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Featured Article The limbic and neocortical contribution of α -synuclein, tau, and β -amyloid to disease duration in dementia with Lewy bodies or Tanis J. Ferman^{a,*}, Naoya Aoki^b, Julia E. Crook^c, Melissa E. Murray^d, Neill R. Graff-Radford^e, Jay A. van Gerpen^e, Ryan J. Uitti^e, Zbigniew K. Wszolek^e, Jonathan Graff-Radford^f, Otto Pedraza^a, Kejal Kantarci^g, Bradley F. Boeve^f, Dennis W. Dickson^d ^aDepartment of Psychiatry and Psychology, Mayo Clinic, Jacksonville, FL, USA ^bDepartment of Psychiatry, Yokohama City University Medical Center, Yokohama, Japan ^cDepartment of Health Sciences Research, Mayo Clinic, Jacksonville, FL, USA ^dDepartment of Neuroscience, Mayo Clinic, Jacksonville, FL, USA ^eDepartment of Neurology, Mayo Clinic, Jacksonville, FL, USA ^fDepartment of Neurology, Mayo Clinic, Rochester, MN, USA ^gDepartment of Radiology, Mayo Clinic, Rochester, MN, USA Abstract Introduction: We sought to assess the individual and combined contribution of limbic and neocor-tical α -synuclein, tau, and β -amyloid to duration of illness in dementia with Lewy bodies (DLB). **Methods:** Quantitative digital pathology of neocortical and limbic α -synuclein, tau, and β -amyloid was assessed in 49 patients with clinically probable DLB. Regression modeling examined the unique and shared contribution of each pathology to the variance of illness duration. Results: Patients with diffuse Lewy body disease had more severe pathology of each type and a shorter duration of illness than individuals with transitional Lewy body disease. The three pathologies accounted for 25% of the total variance of duration of illness, with 19% accounted for by α -synuclein alone or in combination with tau and β -amyloid. When the diffuse Lewy body disease group was examined separately, α -synuclein deposition significantly exceeded that of tau and β -amyloid. In this model, 20% of 24% total variance in the model for duration of illness was accounted for inde-pendently by α -synuclein. **Discussion:** In DLB, α -synuclein is an important predictor of disease duration, both independently and synergistically with tau and β -amyloid. © 2017 Published by Elsevier Inc. on behalf of the Alzheimer's Association. Lewy body; Alzheimer's disease; Pathology; Parkinsonism; REM sleep behavior disorder; Commonality analysis Keywords: Drs. Ferman, Aoki, Kantarci, van Gerpen, J. Graff-Radford, Pedraza, received research support from Biogen, Lilly, and Axovant. He has con-Murray, and Dickson report no conflicts of interest. sulted for Cytox. Dr. Boeve has served as an investigator for clinical trials sponsored by Dr. Wszolek is supported by the Cecilia and Dan Carmichael Family GE Healthcare, FORUM Pharmaceuticals, and C2N Diagnostics. He re-Foundation and James C. and Sarah K. Kennedy Fund, and The Sol Gold-ceives royalties from the publication of a book entitled Behavioral man Charitable Trust. Neurology of Dementia (Cambridge Medicine, 2009). He serves on the Sci-Dr. Uitti serves as an associate editor for Neurology and has received entific Advisory Board of the Tau Consortium. research funding from Boston Scientific. Dr. N. Graff-Radford serves on a Scientific Advisory Board for Codman; *Corresponding author. Tel.: +1 904-953-8007; Fax: +1 904-953-serves on the Editorial boards of The Neurologist and Alzheimer's Research 0461. & Therapy; has received publishing royalties from UpToDate, Inc.; and has E-mail address: ferman.tanis@mayo.edu

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110 **1. Introduction** 111

The histopathology of dementia with Lewy bodies (DLB) 112 is characterized by α -synuclein that accumulates in cell 113 bodies as Lewy bodies and in cell processes as Lewy neu-114 115 rites. Lewy-related pathology has two main distributions in 116 DLB. For some patients, it is predominantly located in brain-117 stem, subcortical, and limbic regions, whereas for others it is 118 diffusely distributed to neocortical regions in addition to 119 brainstem, subcortical, and limbic regions [1]. Neuropatho-120 logic heterogeneity of DLB is further complicated by the co-121 occurrence of Alzheimer's disease (AD)-related pathology. 122 Extracellular β -amyloid diffuse plaques and senile plaques 123 with tau-positive neurites can commonly occur in DLB 124 [2,3]. Although the density and distribution of 125 126 neurofibrillary tangle (NFT) pathology is typically less in 127 DLB than AD [4,5], some DLB patients have many NFTs 128 [6]. In Parkinson's disease, the extent of the Lewy-related 129 pathology on its own, and additively with tau and β -amyloid, 130 correlates with development of dementia [7-10]. Less is 131 known about the role of these misfolded proteins in the 132 clinical presentation and disease course of DLB. 133 Understanding the contribution of these protein aggregates 134 to DLB is important, particularly, as antemortem imaging 135 markers are being developed for each protein [11], and as 136 the field moves forward to develop disease-modifying ther-137 138 apies designed to target specific proteins.

139 Patients with DLB tend to have a shorter survival than 140 those with AD [5]. Rapid disease progression in DLB is often 141 attributed to the cortical AD-related pathology [12,13], 142 although this is not consistently found [14]. The role of α -syn-143 uclein may be underestimated when sampling is restricted to 144 neocortical regions or in studies that do not quantify Lewy 145 neurites. Also, there may be additive or synergistic effects 146 of co-occurring Lewy- and AD-related pathologies that may 147 not be evident when examining the independent contributions 148 of each pathology [15–17]. To obtain a more representative 149 quantitative measure of the neuronal and neuritic burden of 150 151 α -synuclein and tau, and of extracellular β -amyloid, this 152 study used digital pathology techniques. This approach 153 allows for a more accurate estimate of pathology than 154 semiquantitative or staging rubrics [18] and provides the op-155 portunity to assess the separate and combined contribution of 156 pathologies to duration of illness. 157

2. Methods

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160 161 2.1. Participants

162 Participants who met criteria for clinically probable DLB 163 (n = 49) were recruited from the Memory Disorders and 164 Movement Disorders clinics and followed prospectively 165 through the Mayo Clinic Alzheimer's Disease Research 166 Center at the Mayo Clinic in Jacksonville, Florida. Annual 167 clinical visits incorporated a clinical interview, neurologic 168 169 examination, neuropsychological assessment, and a series 170 of informant questionnaires. If the patient was untestable or unable to come to the clinic, we carried out a telephone interview and obtained the informant questionnaires. The diagnosis of DLB was made on the basis of the current criteria and required dementia plus two of four clinical features (visual hallucinations, fluctuations, parkinsonism, and rapid eye movement [REM] sleep behavior disorder) [19,20]. A non-cognitive rating of dementia severity was assessed with the Global Deterioration Scale [21]. The presence of REM sleep behavior disorder was obtained through a clinical interview and the Mayo Sleep Questionnaire [22]. The presence of fluctuations was based on a score of 3 or 4 on the 4-item Mayo Fluctuations Scale [23]. The presence of parkinsonism was based on neurologic examination and presence of two of four cardinal features of bradykinesia, such as slowness of movement, rigidity, tremor, and 01 postural instability. The Unified Parkinson's Disease Rating Scale was used to objectively quantify parkinsonism severity [24]. Duration of illness was operationalized to represent the temporal interval from the estimated onset of cognitive impairment to time of death. This study was approved by the Mayo Clinic Institutional Review Board, and informed consent for participation was obtained from every subject and/or an appropriate surrogate.

2.2. Neuropathologic assessments

All cases underwent neuropathologic assessments that included macroscopic and microscopic evaluation. Brains were sampled using a systematic and standardized protocol, in which neocortical samples were taken before brain dissection to assure uniformity of sampling and to obtain orthogonal sections of the cortical ribbon. Tissue sections were embedded in paraffin, and 5-µm thick sections were mounted on glass slides for histological examination and immunohistochemistry. Thioflavin-S fluorescent microscopy was used to assess ADrelated pathology, which included counts of NFTs and senile plaques in six cortical regions, four sectors of the hippocampus and two subregions of the amygdala and the basal nucleus of Meynert. The Braak NFT stage [25] and Thal amyloid phase [26] were assigned based on the distribution of NFTs and senile plaques, respectively, using previously published methods [27,28]. For diagnostic and staging purposes, immunohistochemistry was performed on all cases with an α -synuclein antibody (NACP, 1:3000, rabbit polyclonal; 02 Mayo Clinic's antibody) using a protocol (formic acid pretreatment and DAKO DAB polymer signal detection) that has been shown to be comparable or better than other methods [29]. Counts of Lewy bodies (at $200 \times$ magnification) were assessed in middle frontal, superior temporal, inferior parietal, cingulate, and parahippocampal cortices, as well as the amygdala. Semiquantitative ratings of the extent of the α -synuclein pathology and neuronal loss were made in the nucleus basalis of Meynert, substantia nigra, and locus coeruleus.

When assigning subtypes of Lewy body disease, the presence, density, semiquantitative scores, and distribution of Lewy-related pathology followed recommendations of the 225

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