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Nonlinear Analysis

Infinite periodic solutions to a class of second-order Sturm–Liouville neutral differential equations[†]

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Abstract

By means of variational structure and Z_2 -group index theory, we obtain infinite periodic solutions to a class second-order Sturm-Liouville neutral delay equations

$$(p(t)x'(t-s\tau))' - q(t)x(t-s\tau) + f(t,x(t),x(t-\tau),x(t-2\tau),\dots,x(t-2s\tau)) = 0.$$

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1. Introduction

Recently, the existence and multiplicity of periodic solutions for second-order neutral differential equations have received a good deal of attention (see [2–7]).

But, for the existence of periodic solutions of functional differential equations, one commonly uses the method of fixed point theory, the coincidence degree theory, the Fourier analysis method etc; one rarely uses the means of critical point theory. In [8] and [9], the authors obtained multiple periodic solutions for a class of retarded differential equations by means of critical point theory and Z_p group index theory. Nevertheless, we note that these results were obtained by reducing retarded differential equations to related ordinary differential equations.

In [1], by using critical point theory and Z_2 group index theory, unlike the literature [8,9], we obtained the sufficient condition for there to exist infinite nontrivial $2\gamma\tau$ -periodic solutions to the neutral differential equation (1.1) without reducing it to an ordinary differential equation:

$$x''(t - s\tau) + f(t, x(t), x(t - \tau), x(t - 2\tau), \dots, x(t - 2s\tau)) = 0, \quad \tau > 0.$$
(1.1)

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In this paper, by using critical point theory and Z_2 group index theory, we obtain infinite periodic solutions to the second-order Sturm-Liouville neutral delay equations

$$(p(t)x'(t-s\tau))' - q(t)x(t-s\tau) + f(t,x(t),x(t-\tau),x(t-2\tau),\dots,x(t-2s\tau)) = 0.$$
(1.2)

For the reader's convenience, we recall some basic definitions.

Definition 1.1. Let E be a real Banach space, and $f \in C^1(E, R)$. A critical point of f is a point where f'(x) = 0. A critical value of f is a number c such that f(x) = c for some critical points x. K is a critical set where $K = \{x \in E \mid f'(x) = 0\}, K_c = \{x \in E \mid f'(x) = 0\}, f(x) = c\}$. f_c is a level set if $f_c = \{x \in E \mid f(x) \le c\}$.

Definition 1.2. Let E be a real Banach space, and $f \in C^1(E, R)$; we say that f satisfies the Palais–Smale condition if every sequence $\{x_n\} \subset E$ such that $\{f(x_n)\}$ is bounded and $f'(x_n) \to 0 (n \to \infty)$ has a converging subsequence.

Definition 1.3. Let E be a real Banach space, and $\Sigma = \{A \mid A \subset E \setminus \{\theta\} \text{ be a closed, symmetric set }\}$. Define $\gamma : \Sigma \to Z^+ \cup \{+\infty\}$ as follows:

$$\gamma(A) = \begin{cases} \min & \{n \in Z : \text{ there exists an odd continuous map } \varphi : A \to R^n \setminus \{\theta\}\}; \\ 0 & \text{ If } A = \varnothing; \\ +\infty & \text{ If there is no odd continuous map } \varphi : A \to R^n \setminus \{\theta\} \text{ for any } n \in Z. \end{cases}$$

We say that " γ is the genus of Σ ".

Lemma 1.4 ([10] ChangKung Ching). Let $f \in C^1(X, R)$ be an even functional which satisfies the Palais–Smale condition and $f(\theta) = 0$. If

- (P₁) there exist constants $\rho > 0$, a > 0 and a finite dimensional subspace E of X, such that $f(x)|_{E^{\perp} \cap S_{\rho}} \ge a$, where $S_{\rho} = \{x \in X : ||x||_{X} = \rho\}$;
- (P₂) for all finite dimensional subspaces \widehat{E} of X, there is an $r = r(\widehat{E}) > 0$, such that $f(x) \leq 0$ for $x \in \widehat{E} \setminus B_r$.

Then, f possesses an unbounded sequence of critical values.

Lemma 1.5. Let E be a Hilbert space; if the weak convergence sequence $\{x_n\} \subset E$ (i.e., there exists x_0 such that $x_n \rightharpoonup x_0$) satisfies $||x_n|| \to ||x_0|| (n \to \infty)$, then $\{x_n\}$ is convergent in E, i.e., $x_n \to x_0$.

Proof. By

$$||x_n - x_0||^2 = (x_n - x_0, x_n - x_0)$$

= $||x_n||^2 - (x_0, x_n) - (x_n, x_0) + ||x_0|| \quad (n = 1, 2, 3, ...)$

and continuity of the inner product, it is easy to see that

$$\lim_{n \to \infty} \|x_n - x_0\|^2 = \|x_0\|^2 - 2(x_0, x_0) + \|x_0\|^2 = 0,$$

that is to say $x_n \to x_0 (n \to \infty)$.

In this paper, we use Lemma 1.4 to deal with multiple periodic solutions of the system (1.2). Our basic assumptions is that:

(A₁) $f(t, u_1, u_2, \dots, u_{2s+1}) \in C(R^{2(s+1)}, R)$, and $\frac{\partial f(t, u_1, u_2, \dots, u_{2s+1})}{\partial t} \neq 0$;

(A₂) there exists a continuously differentiable function $F(t, u_1, u_2, \dots, u_s, u_{s+1}) \in C^1(\mathbb{R}^{s+2}, \mathbb{R})$ such that

$$F'_{u_{s+1}}(t, u_1, u_2, \dots, u_s, u_{s+1}) + F'_{u_{s+1}}(t, u_2, u_3, \dots, u_{s+2}) + \dots + F'_{u_{s+1}}(t, u_{s+1}, u_{s+2}, \dots, u_{2s+1})$$

$$= f(t, u_1, u_2, \dots, u_{2s+1});$$

- (A₃) $F(t+\tau, u_1, u_2, \dots, u_{s+1}) = F(t, u_1, u_2, \dots, u_{s+1})$ for all $u_1, u_2, \dots, u_s, u_{s+1} \in R$;
- (A₄) $p(t), q(t) \in C^1[0, \tau]$ are τ -periodic functions and p(t) > 0, q(t) > 0;
- (A₅) F satisfies: $F(t, -u_1, -u_2, \dots, -u_{s+1}) = F(t, u_1, u_2, \dots, u_{s+1})$, and

$$f(t, -u_1, -u_2, \dots, -u_{2s+1}) = -f(t, u_1, u_2, \dots, u_{2s+1}).$$

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