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2 Review article

⁶Current trends and future perspectives of solid dispersions containing ⁷poorly water-soluble drugs

8 Q1 Chau Le-Ngoc Vo, Chulhun Park, Beom-Jin Lee*

9 Q2 Bioavailability Control Laboratory, College of Pharmacy, Ajou University, Suwon, Republic of Korea

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ABSTRACT

Over 40% of active pharmaceutical ingredients (API) under development pipelines are poorly watersoluble drugs which limit formulation approaches, clinical application and marketability because of their low dissolution and bioavailability. Solid dispersion has been considered one of the major advancements in overcoming these issues with several successfully marketed products. A number of key references that describe state-of-the-art technologies have been collected in this review, which addresses various pharmaceutical strategies and future visions for the solubilization of poorly water-soluble drugs according to the four generations of solid dispersions. This article reviews critical aspects and recent advances in formulation, preparation and characterization of solid dispersions as well as in-depth pharmaceutical solutions to overcome some problems and issues that limit the development and marketability of solid dispersion products.

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1. Introduction

The poor aqueous solubility and dissolution rate of API is one of 42 the biggest challenges in pharmaceutical development and is 43 becoming more common among new drug candidates over the 44 45 past two decades due to the use of high throughput and combinatorial screening tools during the drug discovery and selection 46 phase [1–3]. According to the Biopharmaceutics Classification 47 System (BCS), a drug compound is poorly soluble if the highest 48 dose strength is not soluble in 250 ml aqueous media over the 49 pH ranges at 37 °C [4]. These compounds mostly belong to Class 50 II (IIa or IIb), which are poorly soluble and highly permeable 51 52 according to the pH of the gastrointestinal fluid and tend to present dissolution-limited absorption [5]. Despite their high permeability. 53 these drugs often have low oral bioavailability because of their 54 slow and limited release of drug in gastrointestinal fluid [6]. There-55 fore, one of the major challenges of the pharmaceutical industry is 56 to apply strategies that improve the dissolution and/or apparent 57 solubility of poorly soluble drugs to develop such problematic 58 59 compounds into orally bioavailable and therapeutic effective drugs 60 [3.5].

Various approaches to overcome the poor aqueous solubility of drug candidates have been investigated in drug research and

* Corresponding author. Bioavailability Control Laboratory, College of Pharmacy, Ajou University, Suwon 443-749, Republic of Korea. Tel.: +82 31 219 3442; fax: +82 31 212 3653.

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development such as salt formation [7], prodrug formation [8], particle size reduction [9], complexation [10], micelles [11], microemulsions [12], nanoemulsions [13], nanosuspensions [14], solidlipid nanoparticle [15] and solid dispersion which is considered one of the most successful strategies to improve the dissolution profile of poorly soluble drugs. The term solid dispersions has been defined as a dispersion of one or more API in an inert carrier or matrix at the solid state prepared by solvent, melting or solventmelting method [16]. The API in solid dispersions can be dispersed in separate molecules, amorphous particles, or crystalline particles while the carrier can be in crystalline or amorphous state. Numerous studies on solid dispersions have been published and have showed many advantageous properties of solid dispersions in improving the solubility and dissolution rate of poorly watersoluble drugs. These advantages include reducing particle size. possibly to molecular level, enhancing wettability and porosity, as well as changing drug crystalline state, preferably into amorphous state [6].

Despite such high active research interests, the number of marketed products arising from solid dispersion approaches is disappointingly low. This low number is mainly due to scale-up problems and physicochemical instability in the manufacturing process or during storage leading to phase separation and crystallization [17–20]. Only a few commercial products have been marketed during the past half-century (Table 1). Therefore, in-depth knowledge that has been acquired on various aspects of solid dispersions such as carrier properties, preparation methods, physicochemical characterization techniques as well as the

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E-mail address: beomjinlee@gmail.com (B.-J. Lee).

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C.Le-Ngoc Vo et al./European Journal of Pharmaceutics and Biopharmaceutics xxx (2013) xxx-xxx

Table 1	
Marketed products using solid dispersions [5,72,11

Product	Model drug	Carrier type	Dosage form
Certican®	Everolimus	НРМС	Tablet
Cesamet®	Nabilone	PVP	Tablet
Gris-PEG [®]	Griseofulvin	PEG	Tablet
Isoptin [®] SR-E	Verapamil	HPC/HPMC	Tablet
Nivadil®	Nivaldipine	HPMC	Tablet
Rezulin [®]	Troglitazone	HPMC	Tablet
Kaletra®	Lopinavir, Ritonavir	PVPVA	Tablet
Intelence [®]	Etravirine	HPMC	Tablet
Zelboraf®	Vemurafenib	HPMCAS	Tablet
Incivek®	Telaprevir	HPMCAS-M	Tablet
Crestor®	Rosuvastatin	HPMC	Tablet
Afeditab [®] CR	Nifedipin	Poloxamer/PVP	Tablet
Fenoglide®	Fenofibrate	PEG	Tablet
Prograf®	Tacrolimus	HPMC	Capsule
Sporanox®	Itraconazole	HPMC	Capsule

8].

91 pharmaceutical mechanism of matrix formation and drug release are very important to ensure the preparation of a productive and 92 93 marketable solid dispersion. The aim of this review is to provide 94 new knowledge from recent advances on solid dispersion areas to overcome some problems and issues that limit the marketability 95 of solid dispersion products. As a continued work of previous 96 97 reviews in this field, this article newly suggests the four classifica-98 tions of solid dispersions according to the development by genera-99 tion-to-generation that has been investigated so far. Finally, the 100 future perspectives and strategies of solid dispersions are also discussed. 101

102 **2. The classification of solid dispersions**

Depending on the physical state of the carrier which is crystalline or amorphous, the solid dispersions are divided into crystalline solid dispersions and amorphous solid dispersions respectively. The solid dispersions can also be classified into four generations based on their composition (Fig. 1).

108 2.1. First generation

The first generation solid dispersions are crystalline solid dispersions. Sekiguchi and Obi [21] prepared the first solid dispersions for pharmaceutical application in which urea was used as a

carrier to form eutectic mixture with sulphathiazole. In crystalline 112 solid dispersions, a crystalline drug is dispersed within a crystal-113 line carrier forming a eutectic or monotectic mixture [16.21]. In 114 the eutectic mixture, the melting point of the mixture is lower than 115 the melting point of the drug and carrier whereas in the monotec-116 tic mixture, the melting point of the carrier and drug are constant. 117 The eutectic mixture is always more preferable because both the 118 drug and carrier will crystallize simultaneously in cooling process, 119 resulting in a well-dispersed state of the drug in carrier, thus 120 enhancing the dissolution rate. Moreover, the process temperature 121 for melting eutectic mixture is lower than that of monotectic mix-122 ture. However, if the mixture of the drug and carrier is not exactly 123 at the eutectic composition, the solid dispersion will contain a 124 mixture of the microfine dispersion and another component as a 125 separate phase because one component will progressively crystal-126 line until the eutectic composition is reached. In fact the number of 127 studied solid dispersions having the exact eutectic composition is 128 very limited [22]. The API in crystalline solid dispersions may also 129 exist in amorphous particles or separate molecules (crystalline 130 solid solutions). In crystalline solid solutions, the drug molecules 131 can replace carrier molecule in the crystal lattice (substitutional 132 crystalline solid solutions) or occupy the interstitial spaces 133 between the solvent molecules in the crystal lattice (interstitial 134 crystalline solid solutions) [23]. 135

Crystalline carriers in the first generation solid dispersions com-136 prise of urea [21] and sugars such as sorbitol and mannitol [24]. 137 These carriers, especially sugars, have high melting point which 138 is not favorable for preparing solid dispersions by melting method. 139 Urea exhibits high solubility in water and many common organic 140 solvents while sugars have poor solubility in most of organic 141 solvents; therefore, sugars were less commonly used than other 142 carriers. The particle size reduction, wettability improvement and 143 polymorphic change are the main reasons for enhancing drug 144 solubility and dissolution rate. Zajc et al. [25] prepared the solid 145 dispersions of nifedipine and mannitol with different ratios by 146 melting method. The results showed markedly enhanced dissolu-147 tion rate of nifedipine in comparison with physical mixture 148 although the crystalline state of nifedipine did not change. The 149 SEM results elucidated the mechanism of dissolution rate enhance-150 ment which is improving the wettability of nifedipine crystals. 151 Okonogi et al. [26] also tried to improve the dissolution rate of 152 ofloxacin by solid dispersion systems with urea and mannitol. 153 The dissolution rate of ofloxacin was significantly increased in urea 154

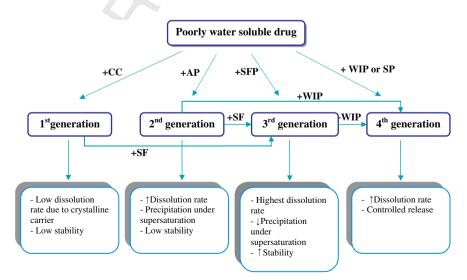


Fig. 1. Composition and properties of four generations of solid dispersions. CC: crystalline carrier, AP: amorphous polymer, SFP: surfactant polymer, WIP: water insoluble polymer, SP: swellable polymer, SF: surfactant, (†): increase, (↓): decrease.

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