



# Compactness by the Hausdorff measure of noncompactness

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## ABSTRACT

In the present paper, we establish some identities or estimates for the operator norms and the Hausdorff measures of noncompactness of certain operators given by infinite matrices that map an arbitrary BK-space into the sequence spaces  $c_0$ ,  $c$ ,  $\ell_\infty$  and  $\ell_1$ , and into the matrix domains of triangles in these spaces. Furthermore, by using the Hausdorff measure of noncompactness, we apply our results to characterize some classes of compact operators on the BK-spaces.

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## 1. Background, notations and preliminaries

Let  $X$  be a normed space. Then, we write  $S_X$  and  $\bar{B}_X$  for the unit sphere and the closed unit ball in  $X$ , that is,  $S_X = \{x \in X : \|x\| = 1\}$  and  $\bar{B}_X = \{x \in X : \|x\| \leq 1\}$ . If  $X$  and  $Y$  are Banach spaces, then  $\mathcal{B}(X, Y)$  denotes the set of all bounded (continuous) linear operators  $L : X \rightarrow Y$ , which is a Banach space with the operator norm given by  $\|L\| = \sup_{x \in S_X} \|L(x)\|_Y$  for all  $L \in \mathcal{B}(X, Y)$ . A linear operator  $L : X \rightarrow Y$  is said to be compact if the domain of  $L$  is all of  $X$  and for every bounded sequence  $(x_n)$  in  $X$ , the sequence  $(L(x_n))$  has a subsequence which converges in  $Y$ . We denote the class of all compact operators in  $\mathcal{B}(X, Y)$  by  $\mathcal{C}(X, Y)$ . An operator  $L \in \mathcal{B}(X, Y)$  is said to be of finite rank if  $\dim R(L) < \infty$ , where  $R(L)$  is the range space of  $L$ . An operator of finite rank is clearly compact.

By  $w$ , we shall denote the space of all complex sequences. If  $x \in w$ , then we write  $x = (x_k)$  instead of  $x = (x_k)_{k=0}^\infty$ . Also, we write  $\phi$  for the set of all finite sequences that terminate in zeros. Further, we use the conventions that  $e = (1, 1, \dots)$  and  $e^{(k)}$  is the sequence whose only non-zero term is 1 in the  $k$ th place for each  $k \in \mathbb{N}$ , where  $\mathbb{N} = \{0, 1, 2, \dots\}$ .

Any vector subspace of  $w$  is called a sequence space. We shall write  $\ell_\infty$ ,  $c$  and  $c_0$  for the sequence spaces of all bounded, convergent and null sequences, respectively. Further, by  $\ell_1$  and  $\ell_p$  ( $1 < p < \infty$ ), we denote the sequence spaces of all absolutely and  $p$ -absolutely convergent series, respectively. Furthermore, we write  $bs$ ,  $cs$  and  $cs_0$  for the sequence spaces of all bounded, convergent and null series, respectively. Moreover, we denote the space of all sequences of bounded variation by  $bv$ , that is,  $bv = \{x = (x_k) \in w : (x_k - x_{k-1}) \in \ell_1\}$ . By the classical sequence spaces, we mean the spaces  $c_0$ ,  $c$ ,  $\ell_\infty$  and  $\ell_p$  ( $1 \leq p < \infty$ ).

The  $\alpha$ -,  $\beta$ - and  $\gamma$ -duals of a subset  $X$  of  $w$  are respectively defined by

$$X^\alpha = \{a = (a_k) \in w : ax = (a_k x_k) \in \ell_1 \text{ for all } x = (x_k) \in X\},$$

$$X^\beta = \{a = (a_k) \in w : ax = (a_k x_k) \in cs \text{ for all } x = (x_k) \in X\}$$

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and

$$X^\gamma = \{a = (a_k) \in w : ax = (a_k x_k) \in bs \text{ for all } x = (x_k) \in X\}.$$

Throughout this paper, the matrices are infinite matrices of complex numbers. If  $A$  is an infinite matrix with complex entries  $a_{nk}$  ( $n, k \in \mathbb{N}$ ), then we write  $A = (a_{nk})$  instead of  $A = (a_{nk})_{n,k=0}^\infty$ . Also, we write  $A_n$  for the sequence in the  $n$ th row of  $A$ , i.e.,  $A_n = (a_{nk})_{k=0}^\infty$  for every  $n \in \mathbb{N}$ . In addition, if  $x = (x_k) \in w$ , then we define the  $A$ -transform of  $x$  as the sequence  $Ax = (A_n(x))_{n=0}^\infty$ , where

$$A_n(x) = \sum_{k=0}^\infty a_{nk} x_k; \quad (n \in \mathbb{N}) \tag{1.1}$$

provided the series on the right converges for each  $n \in \mathbb{N}$ .

Let  $X$  and  $Y$  be subsets of  $w$  and  $A = (a_{nk})$  an infinite matrix. Then, we say that  $A$  defines a *matrix mapping* from  $X$  into  $Y$ , and we denote it by writing  $A : X \rightarrow Y$ , if  $Ax$  exists and is in  $Y$  for all  $x \in X$ . By  $(X, Y)$ , we denote the class of all infinite matrices that map  $X$  into  $Y$ . Thus  $A \in (X, Y)$  if and only if  $A_n \in X^\beta$  for all  $n \in \mathbb{N}$  and  $Ax \in Y$  for all  $x \in X$ .

For any subset  $X$  of  $w$ , the *matrix domain* of an infinite matrix  $A$  in  $X$  is defined by

$$X_A = \{x \in w : Ax \in X\}.$$

The theory of  $FK$ - and  $BK$ -spaces is the most powerful tool in the characterization of matrix transformations between sequence spaces.

A sequence space  $X$  is called an  $FK$ -space if it is a complete linear metric space with continuous coordinates  $p_n : X \rightarrow \mathbb{C}$  ( $n \in \mathbb{N}$ ), where  $\mathbb{C}$  is the complex field and  $p_n(x) = x_n$  for all  $x = (x_k) \in X$  and every  $n \in \mathbb{N}$ . A  $BK$ -space is a normed  $FK$ -space [1], that is, a  $BK$ -space is a Banach sequence space with continuous coordinates [2].

The classical sequence spaces are  $BK$ -spaces with their natural norms [2, Example 1.1]. More precisely, the spaces  $c_0$ ,  $c$  and  $\ell_\infty$  are  $BK$ -spaces with the usual sup-norm given by  $\|x\|_{\ell_\infty} = \sup_k |x_k|$ , where the supremum is taken over all  $k \in \mathbb{N}$ . Also, the space  $\ell_p$  is a  $BK$ -space with the usual  $\ell_p$ -norm defined by  $\|x\|_{\ell_p} = (\sum_{k=0}^\infty |x_k|^p)^{1/p}$ , where  $1 \leq p < \infty$ .

A sequence  $(b_n)_{n=0}^\infty$  in a linear metric space  $X$  is called a *Schauder basis* for  $X$  if for every  $x \in X$  there is a unique sequence  $(\alpha_n)_{n=0}^\infty$  of scalars such that  $x = \sum_{n=0}^\infty \alpha_n b_n$ , that is,  $\lim_{m \rightarrow \infty} (\sum_{n=0}^m \alpha_n b_n) = x$  [3, Definition 1.6].

Although the space  $\ell_\infty$  has no Schauder basis, the spaces  $c_0$ ,  $c$  and  $\ell_p$  ( $1 \leq p < \infty$ ) all have Schauder bases [3, Theorem 1.10].

An  $FK$ -space  $X \supset \phi$  is said to have  $AK$  if every  $x = (x_k) \in X$  has a unique representation  $x = \sum_{k=0}^\infty x_k e^{(k)}$ , that is,  $x^{[m]} = \sum_{k=0}^m x_k e^{(k)} \rightarrow x$  as  $m \rightarrow \infty$  [4]. Here,  $x^{[m]}$  is called the  $m$ -section of  $x$  ( $m \in \mathbb{N}$ ).

Among the other classical sequence spaces, the spaces  $c_0$  and  $\ell_p$  ( $1 \leq p < \infty$ ) have  $AK$  [5, Example 3.16].

If  $X \supset \phi$  is a  $BK$ -space and  $a = (a_k) \in w$ , then we define

$$\|a\|_X^* = \sup_{x \in S_X} \left| \sum_{k=0}^\infty a_k x_k \right| \tag{1.2}$$

provided the expression on the right hand side exists and is finite [6], which is the case whenever  $a \in X^\beta$  [7, Theorem 7.2.9].

Throughout, let  $1 \leq p \leq \infty$  and  $q$  denote the conjugate of  $p$ , that is,  $q = \infty$  for  $p = 1$ ,  $q = p/(p - 1)$  for  $1 < p < \infty$  and  $q = 1$  for  $p = \infty$ .

The following known results are fundamental for our investigation, and we may begin with the  $\alpha$ -,  $\beta$ - and  $\gamma$ -duals of the classical sequence spaces.

**Lemma 1.1** ([5, Example 4.4]). *Let  $\dagger$  denote any of the symbols  $\alpha$ ,  $\beta$  or  $\gamma$ . Then, we have  $c_0^\dagger = c^\dagger = \ell_\infty^\dagger = \ell_1$ ,  $\ell_1^\dagger = \ell_\infty$  and  $\ell_p^\dagger = \ell_q$ , where  $1 < p < \infty$  and  $q = p/(p - 1)$ .*

**Lemma 1.2** ([3, Theorem 1.29]). *Let  $X$  be any of the spaces  $c_0$ ,  $c$ ,  $\ell_\infty$  or  $\ell_p$  ( $1 \leq p < \infty$ ). Then, we have  $\|\cdot\|_X^* = \|\cdot\|_{X^\beta}$  on  $X^\beta$ , where  $\|\cdot\|_{X^\beta}$  denotes the natural norm on the dual space  $X^\beta$ .*

**Lemma 1.3** ([8, Lemma 15(a), (b)]). *Let  $X \supset \phi$  and  $Y$  be  $BK$ -spaces. Then, we have*

- (a)  $(X, Y) \subset \mathcal{B}(X, Y)$ , that is, every matrix  $A \in (X, Y)$  defines an operator  $L_A \in \mathcal{B}(X, Y)$  by  $L_A(x) = Ax$  for all  $x \in X$ .
- (b) If  $X$  has  $AK$ , then  $\mathcal{B}(X, Y) \subset (X, Y)$ , that is, for every operator  $L \in \mathcal{B}(X, Y)$  there exists a matrix  $A \in (X, Y)$  such that  $L(x) = Ax$  for all  $x \in X$ .

Furthermore, we have the following results on the operator norms.

**Lemma 1.4** ([9, Lemma 5.2]). *Let  $X \supset \phi$  be a  $BK$ -space and  $Y$  be any of the spaces  $c_0$ ,  $c$  or  $\ell_\infty$ . If  $A \in (X, Y)$ , then*

$$\|L_A\| = \|A\|_{(X, \ell_\infty)} = \sup_n \|A_n\|_X^* < \infty.$$

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