumor reccurrence is generally within 2 cm of the primary tumor site, in part due to tumor remnants, emphasizing the need for a localized and sustained delivery of therapeutic agents to treat the new growth and bypass the blood brain barrier [10].

To address the need for a localized drug delivery system to target tumor regrowth, the scientific community has developed different approaches for intracerebral therapy including implantable reservoirs, biodegradable drug carriers, and convection-enhanced delivery [6,11, 12]. Currently, the only FDA approved intracerebral therapy is the application of GLIADEL® wafers at the site of tumor resection. These wafers are a degradable polymeric matrix composed of 1,3-bis(p-carboxyphenoxy)propane (CPP) and sebacic acid (SA), loaded with carmustine [13]. Locally implanted, GLIADEL® wafers degrade over time, delivering the payload in a controlled manner to the tumor site. Combined with tumor resection and radiation therapy, the GLIADEL® wafers were shown to increase patient survival by approximately two months [14].

The use of biodegradable drug carriers like GLIADEL® wafers are a promising avenue for intracranial delivery due to their steady-state release, minimized systemic side effects associated with localized delivery, and increased patient convenience from the therapy due to drug release over several weeks to months. However, polymeric wafers are just one type of biodegradable drug carrier.

Polymeric microspheres and nanoparticles are alternative delivery vehicles for a localized, glioma therapy. While the systemic administration of polymeric, drug-loaded nanoparticles, ranging from 10 to 1000 nm in size, is minimally effective due to a low percentage of particles that successfully cross the blood brain barrier and enter the brain, the localized administration of "penetrating" nanoparticles have demonstrated therapeutic efficacy in vivo [15–17]. Microspheres are also applied after tumor resection, overcoming the blood brain barrier and allow for a slow, long term release of a chemotherapeutic. However, microspheres offer the potential for greater suspension stability, high drug loadings, and lower burst release due to their lower surface area to volume ratio [18]. Thus, there are positive aspects to both microsphere and nanoparticle delivery of therapeutics to brain tumors. However, this article will focus on microspheres for brain cancer drug delivery.

While microspheres for controlled drug delivery have been reviewed [19–22], their potential use for glioma treatment has not been considered in an overview article. Herein, the methodologies for forming degradable, drug loaded polymeric microspheres for the localized treatment of brain tumors will be reviewed together with in vitro and in vivo results, encompassing the various small and large molecule therapeutics that have the potential for positively impacting brain tumor therapy.

In this review article, we are not necessarily advocating microsphere delivery of cancer therapeutic drugs as the ideal treatment modality, but rather summarizing the published literature on this widely used strategy for treatment. The fact that there is such an extensive literature

on microsphere drug delivery for treating brain tumors suggests that 122 many leading practitioners and researchers consider microsphere 123 deliver a viable contender for advanced brain tumor therapies. 124

## 2. Microsphere formation methodology

While there are many reviews detailing the formulation and 126 characterization of microspheres for drug delivery purposes [19–21, 127 23,24], this section endeavors to provide an overview of the techniques 128 used to prepare chemotherapeutic encapsulated microspheres specifi- 129 cally for intracranial tumor therapy. In addition, this section provides 130 insight into the parameters that can be manipulated to achieve micro- 131 spheres with tailored properties, e.g., size, morphology, drug loading, 132 encapsulation efficiency, etc.

#### 2.1. Single emulsion

The single emulsion solvent evaporation (or solvent extraction) 135 technique, also called the oil-in-water (O/W) emulsion, is a common 136 method for forming drug encapsulated polymeric microspheres for 137 intracerebral therapies [25–43]. As shown in Fig. 1, the polymer to 138 form the microsphere, poly(lactic-co-glycolic acid) (PLGA) or 139 poly(lactic acid) (PLA) for example, is dissolved in an organic solvent, 140 usually methylene chloride, along with the drug to be encapsulated. 141 This "oil" solution is added to an aqueous solution containing a stabilizer, e.g., poly(vinyl alcohol) (PVA), and homogenized. The organic 143 solvent is then removed by evaporation and the drug encapsulated 144 microspheres are recovered. A variation of the single emulsion method 145 can use an acetone/mineral oil emulsion instead of the organic and 146 water system [30].

A variety of chemotherapeutics including temozolomide, bis- 148 chloroethylnitrosourea (BCNU), paclitaxel, 5-fluoruracil (5-FU), and 5- 149 iodo-2'-deoxyuridine (IUdR) have been encapsulated in polymeric mi- 150 crospheres by the single emulsion technique for intracranial tumor 151 therapy [26–31,38]. Based on the polymer to oil ratio, homogenizing 152 speed, drug concentration, stabilizer concentration, and other formula- 153 tion parameters, the sphere size, morphology, drug loading and 154 encapsulation efficiency can be tailored.

For example, Zhang et al. has shown that both polymer concentra- 156 tion and stirring rate can affect the size of temozolomide loaded PLGA 157 microparticles [27]. For a PLGA concentration increased from 5 to 158 13.33% (w/v), microparticle size changed from 55.2 to 73.6 µm, respectively. This is attributed to the increase in viscosity that comes from 160

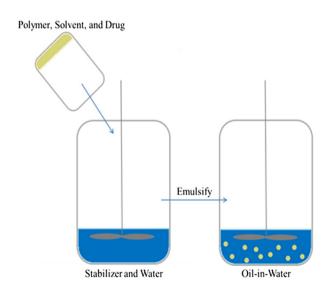


Fig. 1. Schematic of the oil-in-water (O/W) solvent evaporation technique for microsphere formation.

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