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Review Article

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Nanoparticle therapeutics: Technologies and methods for overcoming cancer

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ABSTRACT

It is anticipated that by 2030 approximately 13 million people will die of cancer. Common cancer therapy often fails due to the development of multidrug resistance (MDR), resulting in high morbidity and poor patient prognosis. Nanotechnology seeks to use drug delivery vehicles of 1–100 nm in diameter, made up of several different materials to deliver anti-cancer drugs selectively to cancer cells and potentially overcome MDR. Several technologies exist for manufacturing and functionalizing nanoparticles. When functionalized appropriately, nanoparticles have been shown to overcome several mechanisms of MDR *in vivo* and *in vitro*, reduce drug side effects and represent a promising new area of anti-cancer therapy. This review discusses the fundamental concepts of enhanced permeability and retention (EPR) effect and explores the mechanisms proposed to enhance preferential "retention" in the tumour. The overall objective of this review was to enhance our understanding in the design and development of therapeutic nanoparticles for treatment of cancer.

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46 1. Introduction

47 Cancer is a disease that kills millions of people every year and it
48 does not discriminate between age, gender, ethnicity or social eco49 nomic state. Cancer may arise from external insults that damage
50 DNA, such as environmental exposure to carcinogens i.e. UV light,
51 cigarette smoke, by spontaneous genetic mutations or by epige52 netic changes [1].

Cancer is commonly treated by chemotherapy, which uses cyto-53 toxic agents that are not selective to cancerous cells and are there-54 55 fore toxic to healthy labile cells. Chemotherapy often fails, even in 56 an adjuvant setting after radiotherapy and surgery to remove the 57 tumour [1,2]. One of the reasons cancer chemotherapy fails is 58 because tumours are very resilient and develop resistance to several multimodal cytotoxic drugs; this is termed multidrug resis-59 60 tance. Understanding the molecular mechanisms of multidrug resistance is essential for the development of more suitable treat-61 ments that reduce morbidity, improve quality of life and improve 62 63 patients' prognosis. 64

Over the last few years a significant number of novel cancer treatments have emerged, but not many continue to be under clin-

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ical investigation and some have proved unsuccessful at curing cancer. Nanotechnology however seems promising, as it aims to develop nanoparticles that can evade the immune system, and use the tumour's own environment to deliver cytotoxic drugs such as cisplatin, paclitaxel or doxorubicin selectively to cancer cells, avoiding efflux pumps. The aim of this literature review was to briefly explain the molecular aetiology of cancer and the development of multidrug resistance from the point of view of potential nanoparticle therapy approaches. It will also look into some of the technologies for manufacturing nanoparticles, discuss nanotoxicity and provide some insight into future research.

2. The Big Scary C Word: cancer

Cancer is the term given to describe the malignant, autonomous growth of cells that have undergone epigenetic changes and genetic mutations and thus escaped normal cell cycle and displayed various degrees of similarities to their precursors [1].

Cancer poses a huge burden for the health system; it is highly prevalent in both developed and underdeveloped countries. In 2012, cancer was the leading cause of death resulting in approximately 8.2 million deaths worldwide [1]. The global burden of cancer is expected to reach >13 million deaths per year by 2030 and the overall age-standardized cancer incidence rate is 87

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approximately 25% higher in men than in women [1]. In regions
such as Australia and New Zealand the cancer incidence rate is
365 per 100,000 [2].

91 Cancer results from insults to DNA that cause genetic muta-92 tions, or from spontaneous genetic mutations that occur in somatic 93 cells randomly, or through exposure to environmental carcinogens 94 such as cigarettes, human papilloma virus (HPV), and human 95 immunodeficiency virus (HIV). These account for over 90% of all cancers [3,4]. The remaining 10% have an inherited origin such as 96 97 breast cancer 1 (BRCA1), breast cancer 2 (BRCA2) for familial breast 98 cancer [5], or adenomatous polyposis coli (APC) for familial adeno-99 matous polyposis colorectal cancers [4]. An understanding of the 100 molecular basis of cancer is necessary in order to develop appropriate methods of treatment. 101

102 2.1. The genetic basis of cancer

103 Cancer originates from genetic mutations that inactivate 104 tumour suppressor genes and/or activate oncogenes [1,5]. There 105 are several types of mutations, and these include frameshift muta-106 tions (altered reading frame), missense mutations (altered amino 107 acid), or nonsense mutations (truncation of protein product) 108 [5,6]. Mutations may arise from a combination of environmental 109 and life-style factors but it is difficult to establish a cause-effect 110 relationship. These mutations often affect proteins responsible 111 for the repair of DNA damage, cellular function, cell division and 112 programmed cell death (apoptosis) such as p53; thus, loss of these 113 functions is beneficial for cancer formation and progression [1,5,6]. 114 In normal cells, following DNA damage, several mechanisms are in 115 place to repair the damage, but if the damage cannot be fixed, the 116 cells undergo apoptosis (without inflammation). This mechanism 117 is classically lost in >50% of all cancers (ref). A classic tumour sup-118 pressor gene affected by mutation is p53; p53 mediates apoptosis 119 when DNA repair fails, by binding to ubiquitin ligase and inducing 120 proteasomal degradation; ataxia telangiectasia mutated (ATM) 121 and ataxia telangiectasia and Rad3-related (ATR) protein recog-122 nizes DNA damage and phosphorylates p53 thus activating it [1]. 123 Another example is RAS, an oncogene from the Ras-superfamily 124 of proteins involved in the regulation of signal transduction path-125 ways and growth regulation that involve GTPase, often activated by viruses such as HIV and HPV to induce tumorigenesis [5]. 126

127 There are several genes involved and identified as either 128 tumour suppressor or oncogenes, and they have several functions; however, there are several other genes that are also associated 129 130 with malignant neoplasia that has not been classified as such but 131 also can cause cancer. These fall into six main categories, or hall-132 marks of cancer [1,5,7,8] that represent classic characteristics of 133 cancer not seen in healthy cells thus permitting intervention. These are as follows: 134

- (1) Loss of contact inhibition via aberrant cell adhesionproperties.
 - (2) Failure to undergo apoptosis (dysfunctional cell cycle checkpoints) or evading growth suppressors.
 - (3) Exaggerated response to growth inducing agents or reduced responsiveness to growth regulating signals.
 - (4) Immune defence unresponsiveness.
 - (5) Irregular or induced angiogenesis.
 - (6) Immortalization through the gain of telomerase activity.
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145 2.2. *The epigenetic basis of cancer*

Several epigenetic mechanisms of malignant neoplasia have
been elucidated recently, but for the purpose of this review they
will only be briefly explained.

Epigenetic inheritance is the flow of information between cell149generations that is not encoded in the primary sequence of the150DNA, and is mediated partially by changes in histone acetylation,151histone methylation and DNA methylation [6,7,9]. Transcription152of genes is only possible if the appropriate transcription factors153are present, if histones are appropriately acetylated and methy-154lated and if cytosine residues in promoters are unmethylated [7].155

Epigenetic factors that result in malignant neoplasia, cancer, 156 include global DNA methylation resulting in the reduction in the 157 proportion of methylated CpGs [9,10]. Hypomethylation of CpG 158 islands activates oncogenes such as H-Ras and cyclin D2, and the 159 multi-drug resistance gene MDR-1 [10]. Hypomethylation of peri-160 centrometric satellite sequences causes the decondensation of 161 mitotic recombination, aneuploidy and chromosomal instability 162 as seen in many carcinomas [11]. Hypermethylation of regional 163 DNA, consequently CpGs, is also carcinogenic. Hypermethylated 164 CpG islands in the promoters of tumour suppressor genes (TSGs) 165 cause silencing of genes resulting in one of two hits: (1) one allele 166 of TSG may be mutant; and (2) hypermethylation selectively 167 silences the wild-type allele [11,12]. Hypermethylation of TSGs 168 may impact on several genes resulting in malignant neoplasia. 169 For example, hypermethylation of GSTP1 (encoding glutathione S-170 transferase pi) genes, which encodes for a family of enzymes that 171 play an important role in detoxification, can cause accumulation 172 of DNA adducts, hypermethylation of CDKN2A (encoding cyclin-173 dependent kinase inhibitor 2A) which encodes for proteins 174 involved in tumour suppression, can cause cell cycle deregulation, 175 and P14ARF (encoded by an alternate reading frame within the 176 CDKN2A gene) can cause loss of p53 function, and APC (encoding 177 tumour suppressor proteins) which causes gain of stem cell func-178 tion [12]. 179

2.3. Inflammatory basis of cancer

About 15–25% of cancers arise from chronic inflammation, sometimes associated with infection (i.e. Crohn's disease, hepatitis, cystitis and others) [13,14]. Inflammation on its own is not sufficient to cause carcinogenesis but can promote the right environment (rich in inflammatory cells, growth factors, DNA-damaging agents such as oxidative stress), and promote the proliferation of cancer cells [13,14].

In colorectal cancer, inflammation turns off the inhibitor of NFκB (nuclear factor kappa B) resulting in elevated release of pro-inflammatory cytokines, damaging the gastric mucosa [13]. Monocytes can be recruited to the site of inflammation but the immunosuppressive tumour environment alters the phenotype of these cells resulting in a scavenger phenotype that produces growth factors such as hepatocyte growth factor (HGF), epidermal growth factor receptor (EGFR) and vascular endothelial growth factor (VEGF). VEGF in particular results in hypoxic activation of HIF-1 α (hypoxia inducible factor 1) and soluble CSF-1 (colony stimulating factor 1) in avascular tumour areas which favours the tumour. Macrophage migration inhibitor factor (MIF) and suppressor of p53, as well as tumour growth factor β (TGF β) which are immunosuppressive growth factors are also activated in an inflammatory environment [14,15]. These effects lead to the propagation of DNA damage which can further promote carcinogenesis.

3. Current methods of cancer treatment

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The appropriate cancer therapy treatment is dependent on the205type and stage of cancer, age and gender of the patient. The three206main methods of treatment include chemotherapy, radiotherapy207and surgery. Chemotherapy and radiotherapy are commonly used208methods of cancer treatment, often given as adjuvant to each209

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