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² Freshly isolated mitochondria from failing human hearts exhibit

³ preserved respiratory function

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ABSTRACT

In heart failure mitochondrial dysfunction is thought to be responsible for energy depletion and contractile 27 dysfunction. The difficulties in procuring fresh left ventricular (LV) myocardium from humans for assessment 28 of mitochondrial function have resulted in the reliance on surrogate markers of mitochondrial function and 29 limited our understanding of cardiac energetics. We isolated mitochondria from fresh LV wall tissue of patients 30 with heart failure and reduced systolic function undergoing heart transplant or left ventricular assist device 31 placement, and compared their function to mitochondria isolated from the non-failing LV (NFLV) wall tissue 32 with normal systolic function from patients with pulmonary hypertension undergoing heart-lung transplant. 33 We performed detailed mitochondrial functional analyses using 4 substrates: glutamate-malate (GM), 34 pyruvate-malate (PM) palmitoyl carnitine-malate (PC) and succinate. NFLV mitochondria showed preserved 35 respiratory control ratios and electron chain integrity with only few differences for the 4 substrates. In contrast, 36 HF mitochondria had greater respiration with GM, PM and PC substrates and higher electron chain capacity for 37 PM than for PC. Surprisingly, HF mitochondria had greater respiratory control ratios and lower ADP- 38 independent state 4 rates than NFLV mitochondria for GM, PM and PC substrates demonstrating that HF mito- 39 chondria are capable of coupled respiration ex vivo. Gene expression studies revealed decreased expression of 40 key genes in pathways for oxidation of both fatty acids and glucose. Our results suggest that mitochondria 41 from the failing LV myocardium are capable of tightly coupled respiration when isolated and supplied with 42 ample substrates. Thus energy starvation in the failing heart may be the result of dysregulation of metabolic 43 pathways, impaired substrate supply or reduced mitochondrial number but not the result of reduced mitochon- 44 drial electron transport capacity. 45

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1. Introduction

52 Our understanding of the energetic state of the heart in heart failure 53 (HF) with reduced systolic function in humans is largely derived from 54 animal studies which have documented impairments in mitochondrial respiration and myocardial energy metabolism [1,2]. Specifically, re- 55 duced state 3 respiratory rates and respiratory control ratios (RCR) 56 have been reported in HF [3]. The few published studies of failing 57 human myocardial tissue seem concordant with the findings from ani- 58 mal studies [4–6]. However, most prior studies showing defects in 59

Abbreviations: ACADI, acyl-CoA dehydrogenase, long chain; ACADm, acyl-CoA dehydrogenase, medium chain; ACADvl, acyl-CoA dehydrogenase, very long chain; AMPK, 5' AMPactivated protein kinase; CD36, cluster of differentiation 36; CPT2, carnitine palmitoyltransferase II; ETC, electron transport chain; FAD +, flavin adenine dinucleotide; FCCP, carbonyl cyanide 4-(trifluoromethoxy)phenylhydrazone; GLUT1, glucose transporter 1; GLUT4, glucose transporter 4; GM, glutamate–malate; HADHA, 3-hydroxyacyl-coenzyme A dehydrogenase; HF, heart failure; HFFEF, heart failure with reduced ejection fraction; ICM, ischemic cardiomyopathy; IFM, interfibrillar mitochondria; L/E ratio, state 4 respiration to uncoupled rate; LV, left ventricle; LVIDd, LV internal diameter at diastole; ME3, malic enzyme 3; NAD⁺, nicotinamide adenine dinucleotide; NFLV, non-failing left ventricle; NICM, non-ischemic cardiomyopathy; P/E ratio, state 3 respiration to the uncoupled rate; PC, palmitoylcamitine–malate; PC, pyruvate carboxylase; PDHB, pyruvate dehydrogenase subunit B; PDK4, pyruvate dehydrogenase kinase 4; PCC1ca, peroxisome proliferator-activated receptor (PPAR)- γ coactivator 1 α ; PHTN, pulmonary hypertension; PM, pyruvate–malate; PPARa, peroxisome proliferator-

kinase 4; PGC1 α , peroxisome proliferator-activated receptor (PPAR)- γ coactivator 1 α ; PHTN, pulmonary hypertension; PM, pyruvate-malate; PPAR α , peroxisome proliferatoractivated receptor α ; PPIA, peptidylprolyl isomerase A (cyclophilin A); RCR, respiratory control ratio; RT-PCR, reverse transcriptase-polymerized chain reaction; SSM, subsarcolemmal mitochondria; Succ, succinate.

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mitochondrial electron transport chain (ETC) activity were based on 60 61 functional assays in either left atrial appendages or permeabilized ventricular muscle bundles, or complex activity assays in frozen cardiac 62 63 tissue or mitochondrial particles [7–10]. While these studies have given very valuable information regarding the energetics of the failing myo-64 cardium, the functional integrity of isolated HF mitochondria, indepen-65 dent of the endogenous substrates, inhibitors and structural orientation, 66 67 remains to be completely assessed. Further, investigation in the atrial 68 appendage tissue may not reflect mitochondrial functional changes in 69 the left ventricular (LV) wall tissue of the heart. Given the difficulty in obtaining adequate amounts of fresh human LV wall tissue, published 70 results from respiratory studies performed on mitochondrial isolates 71from fresh human ventricular wall tissue have been very few with 72 contrasting results [11-14]. The time from procurement to processing, 73 the tissue quantity, variability in sampling site (atrial appendage vs. 74 ventricular wall tissue), and preparations of stripped fibers vs. isolates 75 have compromised the implementation of integrative mitochondrial 76 respiratory protocols. Further, in contrast to the large body of literature 77 supporting mitochondrial dysfunction in HF, some studies [11,14] have 78 shown preserved mitochondrial functional capabilities in HF. Clearly, 79 limitations on access of human cardiac tissue and variations in the tech-80 niques used to assess mitochondrial function have resulted in a lack of 81 82 agreement regarding the energetics of the failing myocardium.

The aim of this study was to assess the impact of chronic HF on the 83 functional integrity of mitochondria isolated from human left LV wall 84 samples. Our objectives included the establishment of protocols for 85 timely and consistent procurement and processing of failing human 86 87 cardiac tissue for mitochondrial respiratory measurements in a reproducible manner and the investigation of mitochondrial isolates inde-88 pendent of their in situ conditions for ETC capacity and oxidative 89 90 phosphorylation.

91 2. Materials and methods

92 2.1. Patient population

93 We isolated mitochondria from samples of LV apex cores from 24 patients undergoing left ventricular assist device (LVAD) placement, 94 orthotopic heart transplantation, or heart-lung transplantation at the 95 Houston Methodist Hospital. Of these 24 samples, 4 non-failing LV 96 (NFLV) samples were obtained from patients undergoing heart-lung 97 98 transplantation for pulmonary hypertension (PHTN) and served as comparators. PHTN patients had severe right ventricle failure with nor-99 mal LV function assessed by echocardiography and histological analysis 100 101 (Table 1, Fig. S1). We chose not to use the traditional control heart samples obtained from donor hearts unsuitable for transplantation be-102 103 cause such samples are often exposed to a death-related catecholamine surge and are kept in cardioplegic solution for an indefinite period of 104 time. There often is a long delay between the time of procurement 105and mitochondrial isolation. In addition, the use of cardioplegic solu-106 tions is reported to alter mitochondrial function [15]. However, these 107 108 NFLV samples are not 'normal' or 'control' samples since they are un-109 doubtedly altered by the systemic and pharmacological environment associated with severe right ventricular failure. Table 1 lists the demo-110graphic and clinical characteristics of the 24 patients used in this 111 study. We analyzed samples based on etiology, body mass index 112 (BMI), diabetes status and duration of HF. The study was approved 113 by the Houston Methodist Hospital's institutional review board and all 114 participants were enrolled only after signing informed consent. 115

116 2.2. Tissue procurement

Tissue procurement occurred in the operating room during orthotopic heart transplant, heart–lung transplant or LVAD placement procedures. After the heart or LV core tissue was handed over to us by the surgeon in the operating room, it was immediately transported to an adjacent laboratory. From the heart, 2 to 3 g of LV tissue was excised 121 at or near the apex. Then, approximately 200 mg of scar-free myocardium was dissected out and promptly placed into ice-cold buffer A 123 (220 mmol/L mannitol, 70 mmol/L sucrose, and 5 mmol/L MOPS) 124 [16]. The tissue was processed further for mitochondrial isolation. The time from surgeon hand-off until the initial homogenization step was less than 10 min. 127

2.3. Mitochondrial isolation

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Mitochondria were isolated using a differential centrifugation meth-129 od modified for small sample volumes from the protocol described by 130 Frezza et al. [17]. Briefly, the tissue was homogenized with a polytron 131 homogenizer for two 5-second pulses, then centrifuged at 68 × g at 132 4 °C for 10 min. The supernatant fraction was collected and centrifuged 133 at 18,000 × g for 10 min. The resulting supernatant fraction was dis-134 carded but the pellet was re-suspended in cold buffer B (2 mmol/L 135 EGTA, 0.2% fatty acid-free-BSA in buffer A [16]) and again centrifuged 136 at 18,000 × g for 10 min. The resulting pellet was rinsed with buffer A, 137 centrifuged at the same speed for the same period, after which the pel-138 let was re-suspended in 30 μ L of cold buffer E (0.05 mmol/L EGTA in 139 buffer A). The final mitochondrial volume was approximately 50 μ L. 140 This isolation technique results in a population of predominately 141

Fable 1 Demographic and clinical chara	characteristics of the study population.			
Characteristic	$\frac{\text{NFLV}^{\text{a}}}{n=4}$	$\frac{\text{HF}}{\text{n} = 20}$	P-value	t1.
Male gender (%)	0(0)	18 (90)	0.0001	
Race (%)			0.1	1
Caucasian	2 (50)	13(65)		
African American	2 (50)	4 (20)		
Other	0 (0)	3 (15)		

African American	2 (50)	4 (20)		
Other	0 (0)	3 (15)		
Etiology				t1.11
Ischemic	0	12 (60)		
Non-ischemic	0	8 (40)		
Other	4	9 (45)		
BMI (kg/m ²)	27.1 ± 4.3	28.9 ± 7.1	0.6	t1.15
Co-morbidities (%)				t1.16
Hypertension	1 (25)	14 (70)	0.07	
Obesity	1 (25)	8 (40)	0.6	
Dyslipidemia	0(0)	10 (50)	0.07	
T2DM	0(0)	10 (50)	0.07	
CKD	0(0)	9 (45)	0.1	
CVA	1 (25)	1 (5)	0.2	
Atrial fibrillation	0(0)	2(10)	0.4	
Hypothyroidism	0 (0)	2 (10)	0.5	
Pulmonary ^b	2 (50)	5 (25)	0.4	
Medications (%)				t1.26
ACEI	2 (50)	8 (40)	0.7	
BB	3 (75)	17 (85)	0.6	
Diuretics	2 (50)	17 (85)	0.2	
Aldosterone inhibitors	0(0)	8 (40)	0.2	
Digoxin	2 (50)	11 (55)	0.8	
Inotropes	1 (25)	3 (15)	0.6	
Lipid lowering	0 (0)	7 (35)	0.2	
Insulin	0(0)	6 (30)	0.2	
Metformin	0(0)	1 (5)	0.6	
Sulfonylureas	0(0)	2 (10)	0.5	
DDP-4 inhibitors	0 (0)	1 (5)	0.7	
Echocardiographic parameters				t1.38
LVEF (%)	61 ± 12	19 ± 10	< 0.0001	
LVIDd (cm)	3.5 ± 1.2	6.6 ± 0.8	< 0.0001	

 BMI: Body mass index, T2DM: type 2 diabetes mellitus, CKD: chronic kidney disease, CVA:
 t1.41

 cerebrovascular accident, ACEI: angiotensin converting enzyme inhibitors, BB: beta t1.42

 blockers, DDP-4: dipeptidyl peptidase 4 inhibitors, LVEF: left ventricular ejection fraction,
 t1.43

 LVIDd: left ventricular internal dimension.
 t1.44

 ^a Non-failing left ventricular (NFLV) samples were obtained from a cohort of patients with pulmonary hypertension, right ventricular failure and normal LV function.
 ^b Encompassed by COPD and secondary pulmonary hypertension.

t1.45

t1.46

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