



Universality with respect to ω -limit sets

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ABSTRACT

A discrete dynamical system on a compact metric space X is called universal (with respect to ω -limit sets) if, among its ω -limit sets, there is a homeomorphic copy of any ω -limit set of any dynamical system on X . By a result of Pokluda and Smítal the unit interval admits a universal system. In this paper, we study the problem of the existence of universal systems on Cantor spaces, graphs, dendrites and higher-dimensional spaces.

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1. Introduction

In the present paper a *dynamical system* is a pair (X, f) , where X is a compact metric space and f is a continuous selfmap of X . Given a point $x \in X$, its *trajectory* is the sequence $(f^n(x))_{n=0}^{\infty}$ and its *orbit* is the set $\{f^n(x) : n = 0, 1, 2, \dots\}$, where $f^n = f^{n-1} \circ f$ if $n \geq 1$ and f^0 is the identity on X .

When studying a dynamical system, one of the main aims is to describe the asymptotic behavior of its trajectories. To do this we use the notion of the ω -limit set. The ω -limit set of f at a point $x \in X$ (or the ω -limit set of x under f) is the set $\omega_f(x)$ of all limit points of the trajectory $(f^n(x))_{n=0}^{\infty}$. This set is nonempty, closed and strongly invariant (i.e., $f(\omega_f(x)) = \omega_f(x)$). Since X is compact the ω -limit set $\omega_f(x)$ is the smallest closed set, such that each of its neighborhoods contain all but finitely many points from the trajectory $(f^n(x))_{n=0}^{\infty}$.

The topological characterization of ω -limit sets is known only in some simple spaces (we refer the reader to Section 2.1 for definitions). For the interval, it was found in [1] (one can see also [2]), on the circle in [3] and on all graphs in [4]. On a graph, the ω -limit sets are nonempty nowhere dense closed subsets and finite unions of nondegenerate closed subgraphs. This means that any ω -limit set of any dynamical system on a given graph G is of one of these two types and, conversely, for any such subset M of G there exists a continuous map $f : G \rightarrow G$ and a point $x \in G$ with $\omega_f(x) = M$. On hereditarily locally connected continua, the characterization of ω -limit sets is more complicated, see [5] (the ω -limit sets of *homeomorphisms* on dendrites were characterized in [6]). Apart from these results, the topological characterization of ω -limit sets is known

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only in zero-dimensional spaces (we recall this apparently folklore result in [Theorem 13](#)). In higher-dimensional spaces only partial results are known; we will use results from [7] (for a list of other references see e.g. [5]).

Since the family ω_f of all ω -limit sets of a dynamical system (X, f) is important for the description of the asymptotic behavior of its trajectories, this family is a natural object to study. By [8], for any dynamical system on the interval I , ω_f is a compact set in the space of all nonempty closed subsets of I equipped with the Hausdorff metric. The same is true on the circle and on graphs [3,9], but not on dendrites [10] and on higher-dimensional spaces [11].

The family of all ω -limit sets of all dynamical systems on X is denoted by ω_X . Obviously, ω_X always contains at least all singletons $\{x\}$, $x \in X$. It is possible that no other sets are in ω_X . The examples of such spaces X are, apart from a singleton space, the rigid continua (a compact connected metric space X is called a *rigid continuum* if the only continuous selfmaps of X are the constant maps and the identity). Given a continuous map $\varphi : X \rightarrow X$, a natural question is how rich the family ω_φ (of all ω -limit sets of φ) can be compared with the whole family ω_X . In rare cases it is possible that $\omega_\varphi = \omega_X$, i.e., for every continuous map $f : X \rightarrow X$ and every $x \in X$ there is $y \in X$ such that $\omega_\varphi(y) = \omega_f(x)$. Then we say that φ is *super universal*. It is easy to verify that X admits a super universal map if and only if the only possible ω -limit sets on X are the singletons—in such a case the identity on X is (the unique) super universal map. Thus the identity on a rigid continuum is a super universal map.

So, the notion of super universality is too restrictive. Bruckner [12, p. 201] asked whether there is a dynamical system (I, φ) on the interval I with the property that for any $M \in \omega_\varphi$ there is a *homeomorphic copy* of M in ω_φ . Some partial results in this direction have been obtained in [13,14]. The question in its full generality was answered affirmatively in [15]. It is remarkable that the map $\varphi : I \rightarrow I$ constructed in [15] has the property that for every $M \in \omega_\varphi$ there is a homeomorphism h of the whole interval I onto itself such that $h(M) \in \omega_\varphi$.

To shorten formulations we introduce the following terminology. Given a compact metric space X and subsets A and B of X , we say that B is a *homeomorphic copy* of A if there is a homeomorphism $h : A \rightarrow B$. In the case when at least one homeomorphism $h : A \rightarrow B$ can be extended to a homeomorphism of the whole space X onto itself we say that B is a *strongly homeomorphic copy* of A . (Note that this defines an equivalence relation on subsets of the space.) A dynamical system (X, φ) is called *(strongly) universal* if among its ω -limit sets there is a (strongly) homeomorphic copy of any $M \in \omega_X$. In such a case we will often say that the map φ itself is (strongly) universal. Thus the above mentioned result from [15] can be reformulated by saying that the interval admits a strongly universal map.

Obviously, for a map φ the following implications hold:

$$\text{super universal} \implies \text{strongly universal} \implies \text{universal}.$$

The converse implications do not hold. There is a strongly universal map which is not super universal [15] and there is a universal map which is not strongly universal (see e.g. [Theorem 4](#)).

Being inspired by [15], we are interested in the existence of (strongly) universal maps in other spaces important in dynamics. We concentrate on Cantor spaces, graphs, dendrites and higher-dimensional spaces.

It turns out that if a subspace of Euclidean n -space ($n \geq 2$) admits a strongly universal map then this subspace has to be at most one-dimensional or, if not, it has to look “strange”. By this we mean that at no point can it look like Euclidean m -space, $m = 2, 3, \dots, n$. In fact, our first result says a bit more:

Theorem 1. *No compact metric space X containing an open set homeomorphic to Euclidean m -space ($m \geq 2$) admits a strongly universal map. Moreover, if X is an m -dimensional compact manifold (with or without boundary) then it does not admit a universal map.*

The second part of the theorem can be slightly generalized. Instead of the assumption that X is an m -dimensional compact manifold, it is sufficient to assume that X satisfies the condition considered in [Corollary 8](#) below.

Among one-dimensional spaces we have a definitive result for graphs:

Theorem 2. *Let X be a graph. Then the following are equivalent:*

- (1) X admits a universal map,
- (2) X admits a strongly universal map,
- (3) X is an arc.

The authors do not know whether there is a dendrite different from an arc which admits a universal map. Nevertheless, the following holds:

Theorem 3. *The only nondegenerate dendrite admitting a strongly universal map is the arc.*

Compared to the negative results above, we have the following result for a *Cantor space* (i.e., a totally disconnected compact metric space with no isolated point):

Theorem 4. *A Cantor space admits a universal map but does not admit a strongly universal one.*

The paper is organized as follows. In [Section 2](#) we introduce the notation, recall needed definitions and present some basic properties of ω -limit sets. In [Section 3](#) we prove [Theorems 1–3](#). Finally, in [Section 4](#) we prove [Theorem 4](#).

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