



Diketopiperazines and 2H-pyran-2-ones with antioxidant activity from the rice fermented with *Aspergillus luchuensis*

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ABSTRACT

Aspergillus luchuensis is widely used as a starter of saccharification in the *koji* industry, but no secondary metabolites have been reported from this fungus. Herein, we report the isolation and identification of four new diketopiperazine derivatives (1–4), one new methyl 4-(3-acetyl-2, 6-dihydroxyphenyl)-2-methoxybutanoate (5), and six known compounds (6–11) from the rice *koji* of *A. luchuensis*. The structures of 1–5 were determined by extensive spectral analysis including 1D and 2D NMR, HRESIMS, and CD, and ECD calculation. In antioxidant assays, compound 10 displayed moderate DPPH scavenging activity with an EC₅₀ value of 60.8 μM; compounds 1–4, 10 and 11 showed reducing ability with EC₅₀ values ranging from 8.73 to 176.39 μM. Compounds 1–11 showed no cytotoxicity against cell lines A549, K562, ASPC, and H460 at 200 μM. Our current reports support the safety of *A. luchuensis* in food chemistry and confirm this fungus to be a new source of natural antioxidants.

1. Introduction

The fungi in the genus of *Aspergillus* have been reported to be a rich resource of biologically active secondary metabolites. Compounds with diverse chemical skeletons including alkaloids [1], terpenes [2], cerebroside analogues [3], polyketones [4], naphthoquinones [5] has been isolated from *Aspergillus* spp. *Aspergillus luchuensis* is an important and representative fungus in the black- and white-*koji* in China and Japan, and also often encountered in the meju and nuruk in Korea and Puerh tea in China. In the wine industry, *A. luchuensis* is used as an important additive in the Jiuqu due to its high production of enzymes for saccharification of raw materials (rice, barley, and sweet potatoes). Extractive analysis of strains of *A. luchuensis* confirmed its safety for food and beverage fermentations. There is no report of secondary metabolites from *A. luchuensis*. To clarify the secondary metabolites and their bioactivity, we conducted chemical investigation on a strain of *A. luchuensis* fermented on sterilized rice. HPLC and TLC analyses of the EtOAc extract indicated the presence of rich secondary metabolites. A detailed chemical investigation resulted in the isolation of four new diketopiperazine derivatives, one new methyl 4-(3-acetyl-2,6-dihydroxyphenyl)-2-methoxybutanoate, as well as six known compounds from the rice *koji* of this fungus. Herein we report the isolation, structure elucidation, anti-oxidative activity of these compounds.

2. Material and methods

2.1. General experimental procedures

Optical rotations were measured using a PerkinElmer 241 polarimeter. CD spectra were recorded on a JASCO J-815 spectropolarimeter. UV data and IR data were recorded on a Thermo Genesys-10S UV-vis spectrophotometer and a Nicolet IS5FTIR spectrophotometer, respectively. ¹H, ¹³C NMR, DEPT spectra and 2D-NMR spectra were acquired with Bruker Avance-500 spectrometer. HR-TOF-MS data was recorded using an Agilent Accurate-Mass-Q-TOF LC/MS 6520 instrument. Preparative HPLC was performed on an Agilent 1200 HPLC system using a RP-18 column (250 × 10 mm, YMC Pack, 5 μm; detector: UV) with a flow rate of 2 mL/min.

2.2. Fungal material

The strain *A. luchuensis* used in this work was isolated from sake *koji*, and identified by one of co-authors (Junjie Han). The fungal strain was cultured on slants of potato dextrose agar at 25 °C for 10 d.

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2.3. Fermentation

Rice (80 g) and distilled (100 mL) water were sterilized at 121 °C for 30 min. The cooked rice was cooled to room temperature and then inoculated with *A. luchuensis* spores to a final concentration of 1×10^5 spores/g substrate. The inoculated substrates were cultured at 25 °C for 30 days.

2.4. Extraction and isolation

The fermented rice (3.2 kg) was extracted repeatedly with ethyl acetate (3×3.0 L) at room temperature. The organic solvent was evaporated under vacuum to yield the crude extract (100.0 g). The crude extract was separated into 24 fractions on a silica gel column chromatography (1000 g, 100×8 cm) using gradient elution with petroleum ether-ethyl acetate (v/v, 50:1, 20:1, 10:1, 5:1; elution volume for each gradient was 5 L), and dichloromethane-methanol (v/v, 100:0, 80:1, 50:1, 30:1, 20:1, 15:1, 10:1, 8:1, 4:1, 0:1; elution volume for each gradient was 5 L). Fr.14 (6.3 g) was centrifuged to yield the precipitate (0.9 g) and supernatant (5.1 g). The precipitate was further purified by semi-preparative RP-HPLC (60% methanol in water) to give **10** (20.0 mg, t_R 14.5 min) and **11** (5.0 mg, t_R 15.6 min). The supernatant (5.1 g) was fractionated on a reversed-phase C18 silica column chromatography (80 g, 30×4 cm) eluted with methanol-H₂O in gradient eluent (v/v, 30:70, 40:60, 45:55, 50:50, 55:45, 70:30, 75:25, 80:20, 90:10, 100:0; elution volume for each gradient was 1 L) to give 16 subfractions (Fr.14.1 to Fr.14.16). Fr.14.14 (151.0 mg) was then fractionated on a Sephadex LH-20 column (50 g 120×1.5 cm) eluted with dichloromethane/methanol (v/v, 1:1) to get 4 subfractions (Fr. 14.14.1 to Fr. 14.14.4). Fr.14.14.2 (95.2 mg) was finally purified by semi-preparative RP-HPLC (65% methanol in water) to give **3** (12.0 mg, t_R 30.8 min). Compound **6** (50.0 mg, t_R 25.4 min) was purified from Fr. 14.12 (130.6 mg) by semi-preparative RP-HPLC (59% methanol in water). Fr. 12 (14.0 g) was first fractionated on a reversed-phase C18 silica column chromatography (100 g 30×4 cm) eluted with methanol-H₂O in gradient eluent (v/v, 25:75, 35:65, 45:55, 48:52, 55:45, 65:35, 70:30, 78:22, 85:15, 90:10, 100:0; elution volume for each gradient was 2 L) to yield 15 subfractions (Fr. 12.1 to Fr. 12.15). Fr. 12.6 (50.0 mg), Fr. 12.7 (90.5 mg) and Fr. 12.8 (22.4 mg) were purified by semi-preparative RP-HPLC (30% acetonitrile in water, 34% acetonitrile in water and 32% methanol in water, respectively) to give **1** (5.4 mg, t_R 27.7 min), **4** (6.0 mg, t_R 28.1 min), and **2** (2.5 mg, t_R 29.1 min), respectively. Fr.10 (5.0 g) was fractionated on a reversed-phase C18 silica column chromatography (80 g, 30×4 cm) eluted with methanol-H₂O in gradient eluent (v/v, 20:80, 30:70, 40:60, 50:50, 55:45, 60:40, 70:30, 80:20, 100:0; elution volume for each gradient was 1 L) to afford 14 subfractions (Fr. 10.1 to Fr. 10.14). Compound **5** (5.0 mg, t_R 24.8 min) was obtained from Fr. 10.8 (50.8 mg) by semi-preparative RP-HPLC (30% acetonitrile in water). Fr. 10.4 (497.0 mg) was fractionated on a Sephadex LH-20 (100 g, 120×2 cm) column eluted with methanol to give **9** (277.0 mg, t_R 17.6 min). Fr. 10.6 (870.1 mg) was separated on a Sephadex LH-20 column (100 g, 120×2 cm) eluted with methanol to get 6 subfractions (Fr. 10.6.1 to Fr. 10.6.6). Fr. 10.6.2 (268.4 mg) was purified by semi-preparative RP-HPLC (46% methanol in water) to yield **7** (83.1 mg, t_R 21.4 min) and **8** (93.7 mg, t_R 20.7 min).

2.4.1. Luchunazine A (**1**)

Light yellow powder; $[\alpha]_D^{25} + 8.0$ (c 0.5, methanol); CD (c 0.83×10^{-3} M, methanol) λ_{max} ($\Delta\epsilon$): 211 (+ 6.5), 236 (− 14.0), 266 (+ 1.8), 325 (+ 0.9); UV (methanol) λ_{max} nm (log ϵ): 223 (4.2), 280 (3.5); IR (neat) ν_{max} : 3395, 2926, 1667, 1620, 1434, 1287, 1204, 1128, 1022, 745, 703 cm^{-1} ; Positive HRTOFMS: m/z $[M + H]^+$ 600.2339 (calcd. for $C_{33}H_{34}N_3O_8$, 600.2340); 1H and ^{13}C NMR spectroscopic data are listed in Table 1.

Table 1

1H (500 MHz) and ^{13}C (125 MHz) NMR data for compounds **1** and **2** (DMSO- d_6).

Position	Compound 1		Compound 2	
	δ_C	δ_H (mult., J in Hz)	δ_C	δ_H (mult., J in Hz)
1(N)				10.45 (s)
2	138.1		139.2	
3	105.7		104.3	
4	127.3		127.3	
5	118.2	7.26 (d, 8.0)	117.9	7.18 (o)
6	118.3	6.88 (t, 7.5)	118.3	6.88 (t, 7.4)
7	120.4	6.99 (t, 7.5)	120.3	6.98 (t, 7.4)
8	111.4	7.41 (d, 8.0)	111.2	7.36 (d, 8.0)
9	135.5		135.3	
10 (N)		6.82 (s)		6.53 (s)
11	54.5	3.48 (t, 6.9)	53.9	3.41 (t, 6.0)
12	27.9	3.10 (o)	28.1	2.85 (o)
				3.22 (dd, 14.5, 6.0)
13	168.2		168.3	
14 (N)		8.06 (s)		7.96 (s)
15	54.8	3.98 (t, 4.9)	54.6	3.82 (t, 5.0)
16	166.9		166.8	
17	37.4	2.83 (dd, 13.8, 4.9)	37.4	2.81 (o)
		3.02 (dd, 13.8, 4.9)		2.99 (dd, 13.8, 5.0)
18	136.2		136.1	
19	130.0	7.15 (o)	130.0	7.10 (o)
20	128.0	7.22 (o)	128.0	7.20 (o)
21	126.6	7.22 (o)	126.6	7.20 (o)
22	128.0	7.22 (o)	128.0	7.20 (o)
23	130.0	7.15 (o)	130.0	7.10 (o)
24	162.3		162.5	
25	115.5		113.8	
26	162.3		162.5	
27	108.1	6.47 (d, 8.8)	107.9	6.45 (d, 8.8)
28	131.5	7.65 (d, 8.8)	131.9	7.65 (d, 8.8)
29	112.6		112.6	
30	203.4		203.4	
31	26.2	2.50 (s)	26.1	2.50 (s)
32	27.3	5.03 (dd, 10.1, 5.2)	27.2	5.01 (dd, 10.6, 5.5)
33	34.9	2.03 (ddd, 14.0, 10.3, 5.2)	33.8	2.27 (ddd, 13.9, 9.3, 5.5)
		2.94 (ddd, 14.0, 10.1, 4.2)		2.89 (o)
34	78.4	3.37 (o)	78.4	3.48 (dd, 9.3, 3.9)
35	173.7		172.6	
36	56.9	3.12 (s)	57.6	3.11 (s)
37			51.6	3.55 (s)

"o" signals overlapped.

2.4.2. Luchunazine B (**2**)

Light yellow powder; $[\alpha]_D^{25} + 88.0$ (c 0.5, methanol); CD (c 0.82×10^{-3} M, methanol) λ_{max} ($\Delta\epsilon$): 215 (− 7.6), 237 (+ 16.0), 271 (− 1.0), 325 (− 0.6); UV (methanol) λ_{max} nm (log ϵ): 223 (4.2), 280 (3.4); IR (neat) ν_{max} : 3272, 2926, 1671, 1619, 1437, 1291, 1204, 1132, 702 cm^{-1} ; Positive HRTOFMS: m/z $[M + H]^+$ 614.2496 (calcd. for $C_{34}H_{36}N_3O_8$, 614.2497); 1H and ^{13}C NMR spectroscopic data are listed in Table 1.

2.4.3. Luchunazine C (**3**)

White powder; $[\alpha]_D^{25} + 198.0$ (c 0.5, methanol); CD (c 0.53×10^{-3} M, methanol) λ_{max} ($\Delta\epsilon$): 222 (+ 9.7), 244 (− 47.4), 275 (+ 24.9), 301 (+ 18.1), 338 (− 2.8); UV (methanol) λ_{max} nm (log ϵ): 204 (4.6), 295 (3.2); IR (neat) ν_{max} : 3353, 2930, 1731, 1672, 1614, 1434, 1317, 1207, 1127, 1089, 1024, 748, 703 cm^{-1} ; Positive HRTOFMS: m/z $[M + H]^+$ 945.3818 (calcd. for $C_{54}H_{53}N_6O_{10}$, 945.3818); 1H and ^{13}C NMR spectroscopic data are listed in Table 2.

2.4.4. Luchunazine D (**4**)

White powder; $[\alpha]_D^{25} - 20.0$ (c 0.5, DMSO); CD (c 0.75×10^{-3} M, methanol) λ_{max} ($\Delta\epsilon$): 235 (+ 8.6), 283 (+ 1.3); UV (DMSO) λ_{max} nm (log ϵ): 210 (4.6), 312 (3.8); IR (neat) ν_{max} : 3195, 3057, 2967, 1667, 1454, 1336, 1320, 1241, 1206, 1092, 797, 745, 701 cm^{-1} ; Positive HRTOFMS: m/z $[M + H]^+$ 665.2876 (calcd. for $C_{40}H_{37}N_6O_4$,

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