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2 Research paper

⁶ Mimicking microbial strategies for the design of mucus-permeating ⁷ _{5 Q1} nanoparticles for oral immunization

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1. Introduction

The oral administration of bioactive products (i.e., drugs, anti-50 gens or immunomodulators) is an attractive and desirable option 51 under diverse points of view: economic, safety (needle-free), easi-52 53 ness and efficiency, particularly for vaccine delivery, taking into account that oral vaccination can induce a systemic, including 54 55 mucosal, immune response [1]. However, this practice has to face with a hard and very well organized frontier, the mucosa: a mucus 56 secreting epithelium that lines the internal parts of the body. The 57 58 intestinal mucosa is made up of epithelium, lamina propria, and muscularis mucosae. The epithelium is constituted by cells that 59 are held together by tight junctions, which effectively form a seal 60 61 against the external environment. In addition, there are two extra 62 levels of protection against the outer milieu, the secreted mucus layer and the apical glycocalyx (Fig. 1). Globally considered these 63 layers constitute the mucus that covers the tips of microvilli on 64 65 the apical surfaces of intestinal enterocytes [2]. Mucus provides a 66 barrier against physical and chemical aggressors, such as food

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ABSTRACT

Dealing with mucosal delivery systems means dealing with mucus. The name mucosa comes from mucus, a dense fluid enriched in glycoproteins, such as mucin, which main function is to protect the delicate mucosal epithelium. Mucus provides a barrier against physiological chemical and physical aggressors (i.e., host secreted digestive products such as bile acids and enzymes, food particles) but also against the potentially noxious microbiota and their products. Intestinal mucosa covers 400 m² in the human host, and, as a consequence, is the major portal of entry of the majority of known pathogens. But, in turn, some microorganisms have evolved many different approaches to circumvent this barrier, a direct consequence of natural co-evolution. The understanding of these mechanisms (known as virulence factors) used to interact and/or disrupt mucosal barriers should instruct us to a rational design of nanoparticulate delivery systems intended for oral vaccination and immunotherapy. This review deals with this mimetic approach to obtain nanocarriers capable to reach the epithelial cells after oral delivery and, in parallel, induce strong and long-lasting immune and protective responses.

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residues, host secreted digestive products (e.g. bile acids and enzymes), but also against the potentially noxious microbiota and their products. Not surprisingly, pathogens have evolved many ways of evading the mucosal barrier. In fact, mucosae cover 400 m² in the human host, and as a consequence is the major portal of entry of the majority of known pathogens [3,4].

This review will deal with the generation of nanocarriers, based on microorganism-mimicking approaches, for the oral delivery of either antigens or allergens for vaccination and immunotherapy purposes.

2. Structure and topology of mucus matrix

Mucus is a complex viscous secretion basically formed by water 78 (approx. 95%), salts, lipids and various kinds of macromolecules 79 including the so-called mucins [5,6]. Mucins, secreted by goblet 80 cells, are densely glycosylated proteins in which the protein back-81 bone (apomucin) is linked to a number of carbohydrate chains (50-82 90% by weight) [7,8]. In addition the carbohydrate structures 83 themselves can be either linear or branched, and can be acidic 84 (containing sialic acid or sulphate groups) or neutral in nature 85 [7,8]. The degree and type of glycosylation differs depending on 86 the type of mucin and its localization throughout the gut [9]. These 87 glycoproteins can be found as oligomers or non-oligomers, and are 88

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initially classified into three subfamilies: soluble (3–10 nm long),
membrane-bound (100–500 nm), and, gel-forming mucins (up to
several micrometres). Gel-forming mucins are the major constituent of mucus and responsible for its viscoelastic properties [10].
From a functional point of view, mucus appears as a dense fluid

From a functional point of view, mucus appears as a dense fluid matrix that requires to be ineludibly porous, as a gel, since it needs to allow the diffusion of molecules to both orientations, into the cells (absorption of nutrients) and from the cells (secretion). However, at the same time, it needs to provide an effective physical barrier to foreign particulate matter, including microorganisms. To achieve successfully both functions, mucus is disposed in an arrangement that comprise two different layers: the external, which is named mucus layer, and the internal one or glycocalyx, that corresponds with the glycoproteins attached to the epithelial cell surface [11].

104 The mucus layer constitutes then the first line of defence 105 against epithelia damage by physical, chemical or biological aggression. It is thick (100-400 µm in the small intestine, 106 700 µm in the large intestine) and constantly renewed by the host 107 (approx. 5 L/day) [12,13]. Topographically comprise two layers: (i) 108 109 the outer layer (70-100 µm diameter), which is loosely attached 110 with large functional pores that allow the residence of normal microbiota, and (ii) the inner layer attached to the subjacent glycoca-111 112 lyx and, therefore, densely packed, with a very small functional 113 pore that impede microbial and particle penetration. The predicted 114 model for the physical mucin pore at this level is around 100 nm, 115 although native mucin fibres may aggregate under certain circumstances to create larger pores which allow larger particles to transit 116 117 [12].

118 The glycocalyx consists in long filaments of diverse glycopro-119 teins and glycolipids well attached to the cell surface of enterocytes as a thin but very robust and compact layer (15–30 μ m thick in the 120 small intestine and around 100 µm in the large intestine). In fact, 121 this layer would be able to detain any macromolecule above 122 30 nm [14]. The glycocalyx is renewed every 6–24 h, being then 123 release to the lumen, where is trapped and concentrated at the 124 mucus layers. In addition, epithelial cells actively secrete mucins 125 to block microorganisms in the lumen, before reaching the epithe-126 lial cells. Fig. 1 shows a schematic representation of the intestinal 127 mucosa. 128

Summing up, the structure of a mucin fibre contains hydropho-129 bic domains alternating with hydrophilic glycosidic regions that 130 allow interactions with empathic areas on adjacent mucins or even 131 on other molecules. Consequently, mucin fibres are flexible and 132 sticky. The energy invested by mucosal tissues in the production 133 of mucins, and the finely tuned modulation in response to chemical 134 physical or biological challenges, such as infections, reflects the 135 importance of these glycoproteins. In fact, changes in mucin glyco-136 sylation are considered as mechanisms of the innate immune 137 response to mucosal infections [10]. In any case, mucus layers 138 are not insurmountable for the microbial world. Motility and deg-139 radative enzymes are main strategies used by many microbial 140 pathogens to penetrate the mucus layers that we will considered 141 in the following section. 142

3. Strategies of microorganisms to colonize mucosal surfaces

The harsh conditions of the gastrointestinal tract as well as the

presence of intense peristaltic wave forces compromise the viabil-

ity and survival of microorganisms within the gut. Many of them

have developed different tricks to interact and even penetrate

through the mucus layer in order to adhere to and colonize the

Lumen Lumen Jogodovi Lumen Lumen Jogodovi Lamina propria Paneth cell Mucus layer Mucus layer Lipit Junction Lipit monolayer Sata Sa

Fig. 1. Schematic representation of intestinal tissues and cell types. The intestinal epithelium is conformed as villi (A). Enterocytes and goblet cells cover most of the villi surface (B). Mucus protects intestinal epithelium presenting a mucus layer (outer layer and inner layer) and the glycocalyx on the cellular membranes (C). The figure displays significant mucosal products and components such as antimicrobial peptides released by Paneth cells, soluble mucins, microbiota and secreted antibodies. (For the interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

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