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2 **Research** Paper

Tumor targeting using polyamidoamine dendrimer-cisplatin nanoparticles functionalized with diglycolamic acid and herceptin 5

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1. Introduction 53

Therapeutic efficacy of cisplatin, the key drug employed in the 54 treatment of variety of solid tumors, is often limited by various 55 side effects such as nephrotoxicity, neurotoxicity, ototoxicity, nau-56 sea and vomiting [1-3]. As a consequence, the quality of patient's 57 life worsens and becomes even more threatening than the pre-58 existing conditions. Nanoparticle mediated drug delivery has 59 60 shown promise in enhancing the therapeutic index of several 61 drugs, including cisplatin, by circumventing several impediments 62 encountered during conventional chemotherapy [4,5]. They are 63 potential enough to carry large payloads of drug, protect them

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ABSTRACT

Polymer mediated drug delivery system represents a novel promising platform for tumor-targeting with reduced systemic side effects and improved chemotherapeutical efficacy. In this study, we report the preparation and characterization of herceptin targeted, diglycolamic acid (DGA) functionalized polyamidoamine (PAMAM) dendrimer as a potent drug carrier for cisplatin. DGA dendrimers carrying cisplatin demonstrated enhanced anticancer activity when targeted with herceptin. In vitro cell line studies with herceptin-DGA-G4-cisplatin in HER-2 +ve and HER-2 -ve human ovarian cancer cell lines showed that these nanoparticles possessed remarkable features such as lower IC₅₀ value, improved S-phase arrest, and enhanced apoptosis due to increased cellular uptake and accumulation than the untargeted DGA-G4-cisplatin and free cisplatin. Furthermore, in vivo results in SCID mice bearing SKOV-3 tumor xenografts, herceptin-DGA-G4-cisplatin, appeared to be more effective in inducing tumor regression as compared to free cisplatin. Collectively, these results indicate that herceptin targeted DGA functionalized PAMAM-cisplatin conjugates serve as better anti-tumor agents than individual therapeutic agents.

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from physiological barriers and enable its sustained release within 64 the desired site of action. These nanosystems passively provide 65 better accumulation of drug in tumors through a mechanism pop-66 ularly known as enhanced permeation and retention (EPR) effect 67 [6]. Additionally, the tumor selectivity of nanoparticles can be fur-68 ther enhanced in an active manner by employing a targeting moi-69 70 ety [7]. The surface of nanoparticles can be functionalized with specific ligands that target corresponding cellular receptors over-71 expressed in cancer cells [8]. Among numerous cell surface recep-72 tors that are present on malignant cells, HER-2 a receptor tyrosine 73 kinase presents itself as an interesting target for achieving tumor 74 specific drug delivery. Being a member of epidermal growth factor 75 (EGF) family, HER-2 is over-expressed in 30-40% of all breast and 76 ovarian cancers and has been strongly associated with poor clinical 77 outcomes [9,10]. Considering HER-2 as a potential candidate for 78 targeted therapy, a recombinant humanized monoclonal antibody 79 Trastuzumab (Herceptin) was developed and later approved by 80 U.S. Food and drug administration (FDA) for the treatment of breast 81

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82 cancer [11]. Besides, being a therapeutic agent, herceptin is also 83 widely employed as a targeting ligand in nanoparticle-drug conju-84 gates, to specifically target HER-2 expressing tumors and maximize 85 the intracellular concentration of chemotherapeutics, thereby preventing the side effects encountered due to non-specific drug 86 87 interactions [12–14].

88 In this study, we have enhanced the targeting specificity of a pH 89 sensitive diglycolamic acid functionalized PAMAM dendrimer with 90 the aid of herceptin. DGA-PAMAM-cisplatin of generation DGA-G1-DGA-G3 has been previously reported to be a potent pH sensitive 91 92 drug carrier in human breast and ovarian cancer cell lines [15]. 93 Encouraged by the increased drug loading efficiency and enhanced in vitro toxicity of higher generation dendrimers, we selected DGA-94 G4-cisplatin in this study for targeting with herceptin. In vitro cell 95 96 line studies with herceptin-DGA-G4-cisplatin in HER-2 +ve and 97 HER-2 –ve human ovarian cancer cell lines showed that these 98 nanoparticles possessed remarkable features such as lower IC₅₀ 99 value, improved S-phase arrest, and enhanced apoptosis due to 100 increased cellular uptake and accumulation than the untargeted DGA-G4-cisplatin and free cisplatin. In vivo studies in human ovar-101 102 ian cancer xenograft model were done to further demonstrate the 103 anti-tumor efficacy of this targeted dendrimer-drug conjugate.

104 2. Materials

105 Dry methanol, dry dimethyl sulfoxide (DMSO), methyl acrylate, 106 silver nitrate and trifluoroacetic acid were purchased from Merck 107 and used as received. EDA (Merck) was purified over CaH₂ prior 108 to use. Diglycolic anhydride, cisplatin (II), o-phenylenediamine (o-PDA), triethylamine, dithiothreitol (DTT), N-ethylmaleimide 109 (NEM) and methyl thiazolyl tetrazolium (MTT) powder procured 110 111 from Sigma-aldrich were used in this study. Herceptin was purchased from Roche. Heterobifunctional crosslinkers succinimidyl 112 6-[3(2-pyridyldithio) propionamido] hexanoate (LC-SPDP) and 113 4-[N-maleimidomethyl] cyclohexane-1sulfosuccinimidyl 114 carboxylate (sulfo-SMCC) were obtained from Pierce. Vivaspin 115 TM columns (Sartorius), prepacked Sephadex G-25 PD-10 desalting 116 columns (GE healthcare life sciences) and microcon YM100 117 (Sigma-aldrich) were used for the purification of dendrimer cis-118 119 platin conjugates.

2.1. Methods 120

2.1.1. Synthesis of partial DGA-functionalized G4 PAMAM dendrimer 121 Total number of amine groups in G4 PAMAM dendrimer was 122 partially DGA functionalized leaving the remaining groups for 123 124 LC-SPDP conjugation to enable herceptin targeting. Briefly, to a 125 G4 dendrimer solution (0.05 g, 0.0035 mmol) in DMSO, diglycolic 126 anhydride (0.019 g, 0.163 mmol) was added drop by drop and 127 allowed to stir for 24 h at room temperature. At the end of the 128 reaction, DMSO and excess diglycolic anhydride were removed 129 from the product by dialysis against MilliQ water. Partially DGA functionalized G4 PAMAM dendrimer present in the aqueous 130 131 retentate was obtained by vacuum drying.

132 2.1.2. Synthesis of herceptin-DGA-G4 PAMAM dendrimer

Partial DGA-G4 PAMAM dendrimers were employed for her-133 134 ceptin targeting studies following the previously reported protocol 135 [16]. Briefly, the amine groups present in the partially DGA func-136 tionalized G4 PAMAM dendrimer were conjugated with LC-SPDP. The number of SPDP linked to the dendrimer was determined by 137 138 pyridine-2-thione assay as per manufacturer's instruction. 139 DGA-G4-LC-SPDP was then reduced with DTT to provide thiol 140 group which readily reacts with the maleimide group introduced

in herceptin. The final herceptin-DGA-G4 conjugate was purified 141 by ultrafiltration and used for further drug conjugation studies. 142

2.1.3. Characterization of herceptin-DGA-G4 PAMAM dendrimers

Particle size and zeta potential of the herceptin targeted and 144 untargeted DGA-G4 dendrimer (0.5 mg/ml) were analyzed using 145 Malvern NanoZS particle size analyzer. Further, to ensure the 146 absence of free herceptin in the final targeted conjugate, Sodium 147 dodecyl sulfate – polyacryl amide gel electrophoresis (SDS–PAGE) 148 was performed. Herceptin (100 $\mu g/mL)$ and herceptin-DGA-G4 149 dendrimer (100, 200 μ g/mL) were mixed with 5 μ l of sample buffer 150 (20% glycerol, 0.04% bromophenol blue in Tris-HCl pH 6.8 contain-151 ing 2% SDS) and the samples were individually loaded into each 152 well and separated on 12% resolving SDS-PAGE at 100 V for 1 h. 153 Developed gels were stained with 0.025% Coomassie Blue R-250 154 overnight, in 40% methanol and 7% acetic acid aqueous solution. 155 The gels were then destained in an aqueous solution containing 156 7% (v/v) acetic acid and 5% (v/v) methanol. 157

2.1.4. Conjugation of cisplatin with herceptin-DGA-G4 PAMAM dendrimer

Hydrolyzed cisplatin was prepared from cisplatin (1 mg/mL) using 2 mol equivalent AgNO3 and its concentration was determined spectrophotometrically at 703 nm using o-phenylenediamine (o-PDA) [17]. The conjugation of herceptin-DGA-G4 dendrimer with hydrolyzed cisplatin was carried out overnight. Unconjugated cisplatin was then removed by ultracentrifugation using Vivaspin™ columns with a 2 kDa MWCO membrane. Drug loading efficiency (DLE) of herceptin-DGA-G4 dendrimer was then determined by analyzing the concentration of unbound hydrolyzed cisplatin in the filtrate by o-PDA assay.

2.1.5. Cell culture

Human ovarian cancer cell lines PA-1 and SKOV-3 were used in this study to check the efficacy of targeted dendrimer-drug conjugates. PA-1 procured from National Center for Cell Science (NCCS), Pune, India, was grown in Minimum Essential Medium (MEM) (pH 174 7.4). SKOV-3, cultured in Dulbecco's modified Eagle's medium (DMEM) (pH 7.4), was a kind gift from Dr. Subhash Chauhan, University of South Dakota, USA. The medium was supplemented 177 with 10% fetal bovine serum, 100 U/mL penicillin, and 100 µg/mL 178 streptomycin. The cells were maintained at 37 °C in an atmosphere 179 of 5% CO₂ and 95% relative humidity. 180

2.1.6. HER-2 expression analysis by flow cytometry and Western blot

HER-2 protein expression levels in human ovarian cancer cell lines SKOV-3 and PA-1 were evaluated by Western blot and flow cytometry.

For Western blot experiment, the cells (SKOV-3 and PA-1) were lysed and protein concentration was determined by Bio-Rad DC assay. Briefly, 100 µg of total protein was resolved on 7.5% polyacrylamide gel and then transferred to an Immobilon membrane (Millipore). Membranes were incubated overnight at 4 °C with anti-HER-2 rabbit monoclonal antibody (mAb). Blots were then washed with PBS containing 0.1% Tween 20 for 15 min and incubated with a secondary antibody conjugated with peroxidase. Signals were detected using the enhanced chemiluminescence (ECL) detection system (Amersham, Buckinghamshire, UK).

For flow cytometry experiment, briefly 10⁶ cells (SKOV-3 and PA-1) were suspended in 100 µl of PBS solution containing 10% 196 FBS and exposed to the primary anti-HER-2 rabbit mAb for 197 30 min on ice. After washing three times, the cells were resus-198 pended in 100 µl of PBS solution with 10% FBS containing alexa 199 fluor 488 conjugated anti-rabbit IgG monoclonal secondary anti-200 body. The samples were then analyzed for HER-2 expression levels 201

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