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## Patterns of childhood immunization and all-cause mortality

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### ABSTRACT

**Background:** Evidence supports the safety of the recommended childhood immunization schedule as a whole. However, additional research is warranted as parents' refusing or delaying vaccinations has increased in recent years. All-cause mortality has been identified as a priority outcome to study in the context of the recommended immunization schedule.

**Methods:** We included children born January 1, 2004 through December 31, 2009, enrolled in the Vaccine Safety Datalink (VSD) from birth through 18 months of age. We examined vaccination patterns during the first 18 months of life among 8 vaccines, and identified deaths occurring between 19 and 48 months of age. We excluded children with complex chronic conditions, contraindications to vaccination, and deaths due to injuries, congenital anomalies, or diseases with onset prior to 19 months of age. We calculated mortality rates among children with different patterns of immunization, and incidence rate ratios (IRR) using the Cox proportional hazards model for children vaccinated according to the schedule versus undervaccinated children, adjusting for outpatient healthcare utilization, influenza vaccination, sex, and VSD site.

**Results:** Among 312,388 children in the study, 199,661 (64%) were vaccinated according to the schedule, and 112,727 (36%) were delayed or not vaccinated for at least one vaccine dose. Of 18 deaths eligible for analysis, 11 occurred in children following the schedule (2.28 per 100,000 person-years), and seven occurred in undervaccinated children (2.57 per 100,000 person-years). Mortality rates among children following the schedule were not significantly different from those of undervaccinated children when excluding deaths with unknown causes (IRR = 1.29, 95% CI = 0.33–4.99), as well as when including deaths with unknown causes (IRR = 0.84, 95% CI = 0.32–2.99).

**Conclusion:** Although there were few deaths, our results do not indicate a difference in risk of all-cause mortality among fully vaccinated versus undervaccinated children. Our findings support the safety of the currently recommended immunization schedule with regard to all-cause mortality.

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### 1. Background

The Advisory Committee on Immunization Practices (ACIP) recommends an immunization schedule for the United States where children receive 10 vaccines to protect against 14 diseases before the age of two [1]. Vaccines effectively protect against infectious diseases that are potentially fatal, and are widely recognized

as one of the most successful public health interventions in modern history. However, vaccines may also be considered victims of their own success [2]. As vaccine-preventable diseases have become less prominent over time, some parents' concerns have shifted from consequences of the disease to the safety of the vaccine [3]. Recent studies have shown that refusing or delaying vaccines is an increasing trend [2,4–7], and more than 1 in 10 parents are choosing alternative immunization schedules for their children [8]. Not only does this put young children at an increased risk for disease, but it also contributes to the spread of vaccine-preventable diseases in the community. While vaccine uptake on

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a national level remains high, pockets of low vaccine coverage have resulted in outbreaks of vaccine-preventable diseases [9–17].

In 2012, the Institute of Medicine (IOM) reviewed the safety of the recommended childhood immunization schedule, and concluded that although available evidence strongly supported the safety of the schedule as a whole, additional observational research was warranted to compare health outcomes between fully vaccinated children and those on a delayed or alternative schedule [18]. In addition, the IOM identified the Vaccine Safety Datalink (VSD) as an important resource for conducting this research. Guided by the IOM report, the Centers for Disease Control and Prevention (CDC) commissioned a white paper to assess how the VSD could be used to study the safety of the childhood schedule. All-cause mortality was identified as a priority outcome to study in the context of the immunization schedule because of both public health significance and public health concern [19].

There have been few studies evaluating mortality following vaccination [20–22]. One prior VSD study examined the risk of death in the 30 days following vaccination in older children and young adults and found no association [23]. Additionally, there have been multiple studies, primarily in developing countries, examining the incidence of mortality with respect to the order and timing of live and inactivated vaccinations [24–27]. In these studies, lower mortality rates were found for children who last received a live (e.g., measles-containing) vaccine compared to those who last received an inactivated vaccine. Although they may not be directly relevant to a high-income country such as the United States, these findings help illustrate the importance of studying mortality with regards to the immunization schedule.

We conducted a study that describes and compares mortality rates among young children in the VSD with respect to their vaccination patterns.

## 2. Methods

The VSD is a collaborative project between CDC and 8 integrated healthcare systems (sites). [28,29]. The project captures comprehensive medical and immunization data for over 10 million people annually, which represents approximately 3% of the U.S. population. This study included data from the following 6 VSD sites: Kaiser Permanente Washington, Kaiser Permanente Colorado, Kaiser Permanente Northwest, Kaiser Permanente Northern California, Southern California Kaiser Permanente, and Marshfield Clinic. The study was approved by the institutional review board at each participating VSD site and the CDC.

The VSD obtains data from electronic medical records and other administrative sources at each site on enrollees, including demographics, vaccinations, and medical outcomes, including deaths. Deaths are identified for members enrolled at the VSD sites at the time of death and continue to be captured during the 2 years or more following any stop in enrollment. VSD mortality files are updated annually and include data on the cause(s) and date of death. Immediate, underlying, and contributory causes of death are included in the files and coded using the International Classification of Disease 10th revision (ICD-10). The majority of the sites receive cause and date of death information from state death records; however, the National Death Index, Social Security Administration, electronic medical records, and administrative sources, such as health plan membership information, are also sources of mortality information.

We included all children born January 1, 2004 through December 31, 2009 who were continuously enrolled in the VSD from within 6 weeks of birth to 19 months of age. We required at least one outpatient medical visit before 19 months of age to ensure that children were receiving care at the VSD site. Children with

potential contraindications to vaccination (e.g., human immunodeficiency virus patients, hematopoietic stem cell transplant patients, and other immunodeficiencies including leukemia and lymphomas), were excluded from the cohort as they were unlikely to be vaccinated according to the schedule. We also identified children with complex chronic conditions using the Pediatric Medical Complexity Algorithm (PMCA) [30], and excluded children with complex chronic diagnoses prior to 19 months of age as these conditions could affect the likelihood of vaccination according to the schedule as well as death. Follow-up began August 1, 2005, and we collected death information through December 31, 2013. In order to examine the early childhood recommended schedule as a whole, we identified deaths between 19 and 48 months of age using the VSD mortality files. Deaths due to injuries, congenital anomalies, or diseases with onset prior to 19 months of age were excluded from the study.

We identified vaccination patterns among children from 0 to 19 months of life for 8 recommended vaccines, including (1) hepatitis B (HepB), (2) rotavirus, (3) diphtheria, tetanus, and acellular pertussis (DTaP), (4) *Haemophilus influenzae* type b (Hib), (5) pneumococcal conjugate (Pneum), (6) polio, (7) measles, mumps and rubella (MMR), and (8) varicella [1,31]. Our primary analysis compared children vaccinated according to the ACIP recommended schedule to undervaccinated children. We also evaluated children with specific patterns of undervaccination, including undervaccinated but up to date by 19 months of age, received no vaccines, delayed starting vaccination until  $\geq 4$  months of age, consistent vaccine-limiting ( $\leq 2$  vaccines per visit), and missing at least one vaccine dose or series at 19 months of age.

We implemented criteria set forth in the VSD white paper when determining undervaccinated status [19]. We allowed for a 30-day grace period following the recommended age for vaccination for all vaccine doses, apart from the recommended birth dose of hepatitis B, where the grace period began at 2 months of age. We also took into account national vaccine shortages, as well as changes in the ACIP recommendations during the study period. In this context, we defined an undervaccinated child as having received one or more vaccines  $\geq 30$  days after the recommended age of administration. Due to the rotavirus vaccine's initial slow uptake, we did not require rotavirus vaccine administration to be considered up to date until after the point in time when the respective VSD site reached 80% coverage with rotavirus vaccine. Influenza vaccine was not included when identifying vaccination patterns, as the annual recommendation for influenza vaccination makes it distinct from the other childhood vaccines. However, receipt of influenza vaccine was included as a covariate in the statistical analyses. We also excluded hepatitis A vaccine because recommendations for universal immunization began during the study period, and coverage rates following the recommendation were low.

We used an algorithm originally developed by Luman et al. [32], and modified by Glanz et al. [4], to calculate the average number of days undervaccinated (ADU) for each child in the study cohort. ADU is a continuous metric that quantifies immunization status, and measures the difference between when the vaccine dose was administered and when the vaccine dose should have been administered according to the ACIP recommended schedule. Using this measure, a fully up to date child with no delays will have an ADU = 0, and an undervaccinated child will have an ADU  $\geq 1$ .

We evaluated mortality rates for children vaccinated according to the recommended schedule and undervaccinated children. We calculated the IRR for children vaccinated according to the schedule compared to undervaccinated children using the Cox proportional hazards model, adjusting for outpatient utilization, influenza vaccination, sex, and VSD site. We also compared mortality rates with ADU as a continuous exposure with a 30-day unit of

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