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## Original Research

# Safety and clinical activity of atezolizumab monotherapy in metastatic non-small-cell lung cancer: final results from a phase I study



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## KEYWORDS

Atezolizumab;  
Non-small-cell lung  
cancer;

**Abstract Introduction:** Atezolizumab, an anti-programmed death-ligand 1 (PD-L1) antibody, inhibits PD-L1:PD-1 and PD-L1:B7.1 interactions, restoring anticancer immunity. Here, we report final analyses from the non-small-cell lung cancer (NSCLC) cohort of the first atezolizumab phase I study.

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PD-L1;  
Immune checkpoint  
inhibitor

**Methods:** Patients with NSCLC received atezolizumab 1–20 mg/kg or 1200 mg intravenously every 3 weeks. Baseline PD-L1 expression on tumour cells (TCs) and tumour-infiltrating immune cells (ICs) was assessed (VENTANA SP142 immunohistochemistry assay). Exploratory subgroup analyses investigated responses by baseline PD-L1 expression and oncogenic mutational status.

**Results:** Eighty-nine patients, 98% of whom had received previous systemic therapy, were evaluable for safety and antitumour activity. Atezolizumab was well tolerated, with grade III/IV treatment-related adverse events (TRAEs) observed in 10 patients (11%). All-grade TRAEs occurring in >10% of patients were fatigue, nausea and decreased appetite; grade III/IV TRAEs occurring in >2% of patients were fatigue, dyspnoea, hyponatremia and hypoxia. One patient died from treatment-unrelated pneumonia. Objective response rate (ORR) was 50% (95% confidence interval [CI], 28%–72%), 33% (20%–48%), 29% (18%–41%) and 11% (1%–35%) for the TC3 or IC3, TC2/3 or IC2/3, TC1/2/3 or IC1/2/3 and TC0 and IC0 subgroups, respectively. All-patient ORR was 23% (95% CI, 14%–33%). Median duration of response was 16.4 months (range, 7.2–53.4+). One-, 2-, and 3-year survival rates were 63% (95% CI, 53%–73%), 37% (26%–47%) and 28% (18%–38%), respectively.

**Conclusions:** Single-agent atezolizumab was well tolerated with long-term clinical benefits, including durable responses and survival, in pretreated NSCLC. Improved responses and survival rates were seen with increasing baseline PD-L1 expression.

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## 1. Introduction

Programmed death-ligand 1 (PD-L1) is expressed on tumour cells (TCs) and tumour-infiltrating immune cells (ICs) in many cancers, including non-small-cell lung cancer (NSCLC) [1–5] and binds to programmed death-1 (PD-1) and B7.1, thus inhibiting anticancer T-cell activity [6]. Antibodies targeting the PD-L1:PD-1 pathway have demonstrated remarkable efficacy in a wide range of cancers, including NSCLC, and are now standard of care for patients with advanced NSCLC in several countries [7,8]. Unlike anti-PD-1 antibodies, atezolizumab (an anti-PD-L1-engineered humanised monoclonal antibody) does not disrupt the PD-1:PD-L2 interaction, which may be important in preserving immune homeostasis [9,10]. In the phase III OAK study, atezolizumab showed significant survival benefit regardless of PD-L1 expression and was well tolerated, with a favourable safety profile versus docetaxel, supporting its approval for previously treated metastatic NSCLC [11].

The efficacy of atezolizumab in patients with NSCLC from the first phase I trial, PCD4989g (NCT01375842), was initially reported by Herbst et al. [2] at the data cut-off of 30th April 2013. Here, we report the long-term follow-up data from the NSCLC cohort of this trial with a cut-off of 31st December 2016.

## 2. Materials and methods

### 2.1. Study design and treatments

PCD4989g is an ongoing phase I study investigating single-agent atezolizumab in advanced or metastatic

solid tumours and haematologic malignancies. It consists of dose-escalation cohorts, followed by enrichment of patients with PD-L1 expression within multiple tumour-specific expansion cohorts [2]. This first-in-human study was approved by local institutional review boards and was conducted in accordance with Good Clinical Practice and the Helsinki Declaration. All patients provided written informed consent.

### 2.2. Objectives

The primary objective was to evaluate safety and tolerability of atezolizumab. During the dose-escalation phase, primary objectives included evaluation of dose-limiting toxicities, determination of a maximum tolerated dose and identification of a recommended phase II dose. Secondary objectives included assessment of pharmacokinetics and preliminary clinical activity. Exploratory objectives included analyses of pharmacodynamic and/or predictive biomarkers of atezolizumab activity and overall survival (OS).

### 2.3. Treatment

The dose-escalation phase was previously described [2], and no dose-limiting toxicities were observed. Patients in the NSCLC cohort, therefore, received atezolizumab at a dose of 1, 10, 15 or 20 mg/kg or 1200 mg every 3 weeks. Initially, all patients enrolled were treated until disease progression or for a maximum of 16 cycles or 1 year, whichever came first. After protocol amendments, patients could be retreated if they progressed during follow-up and could continue atezolizumab treatment

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