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Alzheimer's

Alzheimer's & Dementia (2017) 1-10 Featured Article Dementia prevalence and incidence in a federation of European Electronic Health Record databases—The European Medical Informatics Framework resource Gayan Perera<sup>a</sup>, Lars Pedersen<sup>b</sup>, David Ansel<sup>c</sup>, Myriam Alexander<sup>d</sup>, H. Michael Arrighi<sup>e</sup>, 12 Q9 Paul Avillach<sup>f,g</sup>, Nadia Foskett<sup>h</sup>, Rosa Gini<sup>i</sup>, Mark F. Gordon<sup>j</sup>, Usha Gungabissoon<sup>a,k</sup> Miguel-Angel Mayer<sup>1</sup>, Gerald Novak<sup>m</sup>, Peter Rijnbeek<sup>f</sup>, Gianluca Trifirò<sup>f,n</sup>, Johan van der Lei<sup>f</sup>, Pieter J. Visser<sup>o,p</sup>, Robert Stewart<sup>a,q,\*</sup> Q1 <sup>a</sup>Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, United Kingdom **Q2** <sup>b</sup>Department of Clinical Medicine—Department of Clinical Epidemiology, Aarhus University, Aarhus N, Denmark 19 Q3 <sup>c</sup>THIN Contacts, THIN, 1 Canal Side Studios, London, United Kingdom <sup>d</sup>Real World Data and Health Analytics Department, GSK, Uxbridge, Middlesex, United Kingdom <sup>e</sup>Janssen Pharmaceuticals Research & Development, Mill Valley, South San Francisco, CA, USA <sup>f</sup>Department of Medical Informatics, Erasmus University Medical Center, Rotterdam, The Netherlands <sup>8</sup>Department of Biomedical Informatics, Harvard Medical School & Children's Hospital Informatics Program, Boston Children's Hospital, Boston, MA, USA <sup>h</sup>Roche Products Ltd, Welwyn Garden City, United Kingdom <sup>i</sup>Agenzia Regionale di Sanità della Toscana, Firenze, Italy <sup>j</sup>Clinical Development and Medical Affairs, Boehringer Ingelheim Pharmaceuticals, Inc., Ridgefield, CT, USA <sup>k</sup>Real World Evidence (Epidemiology), GSK R&D, Uxbridge, Middlesex, United Kingdom <sup>1</sup>Research Programme on Biomedical Informatics (GRIB), Hospital del Mar Medical Research Institute (IMIM), Universitat Pompeu Fabra, Barcelona, Catalonia, Spain <sup>m</sup>Janssen Pharmaceutical Research and Development, Titusville NJ, USA <sup>n</sup>Dipartimento di Scienze Biomediche, Odontoiatriche e Immagini Morfologiche e Funzionali, Università degli Studi di Messina, Messina, Italy <sup>o</sup>Alzheimer Centre, School for Mental Health and Neuroscience (MHeNS), University Medical Centre Maastricht, Maastricht University, Maastricht, The Netherlands <sup>p</sup>Department of Neurology, Alzheimer Center, Neuroscience Amsterdam, VU University Medical Center, Amsterdam, The Netherlands <sup>q</sup>South London and Maudsley NHS Foundation Trust, London, United Kingdom Abstract Introduction: The European Medical Information Framework consortium has assembled electronic health record (EHR) databases for dementia research. We calculated dementia prevalence and incidence in 25 million persons from 2004 to 2012. Methods: Six EHR databases (three primary care and three secondary care) from five countries were interrogated. Dementia was ascertained by consensus harmonization of clinical/diagnostic codes. Annual period prevalences and incidences by age and gender were calculated and meta-analyzed. Results: The six databases contained 138,625 dementia cases. Age-specific prevalences were around 30% of published estimates from community samples and incidences were around 50%. Pooled prevalences had increased from 2004 to 2012 in all age groups but pooled incidences only after age 75 years. Associations with age and gender were stable over time. Discussion: The European Medical Information Framework initiative supports EHR data on unprec-edented number of people with dementia. Age-specific prevalences and incidences mirror estimates from community samples in pattern at levels that are lower but increasing over time. \*Corresponding author. Tel.: +44-20-7848-0120; Fax: +44-20-7848-E-mail address: robert.stewart@kcl.ac.uk 5408. http://dx.doi.org/10.1016/j.jalz.2017.06.2270 1552-5260/© 2017 Published by Elsevier Inc. on behalf of the Alzheimer's Association. This is an open access article under the CC BY-NC-ND license (http://

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Dementia; Incidence; Prevalence; Electronic Health Records; European Medical Informatics Framework

## 1. Introduction

138 Electronic health records (EHRs) are increasingly 139 replacing paper records across health care sectors, creating 140 large volumes of digitized data on real-world clinical inter-141 ventions and outcomes. Applications of such data extend 142 143 beyond clinical care to planning and costing health services, 144 and health surveillance. For research, EHR-derived 145 databases offer large sample sizes and are particularly suited 146 to investigations of intervention outcomes in routine care, 147 such as predictors of response, safety, comparative effective-148 ness, and health economic evaluations, as well as etiologic 149 150 investigations of rare exposures/outcomes.

151 EHRs have been underused in dementia research, 152 although examples include identification in primary care 153 [1], resource use in Alzheimer's disease [2], comorbidities 154 155 [3], case capture efficiency [4], dementia incidence [5], 156 dementia-free life expectancy [6], risks associated with 157 medication exposures [7] and other disorders [8], atypical 158 antipsychotics and mortality in vascular dementia [9], and 159 cognitive trajectories before and after acetylcholinesterase 160 161 inhibitor initiation [10].

162 EHR data on dementia, as with most clinical research 163 data, are limited to people who have received a diagnosis. 164 These are a subset of people living with dementia in the com-165 munity, many of whom will not receive a diagnosis and can 166 only be ascertained in surveys applying case-ascertainments. 167 168 However, epidemiologic studies of dementia tend to focus 169 on incidence and risk factors (i.e., investigating up to the 170 point of onset), and most research on dementia outcome, 171 including service costs, involves cohorts who have received 172 173 a clinical diagnosis rather than screened community 174 samples. The relationships between community incidence/ 175 prevalence and incidence/prevalence of diagnosed dementia 176 are therefore important to understand because of these 177 different samples. Such relationships may vary both 178 179 geographically and temporally: settings with active demen-180 tia diagnosis centers are likely to see a higher proportion of 181 community cases appear on health care databases, and these 182 are likely to increase over time with greater public awareness 183 and a higher salience in primary care. 184

Advances in medical research require an increasing 185 186 quantity and detail of health data to answer today's complex 187 questions. At the same time, huge volumes of health data are 188 being collected and electronically stored, either in routine 189 EHR databases or through research-driven cohort studies 190 associated with biobanks and other efforts. To help improve 191 192 access to patient-level data, the European Medical Informa-193 tion Framework (EMIF) was launched in 2013. As part of the 194 broader European Innovative Medicines Initiative, EMIF 195

aims to create an environment that allows efficient reuse of health data in two therapeutic areas: Alzheimer's disease and metabolic disorders. In the first year of operation, EMIF explored several EHR resources to render data available for analysis with robust data security and governance. In this study, we provide initial data on number of cases of dementia detected on six EHR sources—three primary care and three secondary care. Our objective was partly to describe this data resource and partly to provide prevalence and incidence estimates for diagnosed dementia in European populations, comparing these across the different databases and years of data collection.

### 2. Methods

#### 2.1. Databases

Using the collaborations and platform for analysis set up by EMIF, the following six EHR databases were interrogated: (1) Agenzia regionale di sanità della Toscana (ARS), (2) Aarhus University Hospital (AUH), (3) the Health Search Database (GENOMEDICS), (4) the Information System of Parc de Salut Mar (IMIM-UPF), (5) Integrated Primary Care Information (IPCI), and (6) The Health Improvement Network (THIN). Characteristics of databases are summarized in Table 1. Number of active patients on each database on January 1, 2013 varied from around 900,000 in GENOME-DICS to around 3.8 million in THIN.

#### 2.1.1. Catchment databases

The ARS database contains secondary health care data from the Tuscany region of Italy, including pharmacy, outpatient and hospital data along with linked data on death, and birth and malformation registries. The AUH database contains data from secondary health care registries covering the northern and central region of Jutland, Denmark, including information on all inpatient, emergency room, and outpatient visits, inpatient treatments, laboratory data, and prescriptions. The IMIM-UPF database contains secondary health care data from the Barcelona area, including acute, long term, and mental health services with information on inpatient, emergency room, and outpatient visits.

#### 2.1.2. Primary care databases

IPCI, GENOMEDICS, and THIN contain primary care data from selected general practices in the Netherlands, Italy, and United Kingdom, respectively.

Considering broader health care settings, Italy has a government-funded universal health care system in which free health care is provided to all citizens, all persons in Italy 196

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